

# **Kentucky Cancer Registry**

## **2009 Abstractor's Manual**

**For use with CPDM<sup>S</sup>.net**



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## **Introduction**

### **INTRODUCTION**

The Cancer Patient Data Management System (CPDMS.net) is a comprehensive, web-based application for collecting, managing and analyzing information related to the diagnosis and treatment of cancer patients in Kentucky. CPDMS.net was developed by the Kentucky Cancer Registry (KCR) to provide individual hospitals with the ability to monitor the type of cancer patients seen in the hospital, the extent of disease at diagnosis, the type of diagnostic procedures used and the type of therapy provided. CPDMS.net enables hospital registries to follow cancer patients over time. Data on all known medical intervention and the health status of each patient can be periodically recorded using CPDMS.net. These data allow individual hospitals to examine both the use of various diagnostic and therapeutic resources as well as the potential effect of these resources on patient survival.

CPDMS.net is designed for independent and autonomous use by individual health care facilities. However, a central repository of data on all cancer patients diagnosed and treated in Kentucky has been established in the Kentucky Cancer Registry. This central data base allows for the calculation and publication of cancer incidence rates for the entire state of Kentucky, as well as for smaller geographic regions within the state.

CPDMS.net includes complete documentation. This abstractor's manual describes each data item which will be collected and precise instruction regarding how the information is to be coded. The abstractor's manual also contains a picture of the data entry screens. In addition, a CPDMS.net operator's manual has been developed. The operator's manual contains step-by-step instructions for performing each function of this registry software.

CPDMS.net is a valuable tool for any hospital wishing to develop and maintain a high quality cancer care program. The application meets all of the requirements for an American College of Surgeons approved cancer program and all of the requirements for the National Cancer Institute's SEER Program. Data system coordinators are available through the KCR to assist hospitals using CPDMS.net in setting up their registry, training personnel, abstracting data and analyzing the information.

## **COMPUTERIZED RECORD STRUCTURE**

CPDMS.net is a fully relational database designed in a modular fashion. Each patient record has a unique identification number internally generated by the computer which links all information stored about that patient. Patient identification information occurs only once in the patient record.

Attached to the patient record is a file containing ten optional, user-defined fields for patient level data.

Each patient may have more than one primary malignancy, or case. These are identified by the primary sequence number and site group code. Those cases which are reportable by your hospital will also have segments of the record containing diagnosis and staging information, as well as follow up data. These data items will occur only once in a case record.

Attached to the case record are segments containing therapy and open text data. The therapy segments may be repeated as often as necessary to record all the appropriate information about a case. Additionally, there are record segments which contain hospital-specific identifiers for each case. Twenty optional, user-defined fields are available for each case record.

For further information regarding CPDMS.net, please refer to the Operator's Manual.

## CASE REPORTING REQUIREMENTS

### CASES TO BE REPORTED:

All cases of primary malignant disease diagnosed or treated at a Kentucky health care facility on or after January 1, 1991, should be reported to the Kentucky Cancer Registry (KCR). These are usually described by the terms: carcinoma, sarcoma, melanoma, leukemia, or lymphoma. Reportable cases are identified by ICD-9-CM codes 140-195, 199-208, 230-234, 238.4-238.7, 273.2-273.3, 284.9, 285.0, 288.3, 289.8, and outpatient diagnosis codes V10, V58.0, V58.1, V67.1, V67.2, V71.1, and V76. They may also be classified by ICD-O Topography, Morphology, and behavior codes. Only in-situ and malignant neoplasms are reportable (behavior codes 2 and 3); benign, borderline, and metastatic tumors are not reportable to the KCR, except as noted below. However, if a term is used which usually has a behavior code of '0' or '1', but is verified by a pathologist as in-situ or malignant (behavior code 2 or 3), these cases are reportable.

### THE ONLY EXCEPTIONS to this are:

- Neoplasms of the skin (ICD-O Topography codes C44.0 to C44.9) with the following ICD-O Morphology codes are NOT reportable:

M 8000-8005 Neoplasms, NOS  
M 8010-8046 Epithelial neoplasms  
M 8050-8084 Squamous cell neoplasms of the skin  
M 8090-8110 Basal cell neoplasms of the skin

NOTE: Localized basal and squamous cell skin cancers greater than 5 cm at diagnosis, as well as those diagnosed at a regional or distant stage, **used to be** required by ACoS for approved hospitals prior to 2003. **They are not required to be reported to KCR or to ACoS, after January 1, 2003.**

- Cases of intraepithelial neoplasia, Grade III, of the cervix or prostate (M-8077/2). These are often designated by terms such as CIN III or PIN III. These cases are not required to be abstracted or reported.
- Any carcinoma in-situ of the cervix is not to be reported to KCR, as of January 1, 1998. This includes all types of malignancies with a topography code of C53 and a behavior code of 2.
- Pilocytic astrocytoma (C71.\_\_\_\_\_, M-9421/1) is required to be reported as a malignant brain tumor with 9421/3.
- As of January 1, 2004, the following non-malignant primary intracranial and central nervous system tumors with a behavior code of /0 or /1 (benign and borderline, or "non-malignant") are required to be reported, regardless of histologic type, for these ICD-O-3 topography codes.

**Table 1. Topography Codes for Benign Brain Tumors**

<b>Codes</b>	<b>Description</b>
C70.0 C70.1 C70.9	Meninges Cerebral meninges Spinal meninges Meninges, NOS
C71.0 C71.1 C71.2 C71.3 C71.4 C71.5 C71.6 C71.7 C71.8 C71.9	Brain Cerebrum Frontal lobe Temporal lobe Parietal lobe Occipital lobe Ventricle, NOS Cerebellum, NOS Brain stem Overlapping lesion of brain Brain, NOS
C72.0 C72.1 C72.2 C72.3 C72.4 C72.5 C72.8 C72.9	Spinal Cord, Cranial Nerves and Other Parts of the Central Nervous System Spinal cord Cauda equina Olfactory nerve Optic nerve Acoustic nerve Cranial nerve, NOS Overlapping lesion of brain and central nervous system Nervous system, NOS
C75.1 C75.2 C75.3	Other Endocrine Glands and Related Structures Pituitary gland Craniopharyngeal duct Pineal gland

NOTE: Benign and borderline tumors of cranial bones (C41.0) are not reportable.

NOTE: For non-malignant primary intracranial and central nervous system tumors (C70.0 - C72.9, C75.1 - C75.3), the terms "tumor" and "neoplasm" are considered diagnostic for the purpose of case reporting, in addition to the terms generally applicable to malignant tumors.

#### PATIENTS TO BE REPORTED:

All patients first seen and/or treated at each Kentucky hospital after January 1, 1991 for a diagnosis of cancer should be reported to the Kentucky Cancer Registry. This includes inpatient

admissions and patients seen in ambulatory care settings that are hospital affiliated. It includes all clinical diagnoses of cancer, whether histologically confirmed or not. It also includes patients diagnosed as autopsy.

As of January 1, 1995, all patients seen or treated in any licensed health facility in the state, which provides diagnostic or treatment services to cancer patients, shall report cases to the Kentucky Cancer Registry. Physicians in private practice should report any cases of cancer diagnosed or treated in their offices which are not otherwise reported to KCR by another health care facility.

**PATIENTS NOT REQUIRED TO BE REPORTED BY HOSPITALS:**

1. Patients who are seen only in consultation to confirm a cancer diagnosis or treatment plan, and no treatment was provided by your facility.

**EXAMPLE:** An outpatient CT scan of the chest reads: probable carcinoma of the right lung. The patient does not return to your institution for diagnostic confirmation or treatment. Send these and specimen only pathology reports with face sheet to KCR.

**EXAMPLE:** Patient comes to your institution for a second opinion. Staff physicians order diagnostic tests. The physicians support the original treatment plan. Patient returns to the other institution for treatment.

2. Patients who receive transient care to avoid interrupting a course of therapy initiated elsewhere, for example, while vacationing, or because of equipment failure at the original hospital.
3. Patients whose medical chart indicates a history of cancer only, and who were diagnosed prior to 1991.
4. Patients with in-situ or localized neoplasms of the skin (as listed above).
5. Patients with preinvasive neoplasia of the cervix (as listed above).

**TIME FRAME FOR REPORTING:**

Cases must be reported to the KCR within 6 months from the date of initial diagnosis or date first seen at the reporting facility if not diagnosed there. For those patients seen on an outpatient basis only, the outpatient visit date is considered the date of discharge.

**CLASSES OF CASES:**

The class of case codes as defined by the American College of Surgeons in their Facility Oncology Registry Data Standards (FORDS) manual, describe categories, or classes, of cases based on the type of service provided to the patient by the reporting facility. The class, in turn, determines how the case is to be reported. The reporting requirements of the Kentucky Cancer

Registry may differ from those of the American College of Surgeons. For a discussion of ACoS requirements, refer to the FORDS manual.

## CLASS DESCRIPTION

- 0 Patients diagnosed at your hospital since your reference date and receive all first course of therapy elsewhere.
- 1 Patients first diagnosed and first treated at your facility. Patients who receive no initial therapy or who are not treatable or who receive palliative care only should also be included here. Cases diagnosed in a staff physician's office involving patients who were referred to your hospital for definitive treatment must be included in the registry as though first diagnosed at your hospital. Likewise, if the case is diagnosed in your hospital and the patient receives all of his initial treatment in the staff physician's office, this therapy should be considered as rendered at your facility.
- 2 Patients first diagnosed elsewhere and all or part of first course of therapy was administered at your facility. Patients first diagnosed elsewhere and palliative care in lieu of, or as part of, first course therapy was administered at your facility.
- 3 Patients diagnosed and all of first course of therapy received elsewhere; receiving subsequent therapy at your facility.
- 4 Patients diagnosed and treated at your hospital prior to your reference date; and receiving subsequent treatment at your hospital after your reference date.
- 5 Patients diagnosed at autopsy.
- 8 Patients abstracted by Death Certificate (for central registry use only).
- 9 Patients seen only in a nonhospital facility (for central registry use only).
- X Patients reported to KCR through out-of-state data exchange agreements (for central registry use only).

## THERAPY - FIRST AND SUBSEQUENT COURSE

First course of therapy includes any and all procedures or treatments planned by the managing physician(s), and administered during or after the first clinical diagnosis of cancer. Treatment usually modifies, controls, removes, or destroys proliferating cancer tissue, whether primary or metastatic, regardless of the patient's response. First course may include multiple modes of therapy, and may encompass intervals of a year or more.

No therapy is a treatment option that occurs if the patient or family refuses treatment, or the patient dies before treatment starts, or the physician recommends "watchful waiting" or no treatment be given.

When a treatment plan is not available, evaluate the therapy and the time it started. If the therapy is a part of an established protocol or within accepted management guidelines for the disease, it is first course of therapy. Consult the attending physician or registry's physician advisor if protocols or management guidelines are not available.

If there is no treatment plan, established protocol, or management guidelines, and you cannot consult with a physician, use the principle: "initial treatment must begin within four months of

the date of initial diagnosis." All other cancer-directed therapy that begins within four months of the date of the initial treatment would be first course of therapy.

#### INFORMATION TO BE REPORTED TO KCR:

Cases in classes 0, 1, 2, and 5 must be fully abstracted in CPDMS.net. All mandatory data elements must be filled in. Detailed instructions for completing the Abstract Form can be found in this manual.

These cases must also be followed annually throughout the life of the patient. A comprehensive method to identify and track patients must be implemented by the reporting hospital. The follow up information that is required to be reported is shown on the CPDMS Follow up Form. The only exceptions to the follow up requirements are people residing in foreign countries and patients with carcinoma in situ of the cervix. These two categories of patients are not required to be followed, regardless of class of case. The ACoS does not require CoC approved hospitals to follow patients over 100 years of age or patients who are class 0 if diagnosed after January 1<sup>st</sup>, 2006. However, KCR requires Kentucky hospitals to follow all patients who are class 0, 1, 2, regardless of age.

Prior to 2000, cases in Class 3 must be reported to KCR. Effective with 2000 diagnoses, you have an option in reporting class 3 cases to KCR. You may choose to continue abstracting these cases, or you may choose to send the case information to KCR for abstraction. If you choose to forward the case to KCR, you are still required to send all applicable case information to KCR in a timely manner!

Cases in Class 4 are not required to be reported to the Kentucky Cancer Registry. Abstracting a CPDMS Abstract From and lifetime follow up are entirely optional.

Cases in Class 8 are those discovered through death certificate files only. KCR staff will abstract these cases.

Cases in Class 9 are nonhospital facility cases. NOTE \*\*\*If your hospital has an outpatient radiology diagnosis or has read an outside pathology report diagnosing cancer, these are not reportable by your facility. However, information regarding the diagnosis MUST be sent to KCR central office staff. KCR staff will abstract these cases.

Cases designated Class X are those reported to KCR through out-of-state data exchange agreements.

#### TIME FRAME FOR REPORTING FOLLOW-UP INFORMATION:

Current follow-up information must be reported to KCR for each case diagnosed since 1995 that is class 0, 1, or 2. Follow-up information is considered current if the date of last contact with the patient is within 15 months of the current date. CPDMS.net can generate reports which identify patients who require updated follow-up information.

## CASEFINDING

All participating institutions should establish procedures for complete casefinding within their institution. In many hospitals, records are housed in one location, i.e., medical records department. In others, procedures for identifying patients from multiple independent ancillary service areas may be necessary, i.e., outpatient clinics, radiation therapy, etc. It is important that the following multiple sources in the hospital be searched to keep missed reportable cases to a minimum. The procedures outlined below should be adapted to each individual hospital.

1. Medical record disease discharge diagnostic index:

Any patient record coded with the diagnoses listed below should be reviewed to determine if the case is one which meets KCR reportability criteria:

**ICD-9-CM Casefinding List for Reportable Tumors (Effective Date: 10/1/2008)**

140.0- 208.9	Malignant neoplasms
209.0- 209.3	Malignant neuroendocrine tumors
225.0- 225.9	Benign neoplasms of brain and spinal cord
227.3- 227.4	Benign neoplasm of pituitary gland, pineal body, and other intracranial endocrine-related structures
230.0- 234.9	Carcinoma in situ
237.0- 237.9	Neoplasm of uncertain behavior [borderline] of endocrine glands and nervous system
238.4	Polycythemia vera (9950/3)
238.6	Solitary plasmacytoma (9731/3); extramedullary plasmacytoma (9734/3)
238.71	Essential thrombocythemia (9962/3)
238.72	Low grade myelodysplastic syndrome lesions (includes 9980/3, 9982/3, 9985/3)
238.73	High grade myelodysplastic syndrome lesions (includes 9983/3)
238.74	Myelodysplastic syndrome with 5q deletion (9986/3)
238.75	Myelodysplastic syndrome, unspecified (9985/3)
238.76	Myelofibrosis with myeloid metaplasia (9961/3)
238.79	Other lymphatic and hematopoietic tissues (includes 9960/3, 9961/3, 9970/3, 9931/3)
273.2	Gamma heavy chain disease (9762/3); Franklin's disease (9762/3)
273.3	Waldenstrom's macroglobulinemia (9761/3)

288.3	Hypereosinophilic syndrome (9964/3)
289.83	Myelofibrosis, NOS (9961/3)
511.81	Malignant pleural effusion
789.51	Malignant ascites
V10.0- V10.9	Personal history of malignancy (review these for recurrences, subsequent primaries, and/or subsequent treatment)

This procedure is imperative to assure that no cases have been missed, including those originally diagnosed by clinical methods only. A list of supplemental ICD-9-CM codes which may also be used for casefinding is available in [Appendix N](#).

## 2. Pathology reports:

All pathology reports on both inpatients and outpatients should be reviewed for case reportability. Since most cancer patients have a biopsy or operative resection performed, nearly all of the reportable cases can be identified through pathology reports alone. Histologic diagnoses are based upon microscopic examination of tissue taken from such procedures as biopsy, frozen section, surgery, or D & C. Expand path report screening to include benign CNS tumors, beginning with 1-1-04 diagnoses. Check for cases of anal intraepithelial neoplasia, grade III (AIN III), ductal intraepithelial neoplasia 3 (DIN 3), vaginal intraepithelial neoplasia, grade III (VAIN III), and vulvar intraepithelial neoplasia, grade III (VIN III).

NOTE: Path reports may be the best source for finding cases of VIN, VAIN, and AIN (8077/2) and DIN (8500/2).

## 3. Cytology reports:

All cytology reports for both inpatients and outpatients should be reviewed for case reportability. Cytologic diagnoses are based upon microscopic examination of cells as contrasted with tissues. Included are smears from sputum, bronchial bushings, bronchial washings, tracheal washings, prostatic secretions, breast secretions, gastric fluid, spinal fluid, peritoneal fluid, pleural fluid, and urinary sediment. Cervical and vaginal smears are common examples.

## 4. Autopsy reports.

## 5. Radiation Therapy Department logs.

## 6. Medical Oncology Department logs.

## 7. Outpatient Department:

New patient registration rosters, clinic appointment books, surgery schedules, diagnostic imaging, and billing departments are additional casefinding sources.

## 8. Alpha listing of previously included cases:

Casefinding cannot be considered complete until the CPDMS accession list and any previous registry accession lists have been checked to be sure that this is a new patient or a new primary.

**Creating and Maintaining a Nonreportable List**

In the course of routine casefinding activities, cases which are found to be nonreportable by your hospital should be added to a nonreportable list. The list should consist of each patient's name, DOB, SSN, medical record number, the type/site of cancer, and a brief explanation of why the case is not reportable to the hospital registry (i.e., "patient was seen for consult only, no dx or tx," or "patient originally diagnosed prior to reference date"). A well-maintained nonreportable list will save registrars time by preventing them from reviewing a chart multiple times to check on a particular primary that does not need to be abstracted. The list can be invaluable during casefinding audits by allowing quick resolution of possible missed cases. It is also helpful during the death clearance procedure.

Bear in mind that cases which are not reportable by your hospital, but which **ARE** reportable to KCR (see [Case Reporting Requirements](#)) should be sent to the central registry to be abstracted there. These may include:

- A specimen from an outside doctor's office which was sent to your hospital's path lab
- An outpatient scan that was diagnostic, but no further confirmation was made at your hospital
- Any case that was diagnosed and/or treated only in a nonhospital facility
- A Kentucky resident who was initially diagnosed or treated out of state

## GENERAL PRINCIPLES IN CODING

## CASES TO INCLUDE IN THE REGISTRY

According to the Reporting Requirements, all cases of primary malignant disease diagnosed or treated at a Kentucky hospital on or after January 1, 1991 are required to be included. These are usually described by the terms: carcinomas, sarcomas, melanomas, leukemias, and lymphomas. The primary reference book which lists all malignant diseases is the International Classification of Diseases for Oncology (ICD-O), third edition. In addition to providing a list of all morphologies considered to be malignant (or cancerous), the ICD-O book also contains cell behavior codes: 0=benign, 1=borderline malignancy, 2=in-situ, 3=malignant primary, 6=malignant metastasis, and 9=malignant, unknown if primary or metastatic. All malignancies with a behavior code of 2 or 3 in ICD-O, 3rd edition, should be included in the registry, except specified neoplasms of the skin and preinvasive cervical neoplasia, as described on page 2. Benign and borderline CNS tumors diagnosed on or after January 1, 2004 are required to be reported.

Other benign tumors and borderline malignancies (behavior codes 0 and 1) may be listed in the registry in a separate accession register. They should not be entered into CPDMS.net. These diagnoses are referred to as "reportable-by-agreement" cases.

Metastatic tumors and tumors that are unknown if primary or metastatic (behavior codes 6 and 9) are indicative of a primary malignancy of an unknown site. These cases should be reported with the primary site coded as "unknown primary" (topography code of C80.9) and the appropriate morphology code with a behavior code of /3.

### 1. Inconclusive diagnostic terms

Occasionally the diagnosis contains vague or inconclusive terms, such as probable carcinoma of the lung. The following terms are considered to be diagnostic of cancer if they modify a term such as malignancy or carcinoma:

apparent(ly)  
appears to  
compatible with  
comparable with  
consistent with  
favor(s)  
likely  
malignant appearing  
most likely  
presumed  
probable  
suspect(ed)

suspicious  
typical of

**EXCEPTION:** If a cytology report says "suspicious," do not interpret it as a diagnosis of cancer. Abstract the case only if a positive biopsy or a physician's clinical impression of cancer supports the cytology. The diagnosis date is date of supporting documentation - either physician statement or positive biopsy.

Any other ambiguous terminology regarding the diagnosis of a malignancy is not to be interpreted as diagnostic of cancer. Some examples are:

cannot be ruled out  
equivocal  
lump  
lytic lesion (on x-ray)  
mass  
neoplasm\*  
nodule  
possible  
potentially malignant  
questionable  
rule out  
suggests  
tumor\*  
worrisome

For example, a diagnosis of probable carcinoma of the left lung would be abstracted as a lung primary. A possible carcinoma is not reportable.

**\*EXCEPTION:** For benign and borderline brain and CNS tumors, the terms "tumor" and "neoplasm" will be considered diagnostic of a reportable disease.

## 2. Changing the diagnosis

Over time, information may be added to the patient's medical chart that was missing or ambiguous in the original record. It is the practice to accept the thinking and information about the case based on the latest or most complete information. Thus, it is acceptable to change the primary site and histology as information becomes more complete. However, information about the SEER Summary Stage and extent of disease at diagnosis may only be changed as long as the new information reflects the time period within four months of the date of diagnosis in the absence of disease progression or through first course surgeries, whichever is longer.

There may be cases reported originally as cancer with the ambiguous terms listed previously, which later information indicates never were malignancies. These

cases must be deleted from the file, and the sequence number of any remaining cases for the same person adjusted accordingly.

## STAGING SYSTEMS

### 1. AJCC Staging

The American College of Surgeons (ACoS) Commission on Cancer has required that all approved programs must TNM stage all sites contained in the AJCC *Manual for Staging of Cancer* since January 1, 1991. Effective with 1995 cases, all cancers must be staged by the managing physician or by residents or fellows and cosigned by a faculty or attending physician.

Clinical extent of disease is based on information and evidence accumulated before cancer-directed treatment. It is based on the physical examination, imaging, endoscopy, biopsy, surgical exploration, and other relevant findings. Clinical classification is appropriate for sites accessible for clinical examination. Use clinical classification when an organ does not have a pathologic evaluation.

Pathologic extent of disease is based on information gathered before cancer-directed treatment, as well as evidence gathered from surgery and pathological examination of the resected specimen. Pathologic extent of disease is a combination of all findings through first course of surgery, or 4 months, whichever is longer, in the absence of disease progression.

### 2. SEER Summary Stage 2000

The Commission on Cancer also requires Summary Staging for any and all sites not included or not appropriate for AJCC TNM staging. The Kentucky Cancer Registry required **Summary Staging 1977 on all cases diagnosed prior to January 1, 2001**. On January 1, 2001, the SEER Summary Stage 2000 coding scheme was implemented. This field will be calculated from the data values entered in the SEER Extent of Disease fields, so it does not have to be manually coded.

Extent of disease is limited to all information available through completion of first course surgery(ies) or within four months of diagnosis in the absence of disease progression, whichever is longer.

Summary Stage for all sites is based on pathological, operative, and clinical assessments. The priority for using these reports is:

- Pathologic
- Operative (Particularly important when the surgical procedure does not remove all malignant tissue)
- Clinical

### 3. SEER Extent of Disease (EOD)

For cases diagnosed from January 2000 to December 2003, the Kentucky Cancer Registry requires SEER Extent of Disease coding. Extent of Disease should include all information available through completion of surgery(ies) in first course treatment or within four months of diagnosis in the absence of disease progression, whichever is longer.

For all sites, extent of disease is based on a combined clinical and operative/pathological assessment. Use the SEER Extent of Disease Coding Manual, Third Edition (1998) to determine the code values for these fields.

#### 4. Collaborative Staging

Collaborative Staging (CS) is to be used for cases diagnosed on or after January 1, 2004. It is not to be used for cases diagnosed prior to that date. Its introduction does not affect CoC requirements for physicians to assign AJCC staging or the requirement that the physician-assigned staging values be recorded in the registry.

With Collaborative Staging, registrars code discrete pieces of information once and the CS computer algorithm derives the values for AJCC T, N, M and Stage Group, Summary Stage 1977, and Summary Stage 2000. The derived stage codes are ideally suited for data analysis because of the consistency that can be obtained with objectively-recorded, identically-processed data items.

The timing rule for CS coding was designed to make use of the most complete information possible to yield the "best stage" information for the tumor at the time of diagnosis- "use all information gathered through completion of surgery(ies) in first course of treatment or all information available within four months of the date of diagnosis in the absence of disease progression, whichever is *longer*." Disease progression is defined as further direct extension or distant metastasis known to have developed after the diagnosis was established. Information about tumor extension, lymph node involvement, or distant metastasis obtained after disease progression is documented, should be excluded from the CS coding.

Fifteen CS data items are coded by the registrar. The CS algorithm produces the output items listed as derived fields. The derived AJCC items are separate from the physician-coded items; and the derived Summary Stage items are separate from the manually-coded items collected by the CoC in the past. The derived items cannot be manually altered.

Like the AJCC and Summary Stage codes that are derived from it, CS is a site-specific staging system. The CS algorithm uses tumor site and histology to determine which CS schema to apply. Depending on the schema, the coding instructions and code definitions will vary. Collaborative Staging codes are defined for every site and histology combination. The AJCC *Cancer Staging Manual* does not cover all sites, and some histologies are excluded from sites with an AJCC coding scheme. When the CS algorithm processes a site-histology combination that does not have an applicable AJCC code, it assigns the display string "NA" for "Not applicable." A blank display string for a derived item means the CS algorithm was not run for the case.

## 2009 Abstractor's Manual

The complete instructions and site-histology defined codes are available in the *Collaborative Staging Manual and Coding Instructions (CS Manual) version 01.03.00*. Part I provides general instructions and the instructions and codes for generic (non site-specific) items. Part II contains the site-specific instructions and codes. The *CS Manual* and related information is available electronically on the AJCC Web site at <http://www.cancerstaging.org/cstage/manuals.html>.

## FIRST COURSE OF THERAPY

### 1. Treatment Plan

A treatment plan describes the type(s) of treatment(s) intended to modify or control the malignancy. The documentation confirming a treatment plan may be fragmented. It is frequently found in several different sources, i.e., medical record, clinic record, consultation reports, and outpatient records. All cancer-directed treatments specified in the physician(s) treatment plan are a part of the first course of therapy.

A treatment plan may specify only one method of treatment (i.e., surgery) or any combination of therapies (i.e., surgery, radiation therapy, chemotherapy, hormone therapy, immunotherapy, or other therapy). A single regimen includes the combination of concurrent or adjuvant treatments. All treatments specified in the treatment plan and delivered to the patient are first course of therapy.

### 2. Time Period

#### All Malignancies Except Leukemia

First course of therapy includes all cancer-directed treatment planned by the physician(s) during or after the first diagnosis of cancer. Planned treatment may include multiple modes of therapy, and may encompass intervals of a year or more. No treatment may be a planned treatment option; therefore, first course of therapy may be No treatment.

When a treatment plan is not available, evaluate the therapy and the time it started. If the therapy is a part of an established protocol or within accepted management guidelines for the disease, it is first course of therapy.

Consult the attending physician or registry's physician advisor if protocols or management guidelines are not available. If there is no treatment plan, established protocol, or management guidelines, and you cannot consult with a physician, use the principle: "first course treatment must begin within four months of the date of initial diagnosis." Any treatment given after four months is subsequent treatment.

Treatment failure or disease progression may prompt the physician to stop therapy before the full course has been completed. Record any treatments administered after the discontinuation of first course as secondary or subsequent therapy only. If there is no documentation of a treatment plan, a progression, recurrence, or treatment failure, first course ends four months after diagnosis date. Any treatment given after four months is second course treatment in the absence of a documented treatment plan or therapy standard.

#### Leukemia

Treatment for leukemia is divided into three phases: remission induction, consolidation, and maintenance. Remission induction is initial intensive chemotherapy and/or biological response modifiers. Consolidation is repetitive cycles of chemotherapy and/or irradiation to the brain, given immediately after remission. Maintenance is chemotherapy given for a period of months or even years to maintain remission. Code all therapy that is remission induction, consolidation or maintenance as first course. Do not record treatment that is given after a patient relapses. Some patients do not have a remission. If a patient does not have a remission, record the treatment given in the first attempt to induce a remission. Do not record treatment administered as a change in the original treatment plan.

### 3. Definitive Treatment

Definitive treatment usually modifies, controls, removes, or destroys proliferating cancer tissue. Treatment may be directed toward either the primary or metastatic sites. Physicians administer the treatment(s) to minimize the size of tumor, or to delay the spread of disease.

**NOTE:** Only definitive therapy should be included in statistical analyses of treatment. Surgical codes 00-07, and Other treatment code 0 must be excluded. These codes are not considered definitive therapy.

Palliative treatment is treatment that improves the patient's quality of life by preventing or relieving suffering. Palliative therapy may include definitive treatment procedures as well as non-definitive patient care procedures. **For example:** The patient was diagnosed with stage IV cancer of the prostate with painful bony metastases. The patient starts radiation treatment intended to shrink the tumor in the bone and relieve the intense pain. The radiation treatments are palliative because they relieve the bone pain; the radiation is also first course of therapy because it destroys proliferating cancer tissue. Record any palliative treatment that modifies or destroys cancer tissue as first course therapy.

### 4. Non-Definitive Treatment (Non-treatment patient care procedures)

Non-definitive treatments prolong the patient's life, make the patient comfortable, or prepare the patient for definitive therapy. These treatments are not tumor directed. They are not meant to reduce the size of the tumor or delay the spread of disease. Non-definitive procedures include diagnostic procedures and supportive care (treatments designed to relieve symptoms and minimize the effects of the cancer). Non-definitive therapies are generally not used in statistical analysis of treatment.

#### EXAMPLES:

Surgical procedures:

    Incisional biopsies

    Exploratory procedures with or without biopsies

Supportive care/relieving symptoms:

Palliative care, including surgery, radiation, and chemotherapy for symptom relief only

Pain medication

Oxygen

Antibiotics administered for an associated infection

Transfusions\*

Intravenous therapy to maintain fluid or nutritional balance

Laser therapy directed at relieving symptoms

**\*NOTE:** Coding Treatment for Hematopoietic Diseases: For many of the newly reportable hematopoietic diseases, the principal treatment is either supportive care, observation, or another type of treatment that does not meet the usual definition that treatment "modifies, controls, removes or destroys proliferating cancer tissue." Such treatments include phlebotomy, transfusions, aspirin, supportive care and observation. In order to document that patients with hematopoietic diseases did have some medical treatment, SEER and the Commission on Cancer have agreed to record these treatments as First Course "Other Treatment" (code 1) for the hematopoietic diseases ONLY. A complete description of the treatment plan should be recorded in the text field for "Other Treatment" on the abstract. For more details, see [Appendix K](#).

## FOLLOW-UP POLICY AND PROCEDURES

### I. Definition

- A. Follow-up of cancer patients is the systematic process of obtaining accurate information at least annually, on the patient's health, vital status, and progression of disease.
- Follow-up information is extremely important for the following reasons:
1. To assist in the early identification of the recurrence of a cancer.
  2. To assist the physician in getting former cancer patients to return for scheduled treatments and/or checkups.
  3. To insure periodic examinations of former cancer patients since they are prone to develop other cancers.
  4. To gather information so physicians can review various types of treatment in terms of survival.
- B. Follow-up information must be sought on analytic cases only (classes 0, 1, and 2), with the following exceptions:
1. Patients who are currently residing in foreign countries (New in NAACCR)
  2. Patients whose only malignancy is carcinoma in situ of the cervix
- These are not required to be followed, regardless of the class of the case.
- C. Follow-up is considered delinquent by the American College of Surgeons (ACoS) if the information is not successfully obtained and documented within 15 months of the patient's previous date of last contact. A successful follow-up rate of 90% of a hospital's analytic cases is considered in compliance with ACoS standards for an approved Cancer Program. It is best to maintain the highest follow-up rate possible; survival rates and other valuable statistical analyses are heavily dependent on accurate and timely follow-up information.

### II. Follow-up information to be collected includes:

- A. The date of last contact. This is either the date of death or the most current date the patient was known to be alive.
- B. Survival status. This indicates whether the patient is alive (with or without disease) or dead (from causes related or unrelated to cancer).
- C. Present address of patient, if different from that originally recorded.
- D. Disease Status. This is information about whether the patient was ever disease free, and if so, the start date of the disease free interval.
- E. Recurrence information. This includes the date of first recurrence, the type of first recurrence, and the site(s) of first recurrence.
- F. Additional treatment received. This includes the type(s) and date(s) of therapy given after the last date of last contact.
- G. If dead, cause of death. This includes any autopsy information available on this patient.
- H. Method of obtaining follow-up information. This includes any change in the name or address of the primary or alternate contact persons or in the method for pursuing follow-up on the next attempt.

### III. Procedures

- A. A computerized list of all patients in the tumor registry for whom no contact has been recorded in the last 12 months can be generated.
- B. All cancer registries, even the smallest, need form letters, particularly to make physician contact. All form letters should be printed or photocopied onto hospital letterhead and should have the correct phone number, including extension, for the staff contact person. Be sure there is ample space to insert names, addresses, and any additional information about the patient on the form. The information request form for physicians requires a great deal of care in design. You must provide adequate information: the full name of the patient, the diagnosis clearly stated, and the date of your latest information. The data items you request must be arranged in a logical sequence and must be easily recorded. If you must secure physician permission to contact a patient, include that request on the form.
- C. It is customary in most registries to obtain physician permission to contact patients directly when contact through that physician is not possible. This permission may be obtained in several ways:
  1. Blanket permission may be granted by action of the medical staff.
  2. In some hospitals, blanket permission to contact patients is not granted for any number of reasons. It then becomes necessary to obtain permission on a case by case basis.
- D. Follow-up information on all patients named on the follow-up control list should be pursued in an orderly and stepwise fashion:
  1. Pull and review charts or any internal lists which would indicate these patients' vital status and/or disease status.
  2. Identify any patients who have returned to this hospital and record the most current date of last contact. Review these charts for any other follow-up information related to the patient's cancer progression or treatment and update the patient's record in CPDMS.net.
  3. Send letters to the primary following physician designated for the patients remaining on the list. Labels will be generated by CPDMS.net to the appropriate contact person for each patient needing follow-up.
  4. When letters are returned with current information about your cancer patients, update the patient's record in CPDMS.net.
  5. If no new information is available, or no response at all is returned, pursue alternate contacts for information about these patients. These may be other physicians, relatives or friends of the patients, or the patients themselves.
  6. If there are any patients remaining on the control list for whom no current information has been located, you may be able to confirm the patient's vital status through various public agencies: The Department of Motor Vehicles, The Department of Vital Statistics, Voters' Registration, Social Security Administration, U.S. Office of Veterans Affairs, U.S. Postal Service, newspapers, etc.
  7. If all leads fail to return any current information, re-contact the patient's original or last known physician before you consider them "lost" to follow-up.
  8. Record all follow-up efforts and the resulting information in the text of the patient's record.

## CHANGES TO THE CPDMS.NET ABSTRACTOR'S MANUAL

### A. CHANGES RESULTING FROM IMPLEMENTATION OF THE COC's FORDS MANUAL IN 2003:

Several data items previously required by CoC were deleted in their FORDS Manual, and many new data items were added. CPDMS.net has not deleted any data items with its 2003 release. However, the required new elements have been added. One of these is an ACoS approval flag, which a hospital user may set in order to invoke data entry processes that provide access to and edit checking on all CoC required fields. Otherwise, only KCR data collection requirements will be enforced by the software routines.

The greatest impact of the FORDS Manual is in the collection of therapy information. The site specific surgery codes have been revised significantly since the CoC's 1998 surgery code revisions. Due to ACoS and SEER reporting requirements, KCR will maintain the old data values in the ROADS surgery fields. These will be identified by the acronym 'ROADS' beside the field name and they must be coded for diagnoses prior to 1/1/2003. Three of the new CoC data items - Surgery at Primary Site, Scope of Regional Lymph Node Surgery, and Surgery at Distant Sites - will have the acronym 'FORDS' beside the new field name and they must be coded for diagnoses on or after 1/1/2003. The other ROADS surgery data items will either be discontinued (Surgical Approach, Number of Regional Lymph Nodes Removed, Reconstruction) or converted to generic codes in FORDS, applicable to all sites (Surgical Margins).

There are eight new Radiation Therapy data items required in FORDS. These will be available only to hospitals that set their ACoS flag to 'approved.' These are NOT required by KCR. Finally, there will be new and separate therapy records specifically for non-definitive surgeries, Hormone Therapy, Immunotherapy, and Transplants/Endocrine procedures. The 'Other' therapy codes and definitions will be converted and revised accordingly.

### B. CHANGES FOR 2004:

The two most significant changes for 2004 are the implementation of the collaborative staging system and the inclusion of benign and bordering intracranial and CNS tumors in the list of reportable conditions.

### C. CHANGES FOR 2005:

The SEER Rx program is now used to categorize systemic treatments as chemotherapy, hormone therapy or immunotherapy. The most significant change is the classification of drugs according to their mechanism of action. These drugs are now coded as chemotherapy:

- cytostatic agents, including monoclonal antibodies (such as Rituxan and Herceptin), growth factor inhibitors (such as Iressa), anti-angiogenesis agents (such as thalidomide, Avastin, and Neovastat)
- anti-metabolites (such as Vidaza and Alimta)

The SEER Rx program used to classify drugs may be found at [www.seer.cancer.gov/tools/seerrx](http://www.seer.cancer.gov/tools/seerrx).

#### D. CHANGES FOR 2006

The CoC no longer requires class of case 0 cases to be followed by the registry or AJCC staged by the physician. However, KCR continues to require registries to follow these cases. Four additional comorbidity fields were added and the data item "Systemic Therapy/Surgery Sequence" was added.

#### E. CHANGES FOR 2007

The SEER [2007 Multiple Primary and Histology Coding rules](#) were implemented effective with cases diagnosed in 2007. These site-specific rules for determining the number of primary malignancies in solid tumors supersede all previous multiple primary rules. (Existing rules for determining the number of primary malignancies for lymphatic and hematopoietic diseases, and for benign and borderline intracranial and CNS tumors, remain in effect.) Along with the new Multiple Primary rules, six additional data items were introduced in 2007: Ambiguous Terminology, Date of Conclusive Diagnosis, Multiplicity Counter, Date of Multiple Tumors, Type of Multiple Tumors, and Managing Physician. Per ACoS requirements, the National Provider Identification (NPI) numbers were initiated in 2007. These are unique 10-digit identifiers for health care providers who bill Medicare (CMS) for services. The NPI data values are stored in the two support files: physician list and institution list. A lookup for NPI numbers is available at <https://nppes.cms.hhs.gov/NPPES/NPIRegistryHome.do>.

#### F. CHANGES FOR 2008

For cases diagnosed in 2008, the CoC considers pathologic staging information to be adequately collected by the CS items, and thus physician-assigned pathologic AJCC staging is no longer required to be collected. Clinical AJCC staging continues to be required for ACoS approved facilities. Collaborative Stage version 01.04.00 was released and is available at <http://www.cancerstaging.org/cstage/manuals.html>. Clarifications regarding the coding of embolization were issued by the CoC, NPCR, and SEER. Chemoembolization, in which tumor blood-flow is blocked by other means and a chemotherapy drug is injected into the tumor, is coded as chemotherapy. Radioembolization, in which tumor blood-flow is blocked and tiny radioactive beads or coils are injected into the tumor, is coded as radiation therapy. When blood flow to the tumor is blocked using other chemicals or materials (such as alcohol or acrylic), without the use of chemotherapy or radiotherapy, code this treatment in the 'Other' therapy field. Pre-surgical embolization of hypervascular tumors using particles, coils, or alcohol is NOT coded as therapy. This type of embolization is performed to make subsequent surgical resection easier, not as cancer-directed therapy.

#### G. CHANGES FOR 2009

Beginning with 2009 diagnoses, maiden name should be collected, when known. HER2 test results will be recorded for breast cases. Cases which are diagnosed *in utero* will use the actual date of diagnosis, rather than the date of birth (note: this situation requires an IF15 override).

## 2009 Abstractor's Manual

Two additional optional following physician fields were added. The codes 209.0-209.3 and 511.81 were added to the ICD-9-CM casefinding list, and a supplemental list of codes to aid in casefinding was made available as Appendix N.

## Determining the Number of Primaries

### DETERMINING THE NUMBER OF PRIMARY CASES TO ABSTRACTED

Use the following references to determine the number of cases to be abstracted, based on the table below.

- I. Use the SEER [2007 Multiple Primary and Histology Coding Rules for solid tumors](#) diagnosed on or after January 1, 2007. Use the [SEER Multiple Primary rules](#) in effect prior to 2007 for cases of in situ and malignant solid tumors diagnosed before 2007.
- II. Use [Appendix A](#), 'Rules for Determining Multiple Primaries for Lymphatic and Hematopoietic Diseases,' for cases of this type.
- III. Use the '[Rules for determining multiple primaries for benign and borderline intracranial and CNS tumors](#)' for cases of this type, which are reportable only if diagnosed on or after January 1, 2004.
- IV. Cases of Kaposi sarcoma (M9140) of any site are always a single primary. Code the site of origin if stated, or code to skin (C44.9) if Kaposi sarcoma arises simultaneously in skin and another site or if the site of origin is not identified.

## **SEER Multiple Primary and Histology Coding Rules**

The SEER 2007 Multiple Primary and Histology Coding Rules are effective with cases diagnosed on or after January 1, 2007. They contain site-specific rules for lung, breast, colon, melanoma of the skin, head and neck, kidney, renal pelvis/ureter/bladder, and malignant brain tumors. An additional set of rules addresses the specific and general rules for all other sites. The multiple primary rules guide and standardize the process of determining the number of primaries to be abstracted. The histology rules contain detailed histology coding instruction. The complete Multiple Primary and Histology Coding rules may be downloaded from the SEER Web site at: [http://seer.cancer.gov/tools/mphrules/mphrules\\_manual\\_01012007.pdf](http://seer.cancer.gov/tools/mphrules/mphrules_manual_01012007.pdf). In November 2007, clarifications were issued which are available at [http://seer.cancer.gov/tools/mphrules/replacement\\_pages\\_nov2007.pdf](http://seer.cancer.gov/tools/mphrules/replacement_pages_nov2007.pdf).

The SEER 2007 Multiple Primary and Histology Coding Rules do not apply to hematopoietic primaries (lymphoma and leukemia M9590-9989), Kaposi Sarcoma (M9140) of any site, or to the reportable benign or borderline intracranial or CNS tumors.

Use the Site-specific rules for the following primary site groups, excluding leukemia and lymphoma (M9590-9989) and Kaposi Sarcoma (M9140):

- Brain, malignant
- Breast
- Colon
- Head and Neck
- Kidney
- Lung
- Malignant Melanoma of the skin
- Renal pelvis, ureter, bladder, and other urinary

Use the Other Sites Rules for solid malignant tumors that occur in primary sites not covered by site-specific rules.

## Pre-2007 Multiple Primary Coding Rules

For solid malignant tumors diagnosed before 2007, use the SEER Multiple Primary Rules below, which are based on the *International Classification of Diseases for Oncology* (ICD-O-3), to determine if a diagnosis is a single or multiple primary.

1. Use the definitions below under the heading "Primary Site" to decide whether the tumor(s) involve one site or multiple sites.
  2. Follow the instructions under the heading "Rules for Coding Histology of Solid Tumors Diagnosed Prior to 2007" in item #30090 ([Histology](#)) to decide whether the tumor(s) are a single histology or mixed/multiple histologies.
  3. Use the "Rules for Determining Multiple Primary Cancers" to decide whether the case should be abstracted as one primary or multiple primaries.
1. Definitions for determining a single site and a single histology.

### Primary Site

A single site is defined as the same first three characters in the topography code for the sites listed below:

C03	Gum	C50	Breast
C04	Floor of mouth	C53	Cervix uteri
C11	Nasopharynx	C54	Corpus uteri
C14	Oral, other and ill-defined	C55	Uterus NOS
C15	Esophagus	C58	Placenta
C16	Stomach	C61	Prostate
C17	Small intestine	C62	Testis
C19	Rectosigmoid junction	C67	Bladder
C20	Rectum	C69	Eye and adnexa
C22	Liver and bile ducts	C70	Meninges
C25	Pancreas	C71	Brain
C26	Digestive, other and ill-defined	C72	CNS
C32	Larynx	C73	Thyroid
C39	Respiratory, other and ill-defined	C76	Ill-defined sites
C42	Hematopoietic and reticuloendothelial	C77	Lymph nodes
C44	Skin, other than melanoma	C80	Unknown primary
C48	Retroperitoneum and peritoneum		

**EXAMPLE:** The trigone of bladder (C67.0) and lateral wall of bladder (C67.2) are considered subsites of the bladder, and would be treated as one site. A tumor or lesion involving both subsites would be coded either to overlapping sites of bladder (C67.8), or bladder, NOS (C67.9).

A single site is defined as the same fourth character in the topography code for the anatomic sites listed below:

C18	Colon	C41	Bones of other sites
C21	Anus	C44	Melanoma of skin
C38.4	Pleura	C47	Peripheral and autonomic nervous system
C40	Bones of limbs	C49	Connective tissue

**EXAMPLE:** The transverse colon (C18.4), and the descending colon (C18.6), are considered separate sites. The only EXCEPTION to this is familial polyposis or polyposis coli involving more than one segment of the colon. This is abstracted as only one primary, coded to colon, NOS (C18.9). If the familial polyposis involves both the colon and the rectum, abstract as one primary with site code C19.9.

A single site involves more than one three character category in the topography coding scheme for the anatomic sites listed below:

<u>Sites</u>		<u>Code To</u>
C01 and C02	Tongue	C02.9
C05 and C06	Palate and other unspecified parts of mouth	C06.9
C07 and C08	Parotid and other major salivary glands	C08.9
C09 and C10	Tonsil and oropharynx	C10.9
C12 and C13	Pyriform sinus and hypopharynx	C13.9
C23 and C24	Gallbladder and other parts of biliary tract	C24.9
C30 and C31	Nasal cavity, middle ear, and accessory sinuses	C31.9
C33 and C34	Trachea and bronchus and lung	C34.9
C37 and C38 (except 38.4)	Thymus, heart, mediastinum, and overlapping lesions	C38.3
C51, C52, and C57.7-C57.9	Vulva, vagina, and other and unspecified parts of female genital organs	C57.9
C56 and C57.0-C57.4	Ovary, fallopian tube, broad ligament, round ligament, parametrium, and uterine	C56.9 if ovary; C57.9 if other

C60 and C63	adnexa Penis and other and unspecified male genital organs	C63.9
C64, C65, C66, and C68	Kidney, renal pelvis, ureter, and other and unspecified urinary organs	C64.9 if kidney; C68.9 if other
C74 and C75	Adrenal gland and other endocrine glands and related structures	C75.9

**EXAMPLE:** Base of tongue (C01.9), and border of tongue (C02.1), are considered subsites of the tongue, and would be treated as one site - either overlapping lesion of tongue (C02.8) or tongue, NOS (C02.9).

Each side of a paired organ is considered a separate site. Tumors arising on different sides of a paired organ are considered separate primaries, unless the tumor on one side is stated to be metastatic. Exceptions are bilateral involvement of the ovaries in which a single histology is reported, bilateral retinoblastomas, and bilateral Wilms' tumors, which are all considered single primaries.

#### Histologic Type

When the **FIRST THREE DIGITS** of the ICD-O-3 morphology codes are **IDENTICAL**, the lesions are the **SAME HISTOLOGY**, except for lymphatic and hematopoietic diseases and benign and borderline CNS tumors.

**Exception:** Code the following as single primaries with a single histology, even though the first three digits of the ICD-O-3 morphology codes differ:

Bladder lesions (8120-8130)

Breast lesions (ductal carcinoma - 8500/3) and (lobular carcinoma - 8520/3) Code to 8522/3

**Exception:** Non-small cell carcinoma (8046/3) is not considered the same as 8041/3-8045/3, even though the first three digits are the same.

**Exception:** Lymphatic and hematopoietic disease (see "Rules for Determining Multiple Primaries for Lymphatic and Hematopoietic Diseases" and use Appendix A to determine multiple primaries).

**Exception:** Benign and borderline CNS tumors (see "Rules for Determining Multiple Primaries for Benign and Borderline Intracranial and CNS Tumors" for multiple primary rules).

Simultaneous/synchronous diagnosis

Diagnoses that occur within two months of each other are considered simultaneous.

2. Rules for Determining Multiple Primary Cancers (**except for lymphatic and hematopoietic diseases and benign and borderline CNS tumors**).

Single Primary

1. A single lesion of one histologic type is considered a single primary even if the lesion crosses site boundaries.
2. A single lesion with multiple histologic types is to be considered as a single primary. The most frequent combinations are listed in ICD-O-3. For example, combination terms such as "adenosquamous carcinoma (8560/3)" or "combined small cell-large cell carcinoma (8045/3)" are included. Any of these mixed histologies are to be considered one primary.
3. A single lesion with an in-situ component and an invasive component is considered a single primary.
4.
  - a) If a new cancer of the same histology as an earlier one is diagnosed in the same site within two months, consider this to be the same primary cancer.
  - b) If a new cancer of the same histology is diagnosed in the same site after two months, consider this new cancer a separate primary unless stated to be recurrent or metastatic.

**Exception to 4b:** If there is an in-situ cancer followed by an invasive cancer in the same site with the same histology more than two months apart, report as two primaries even if stated to be a recurrence.

NOTE: Bladder cancers, site codes C67.0 - C67.9, with histology codes 8120-8130 may be abstracted at most twice; one abstract for the first in-situ lesion if it precedes the first invasive lesion, and one for the first invasive lesion (if diagnosed at least 2 months later than the in-situ lesion). This also applies to adenocarcinoma of the prostate. These are reported at most only twice; once for the first in-situ lesion if it precedes the first invasive lesion (these are very rare) and once for the first invasive lesion.

NOTE: Kaposi's sarcoma (9140/3) is reported only once. Kaposi's sarcoma is coded to the site in which it arises. If Kaposi's sarcoma arises in skin and another site simultaneously, code to skin (C44.\_). If no primary site is stated, code to skin (C44.\_).

5. Multiple lesions of the same histologic type:

- a. Simultaneous multiple lesions of the same histologic type within the same site will be considered a single primary. Further, if one lesion has a behavior code of in-situ and another has a behavior code of malignant (invasive), still consider this to be a single primary whose behavior is malignant.
- b. Multiple lesions of the same histologic type occurring in different sites are considered to be separate primaries unless stated to be metastatic.

**Exception:** Adenocarcinoma in multiple adenomatous polyps of the colon.

NOTE: For paired organs, each side is considered a separate site.

- c. If only one histologic type is reported and if both sides of a paired site are involved within two months of diagnosis, a determination must be made as to whether the patient has one or two independent primaries. If it is determined that there are two independent primaries, two records are to be submitted, each with the appropriate laterality and extent of disease information.  
There are THREE EXCEPTIONS to this rule. Simultaneous bilateral involvement of the ovaries in which there is only a single histology is to be considered one primary and laterality is to be coded '4'. Bilateral retinoblastomas and bilateral Wilms' tumor are always considered single primaries (whether simultaneous or not), and laterality is coded as '4'.
- d. If one histologic type is reported in one side of a paired organ and a different histologic type is reported in the other paired organ, consider these two primaries unless there is a statement to the contrary.

**EXAMPLE:** If a ductal lesion occurs in one breast and a lobular lesion occurs in the opposite breast, these are considered to be two primaries.

## 6. Multiple lesions of different histologic types:

- a. Multiple lesions of mixed histologies in the same site are a single primary.  
**EXAMPLE:** Tumors with predominant features or combination codes such as combined small cell-large cell carcinoma 8045/3.
- b. Multiple lesions of different histologic types within a single site are to be considered separate primaries whether occurring simultaneously or at different times.

**Exception:** For multiple lesions within a single site occurring within two months, if one lesion is stated to be carcinoma, NOS, adenocarcinoma, NOS, Melanoma, NOS, or sarcoma, NOS and the

second lesion is a more specific term, such as large cell carcinoma, mucinous adenocarcinoma, or spindle cell sarcoma, consider this to be a single primary and code to the more specific term.

**Exception:** Within each breast, combinations of ductal and lobular carcinoma occurring within two months of each other are to be considered a single primary and the histology coded according to ICD-O-3.  
(8522/3)

**Exception:** Thyroid carcinomas, reported with two separate carcinomas - one papillary and the other follicular - should be reported as one primary with the mixed histology code 8340/3.

c. Multiple lesions of different histologic types occurring in different sites are considered separate primaries whether occurring simultaneously or at different times.

LESIONS	SITE(S)	HISTOLOGY	VARIABLES	PRIMARY
Single	Single	Single		Single
	Single	Mixed/multiple		Single
Single or multiple*	Single	Single	Different behavior codes, in-situ (2) and invasive (3)	Single
	Same as previous site	Same as previous histology	Within two months of diagnosis	Recurrence of the original primary
	Same as previous site	Same as previous histology	More than two months after diagnosis	New primary unless physician states it is metastatic.  <b>Exceptions:</b> bladder, Kaposi's sarcoma, adenocarcinoma of prostate.
	Same as previous site	Invasive after in-situ	More than two months after diagnosis	New primary even if stated as recurrence.
Multiple*	Single	Single	Simultaneous	Single
	Multiple	Single	Simultaneous	Multiple UNLESS physician states metastatic.
	Paired site	Single	Simultaneous	Physician determines <b>Exceptions:</b> Ovaries (simultaneous bilateral), retinoblastoma, and Wilms' tumor are single primaries.
	Paired site	Multiple	Simultaneous	Multiple

	Single	Mixed	Simultaneous	Single
	Single	Multiple (Each tumor has a different histology.)	Simultaneous or different	Multiple <b>Exceptions:</b> Breast (lobular and ductal); bladder (transitional and papillary,) and thyroid (papillary and follicular).
	Multiple	Multiple	Simultaneous or different	Multiple

\*See the preceding site and histology rules for definition of "multiple".

**Rules for Determining Multiple Primaries for Lymphatic and Hematopoietic Diseases (9590-9989):**

If the physician clearly states that a hematopoietic diagnosis is a new primary, use that information. Otherwise, the determination of multiple primaries should be done using the guidelines in [Appendix A](#).

- Rules:
1. Topography is NOT considered in determining multiple primaries of lymphatic and hematopoietic diseases.
  2. The time interval between diagnoses does NOT enter into the decision.

Appendix A was completely revised with the implementation of ICD-O-3 and the new table for determining multiple hematopoietic malignancies is effective with cancers diagnosed on or after January 1, 2001. Appendix A contains links to both the revised table and the previous table, which is to be used for pre-2001 diagnoses.

One of the major changes that took place with the implementation of ICD-O-3 was the inclusion of newly reportable hematopoietic diseases (myeloproliferative and myelodysplastic syndromes). These cases are not accessioned or sequenced unless they were diagnosed on or after January 1, 2001, even if the patient received treatment for this disease after that date.

NOTE: If a reportable hematopoietic malignancy is diagnosed after January 1, 2001 in the same person who has another hematopoietic disease diagnosed prior to 2001, use Appendix A to determine if the second condition must be abstracted. If the cross check is D, it should be abstracted. If the cross check is S, it should not be abstracted if the first condition was abstracted; it should be abstracted if the first condition was not.

**Rules for determining multiple primaries for benign and borderline intracranial and CNS tumors (C70.0 - C72.9, C75.1 - C75.3):**

For non-malignant CNS tumors, subsite, histology, and laterality must be considered.

A. Primary Site -

A single site is defined as the same fourth character (subsite) in the topography code for the anatomic sites listed below:

C70 Meninges

C72 Spinal Cord and Cranial Nerves

C71 Brain

C75 Pituitary, Pineal, Craniopharyngeal

If different tumors arise in different subsites, then they are separate primaries.

Example: A benign tumor in the parietal lobe (C71.3) and a separate benign tumor in the frontal lobe (C71.1). Count and abstract as separate primaries.

Example: Meningioma of cervical spine dura (C70.1) and separate meningioma overlying occipital lobe (C70.0, cerebral meninges). Count and abstract as separate primaries.

**Exception:** If one subsite is non-specific (such as brain, NOS C71.9), and other is specific in same 3 character category (such as C71.\_\_), count as one primary only. For example, biopsy of temporal lobe (C71.2) shows benign tumor and diagnosis from CT scan states "neoplasm of brain" (C71.9). Report one primary only (C71.2).

B. Histology -

If separate tumors have different histologies, then they are separate primaries. To determine whether tumors have different histologies, code the histology of each tumor and look them up in the table below.

**Histologic Groupings To Determine Same Histology for Non-malignant Brain Tumors**

Choroid plexus neoplasms	9390/0, 9390/1
Ependymomas	9393, 9394, 9444
Neuronal and neuronal-glial neoplasms	9384, 9412, 9413, 9505/1, 9506, 9442
Neurofibromas	9540/0, 9540/1, 9541, 9550, 9560/0
Neurinomatosis	9560/1
Neurothekeoma	9562
Neuroma	9570
Perineurioma, NOS	9571/0

1. If neither histology code is in the table above and codes are the same at the three-digit level, abstract as one primary.

Example: Patient has clear cell meningioma (9538/1) of the cerebral meninges and a separate transitional cell meningioma (9537/0) in another part of the same hemisphere. Count and abstract as one primary.

2. If the two histology codes are in the same category of the table, count as one primary.

Example: Patient has a ganglioglioma (9505/1) of the cerebellum (C71.6) and a neurocytoma (9506/1) of the cerebellopontine angle (C71.6). Count and abstract as one primary.

3. If the histology codes are in different categories of the table, count and abstract as separate primaries.

Example: Patient has a choroid plexus papilloma (9390/0) of the third ventricle (C71.5) and a choroid glioma (9444/1) of the third ventricle (C71.5). Count and abstract as separate primaries.

4. If one histology is in the benign brain histology table and the other is not, compare codes at the three-digit level. If they are the same, count as one primary. If they are different, count as two primaries.

Example: Patient has a subependymal glioma (9383/1) diagnosed on needle biopsy in August, and at resection in September the diagnosis is subependymal giant cell astrocytoma (9384/1). Count and abstract as one primary.

Example: Patient has a Pacinian tumor (9507/0) diagnosed in March and a dysembryoplastic neuroepithelial tumor (9413/1) of the occipital lobe diagnosed in July. Count and abstract as separate primaries.

C. L laterality -

For each non-malignant (and malignant) primary brain and CNS tumor for the sites shown below and with a diagnostic date on or after January 1, 2004, code laterality using codes 1-4 or 9. Midline tumors are coded 9.

Prior to 1-1-04 diagnoses, primary brain and CNS tumors were coded '0' for laterality.

CNS Sites for which laterality is to be coded:

C70.0	Cerebral Meninges
C71.0	Cerebrum
C71.1	Frontal lobe
C71.2	Temporal lobe
C71.3	Parietal lobe
C71.4	Occipital lobe
C72.2	Olfactory nerve
C72.3	Optic nerve

C72.4	Acoustic nerve
C72.5	Cranial nerve, NOS

1. If laterality is same side, one side unknown or not applicable, and same subsite and same histology, then abstract as one primary.
2. If laterality is both sides, abstract separate primaries.

Example: Benign tumors (same histology) in left and right temporal lobes. Count and abstract as separate primaries.

D. Timing -

If a new non-malignant tumor is diagnosed in the same subsite with the same histology as a previous one, then one primary is abstracted, regardless of time elapsed. (For tumors with an initial diagnosis prior to 1-1-04, do not abstract recurrent non-malignant CNS tumors.)

E. Multiple lesions with different behavior codes -

1. Non-malignant tumor followed by malignant tumor: abstract separate primaries regardless of timing.
2. Malignant tumor followed by non-malignant tumor: abstract separate primaries regardless of timing.
3. Benign tumor transforms to malignancy (rare occurrence): create second abstract for malignancy.

Example: Patient is diagnosed and treated for choroid plexus papilloma (9390/0) of right lateral ventricle in June 2004. Eighteen months later, patient is symptomatic again and re-biopsy of same area is reported as choroid plexus carcinoma (9390/3). Count and abstract as two primaries.



## Patient Data

### **10020 - SOCIAL SECURITY NUMBER**

Field Length: 9

Enter the patient's social security number in the field provided. If the patient does not have a social security number, use the formula below to assign a unique temporary number.

**NOTE:** The social security number is the main element used in identifying patients, matching information, etc., and must be recorded accurately for every patient entered in the system.

**FORMULA:** Temporary "social security" numbers are assigned only to patients not possessing a verifiable social security number. Use the initials of the patient's first, middle and last names, followed by digits representing the birth date. (Use zero when the patient's middle initial is unknown.)

Thus, John Brown, born January 21, 1946, would be issued the following number:

J0B - 01 - 2146

Where month, day or year of birth is not known, enter "99".

Temporary numbers should be checked for duplication within your hospital's cancer registry before the patient is accessioned. If the temporary number works out to be exactly the same as that of a different patient, the registrar should change the middle initial to the number "1". If there are more than two patients with the same temporary number, continue to substitute numbers in the middle initial in sequential order.

[FYI: If the Medicare billing number is a Social Security Number followed by a B or D, this indicates that the SSN belongs to the spouse of the patient.]

## **10030 - LAST NAME**

Field Length: 20

Enter the patient's last name in the spaces provided. If the name exceeds the number of spaces provided, enter as much as possible. If during the course of follow-up, the patient's name changes, update the record with the current name.

Use the following rules when recording patient last names:

1. Name fields should contain alpha characters and blanks only -- no special characters such as apostrophes, commas, hyphens, etc.
2. Any name titles or suffixes, such as DR., M.D., MR., MS., JR., SR., III, IV, and so on, should be recorded in the middle name field after, or instead of, the middle name. These data are optional, and need not be recorded at all.
3. Blanks are allowed in the last name field, but they must be used consistently in order to match patients at the central data base. Therefore, the following rules are established:
  - a. When a patient has two last names, or a hyphenated last name, you may type both in the last name field separated by a blank space.
  - b. Patients with two-part last names, such as VAN HORN or ST JOHN, may have a space between the two parts, but no special punctuation marks.
  - c. Names like 'MCCOY' or 'O'BRYAN' should be typed 'MCCOY' or 'OBRYAN' with no spaces and no punctuation.

**10040 - FIRST NAME**

Field Length: 15

Enter the patient's first name in the spaces provided. If the name exceeds the number of spaces provided, enter as much as possible.

Use the following rules when recording the patient's first name:

1. Name fields should contain alpha characters and blanks only -- no special characters such as apostrophes, commas, hyphens, etc.
2. Any name titles or suffixes, such as DR., M.D., MR., MS., JR., SR., III, IV, and so on, should be recorded in the middle name field after, or instead of, the middle name. These data are optional, and need not be recorded at all.
3. Blanks are allowed in the first name field, but they must be used consistently in order to match patients at the central data base. Therefore, the following rules are established:
  - a. Patients with two-part first names, or two first names, may have them both recorded in the first name field, separated by a blank space. For example: MARY JO MARY ANN JOHN ED etc.
  - b. Patients who go by their initials should have their first initial recorded in the first name field, and the second in the middle name field. For example: J.B. JONES would have 'J' in first name and 'B' in middle name.
  - c. Patients with a name and an initial should have them recorded in separate fields. For example: H. EDWARD SMITH should have 'H' in first name and 'EDWARD' in middle name.

**10050 - MIDDLE NAME**

Field Length: 10

Enter the patient's middle name in the spaces provided. If the name exceeds the number of spaces, enter as much as possible. If only an initial is given, enter the initial.

You may also record the patient's title or name suffix in this field -- such as: DR, JR, SR, III, M.D., etc.

**10055 - MAIDEN NAME**

Field Length: 15

This is a required field if the patient's maiden name is available. Leave blank for males or if it is unknown.

## **10060 - CURRENT STREET ADDRESS - LINE 1**

Field Length: 40

Record the currently known number and street address of the patient's usual residence. Leave a blank between numbers and words if space permits. **Do not use periods after abbreviations.** Use the U.S. Postal Service Guidelines below when entering addresses.

This item is different from patient address at diagnosis in that it provides a current address for follow-up purposes. **Address-Line 1 will be used for mailing labels, so it should contain the patient's mailing address.** This item should be updated as newer information becomes available.

Normally a residence is the home named by the patient. Do not use a temporary address. Legal status and citizenship are not factors in residency decisions. Rules of residency are identical to or comparable with rules used by the Census Bureau whenever possible.

### **Rules for persons without apparent residences:**

**Persons with More than One Residence** (summer and winter homes): Use the address the patient specifies if a usual residence is not apparent.

**Persons with No Usual Residence** (transients, homeless, migrant workers): Use the address of the place they were staying when the cancer was diagnosed. This could be a shelter or the diagnosing institution.

**Persons Away at School:** College students are residents of the school area. Boarding school children below college level are residents of their parents' home.

**Persons in Institutions:** The Census Bureau states "Persons under formally authorized, supervised care or custody" are residents of the institution. This includes:

- Incarcerated persons
- Persons in homes, schools, hospitals, or wards for the physically disabled, mentally retarded, or mentally ill
- Long-term residents of other hospitals, such as Veterans Administration (VA) hospitals

**Persons in the Armed Forces and on Maritime Ships:** Members of the armed forces are residents of the installation area. Use the stated address for military personnel and their family. Military personnel may use the installation address or the surrounding community's address.

## **Guidelines for Entering Postal Addresses**

### **Street Name**

Spell out street names completely. Remove apartment numbers and letters from street numbers; apartment numbers, floors, suites, etc. should be placed AFTER the street name, separated by a comma.

Eg.

**143 LAKE ST, APT D  
2365 HARRODSBURG RD, SUITE A230**

**Directionals**

This is a term the Postal Service uses to refer to the part of the address that gives directional information for delivery (i.e., N, S, E, W, NE, NW, SE, SW).

If a directional word is the first word in the street name and there is no other directional to the left of it, abbreviate it.

Eg.

**NORTH BAY ST  
SOUTHEAST END AVE**  
Acceptable

**N BAY ST  
SE END AVE**  
Preferred

If a directional word is located to the right of the street name and suffix, abbreviate it.

Eg.

**BAY DRIVE SOUTHWEST**  
Acceptable

**BAY DR SW**  
Preferred

When two directional words appear consecutively as one or two words, before the street name or following the street name or suffix, then the two words become either the pre- or the post-directionals. Exceptions are any combinations of *NORTH-SOUTH* or *EAST-WEST* as consecutive words. In these cases the second directional becomes part of the primary name and is spelled out completely in the primary name field.

**NORTH SOUTH OAK ST  
MAPLE CT EAST WEST  
NORTH EAST MAIN ST  
BAY AVE SOUTHWEST**  
Acceptable

**N SOUTH OAK ST**

**MAPLE COURT EAST W**  
**NE MAIN ST**  
**BAY AVE SW**  
Preferred

If the two-word directional is part of the street name do not abbreviate it.

Eg.

**SOUTHEAST FREEWAY NORTH**  
Acceptable

**SOUTHEAST FWY N**  
Preferred

### **Suffixes**

#### **Abbreviations**

The suffix of the address should conform with the standard suffix abbreviations used by the USPS (see [Appendix D](#)).

#### **Two Suffixes**

If an address has two consecutive suffixes, abbreviate the second of the two words and place it in the suffix field. The first of the two words is part of the primary name. Spell it out on the mailpiece in its entirety after the street name.

Eg.

**789 MAIN AVENUE DRIVE**  
**4513 3<sup>RD</sup> STREET CIRCLE WEST**  
**1000 AVE E**  
Acceptable

**789 MAIN AVENUE DR**  
**4513 3<sup>RD</sup> STREET CIR W**  
**1000 AVENUE E**  
Preferred

### **Rural Route Addresses**

#### **Format**

Print rural route addresses on mailpieces as: RR N BOX NN. Do not use the words *RURAL*, *NUMBER*, *NO.*, or the pound sign (#).

**RR 2 BOX 152**

**RR 9 BOX 23A**

**Leading Zero**

A leading zero before the rural route number is not necessary.

**RR 3 BOX 98D**

**Post Office Box Addresses**

**Format**

Avoid using PO Box or RR addresses if you have a street address.

Post Office Box addresses are output as *PO BOX NN* on the mailpiece.

**Eg.**

**PO BOX 11890  
PO BOX G**

**10070 - CURRENT STREET ADDRESS - LINE 2**

Field Length: 40

This field provides space to record additional address information, such as the name of a nursing home, apartment complex, etc. This line will not be displayed on mailing labels. If the patient has both a PO Box (for a mailing address), and a street name and number (for a living address), put the street name and number on address-line 2. Update this item if the patient's address changes. Leave this field blank if the additional address space is not needed.

**10080 - CURRENT CITY**

Field Length: 20

Enter the city of current residence in the spaces provided. Abbreviate only if necessary. A list of Kentucky cities and towns is located in [Appendix D](#). This item is different from city at diagnosis in that it provides the current city or town for follow up purposes. This item should be updated as newer information becomes available.

Additional rules for determining residency may be found under the data item "CURRENT STREET ADDRESS."

## **10090 - CURRENT STATE**

Field Length: 2

Record the two letter abbreviation for the state in which the patient currently resides. Refer to [Appendix B](#) also for a list of the state abbreviations. Appendix B contains abbreviations for U.S. territories and Canadian provinces, as well. Residents of countries outside the United States, its territories, or Canada, should be coded with the two-character code 'XX' or 'YY' if the state or country or current residence is unknown.

This item is different from state at diagnosis in that it provides the current state or country for follow up purposes. This item should be updated as newer information becomes available.

Additional rules for determining residency may be found under the data item "CURRENT STREET ADDRESS."

**10100-10110 - CURRENT ZIP CODE**

Field Length: 9

Enter the nine digit zip code for the patient's current address. If only five digits are given, record those and leave the rest of the field blank.

Refer to the U.S. Postal Service web site (see [Appendix D](#)) for the appropriate code if none is recorded in patient's record.

Code 888888888 if the patient's address is in a county other than Canada, the United States, or U.S. possessions. Code 999999999 if the patient's address is in Canada, the United States, or a U.S. possession, but the zip code is unknown.

This item is different from zip code at diagnosis in that it provides the current zip code for follow up purposes. This item should be updated as newer information becomes available.

Additional rules for determining residency may be found under the data item "CURRENT STREET ADDRESS."

**10120 - HOME TELEPHONE NUMBER**

Field Length: 10

Enter the patient's area code in the first three spaces followed by the seven digit number.

Enter '0000000000' if the patient does not have a telephone.

Enter '9999999999' if the telephone number is unknown.

**10130 - DATE OF BIRTH**

Field Length: 8

Enter the month, day, and year the patient was born. Precede all single digit dates with "0".

If the exact day is unknown, code the 15th of the month.

If the month is unknown, approximate or code as June. If the year is unknown, enter your best estimate. You must use a valid date. Do not leave blank.

**10140 - PLACE OF BIRTH**

Field Length: 3

Record the 3 digit code for the patient's state or country of birth. See [Appendix J](#) for numeric and alphabetic listings of the appropriate codes and their definitions.

Code '999' when unknown.

**10150 - SEX**

Field Length: 1

Enter the one character code which describes the patient's sex:

- 1 - Male
- 2 - Female
- 3 - Other (hermaphrodite)
- 4 - Transsexual
- 9 - Unknown

If the patient is transsexual, code to the gender at birth, if known.

## 10160 - RACE1

Field Length: 2

Enter the two digit code which describes the patient's race group. If the patient is multiracial, code all races using data fields Race2-Race5. **Effective with 2004 diagnoses, use the race coding rules and tables in [Appendix L](#).**

01 - White	20 - Micronesian, NOS
02 - Black	21 - Chamorran
03 - American Indian, Aleutian, Eskimo	22 - Guamanian, NOS
04 - Chinese	25 - Polynesian, NOS
05 - Japanese	26 - Tahitian
06 - Filipino	27 - Samoan
07 - Hawaiian	28 - Tongan
08 - Korean	30 - Melanesian, NOS
09 - Asian Indian, Pakistani	31 - Fiji Islander
10 - Vietnamese	32 - New Guinean
11 - Laotian	96 - Other Asian including Asian, NOS and Oriental, NOS
12 - Hmong	97 - Pacific Islander, NOS
13 - Kampuchean (Cambodian)	98 - Other
14 - Thai	99 - Unknown

-White includes Mexican, Puerto Rican, Cuban, and all other Caucasians.

-Black includes the designations Negro or Afro-American.

-Race is based on birth place information when place of birth is given as China, Japan, or the Philippines, and race is reported only as Asian, Oriental, or Mongolian.

**10170 - RACE2**

Field Length: 2

Enter the two digit code which describes the patient's race group. **If the patient is multiracial, code all races using data fields Race2-Race5.**

01 - White	20 - Micronesian, NOS
02 - Black	21 - Chamorran
03 - American Indian, Aleutian, Eskimo	22 - Guamanian, NOS
04 - Chinese	25 - Polynesian, NOS
05 - Japanese	26 - Tahitian
06 - Filipino	27 - Samoan
07 - Hawaiian	28 - Tongan
08 - Korean	30 - Melanesian, NOS
09 - Asian Indian, Pakistani	31 - Fiji Islander
10 - Vietnamese	32 - New Guinean
11 - Laotian	96 - Other Asian including Asian, NOS and Oriental, NOS
12 - Hmong	97 - Pacific Islander, NOS
13 - Kampuchean (Cambodian)	98 - Other
14 - Thai	99 - Unknown
	<b>88 - No other race documented</b>

-White includes Mexican, Puerto Rican, Cuban, and all other Caucasians.

-Race is based on birth place information when place of birth is given as China, Japan, or the Philippines, and race is reported only as Asian, Oriental, or Mongolian.

**-If Race1 is '99', then Race2 through Race5 must be '99'**

### **10180 - RACE3**

Field Length: 2

Enter the two digit code which describes the patient's race group. **If the patient is multiracial, code all races using data fields Race2-Race5.**

01 - White	20 - Micronesian, NOS
02 - Black	21 - Chamorran
03 - American Indian, Aleutian, Eskimo	22 - Guamanian, NOS
04 - Chinese	25 - Polynesian, NOS
05 - Japanese	26 - Tahitian
06 - Filipino	27 - Samoan
07 - Hawaiian	28 - Tongan
08 - Korean	30 - Melanesian, NOS
09 - Asian Indian, Pakistani	31 - Fiji Islander
10 - Vietnamese	32 - New Guinean
11 - Laotian	96 - Other Asian including Asian, NOS and Oriental, NOS
12 - Hmong	97 - Pacific Islander, NOS
13 - Kampuchean (Cambodian)	98 - Other
14 - Thai	99 - Unknown
	<b>88 - No other race documented</b>

-White includes Mexican, Puerto Rican, Cuban, and all other Caucasians.

-Race is based on birth place information when place of birth is given as China, Japan, or the Philippines, and race is reported only as Asian, Oriental, or Mongolian.

**- If Race1 is '99', then Race2 through Race5 must be '99'**

**10190 - RACE4**

Field Length: 2

Enter the two digit code which describes the patient's race group. **If the patient is multiracial, code all races using data fields Race2-Race5.**

01 - White	20 - Micronesian, NOS
02 - Black	21 - Chamorran
03 - American Indian, Aleutian, Eskimo	22 - Guamanian, NOS
04 - Chinese	25 - Polynesian, NOS
05 - Japanese	26 - Tahitian
06 - Filipino	27 - Samoan
07 - Hawaiian	28 - Tongan
08 - Korean	30 - Melanesian, NOS
09 - Asian Indian, Pakistani	31 - Fiji Islander
10 - Vietnamese	32 - New Guinean
11 - Laotian	96 - Other Asian including Asian, NOS and Oriental, NOS
12 - Hmong	97 - Pacific Islander, NOS
13 - Kampuchean (Cambodian)	98 - Other
14 - Thai	99 - Unknown
	<b>88 - No other race documented</b>

-White includes Mexican, Puerto Rican, Cuban, and all other Caucasians.

-Race is based on birth place information when place of birth is given as China, Japan, or the Philippines, and race is reported only as Asian, Oriental, or Mongolian.

**- If Race1 is '99', then Race2 through Race5 must be '99'**

## **10200 - RACE5**

Field Length: 2

Enter the two digit code which describes the patient's race group. **If the patient is multiracial, code all races using data fields Race2-Race5.**

01 - White	20 - Micronesian, NOS
02 - Black	21 - Chamorran
03 - American Indian, Aleutian, Eskimo	22 - Guamanian, NOS
04 - Chinese	25 - Polynesian, NOS
05 - Japanese	26 - Tahitian
06 - Filipino	27 - Samoan
07 - Hawaiian	28 - Tongan
08 - Korean	30 - Melanesian, NOS
09 - Asian Indian, Pakistani	31 - Fiji Islander
10 - Vietnamese	32 - New Guinean
11 - Laotian	96 - Other Asian including Asian, NOS and Oriental, NOS
12 - Hmong	97 - Pacific Islander, NOS
13 - Kampuchean (Cambodian)	98 - Other
14 - Thai	99 - Unknown
	<b>88 - No other race documented</b>

-White includes Mexican, Puerto Rican, Cuban, and all other Caucasians.

-Race is based on birth place information when place of birth is given as China, Japan, or the Philippines, and race is reported only as Asian, Oriental, or Mongolian.

**- If Race1 is '99', then Race2 through Race5 must be '99'**

**10210-10220 - Computer-Derived Name-Based Ethnicity**

This field contains codes identifying ethnicity as determined by a software algorithm or computer list-based method to identify cancer patients' ethnicity based on last name or maiden name. The effective date for implementation of this field is for cases diagnosed January 1, 1995, and after.

There are two parts to this field:

- Computed Ethnicity
- Computed Ethnicity Source

**10210 - Computed Ethnicity:**

Field Length: 1

Code

- 0 No match was run for 1995 and later cases
  - 1 Non-Hispanic last name and non-Hispanic maiden name
  - 2 Non-Hispanic last name, didn't check maiden name (or male)
  - 3 Non-Hispanic last name, missing maiden name
  - 4 Hispanic last name, non-Hispanic maiden name
  - 5 Hispanic last name, didn't check maiden name (or male)
  - 6 Hispanic last name, missing maiden name
  - 7 Hispanic maiden name (females only) (regardless of last name)
- Blank 1994 and earlier cases

**10220 - Computed Ethnicity Source:**

Field Length: 1

Code

- 0 No match was run for 1995 and later cases
  - 1 Census Bureau list of Spanish surnames, NOS
  - 2 1980 Census Bureau list of Spanish surnames
  - 3 1990 Census Bureau list of Spanish surnames
  - 4 GUESS program
  - 5 Combination list including South Florida names
  - 6 Combination of Census and other locally generated list
  - 7 Combination of Census and GUESS, with or without other lists
  - 8 Other type of match
  - 9 Unknown type of match
- Blank 1994 and earlier cases

**10230 - SPANISH ORIGIN**

Field Length: 1

Code the patient's Spanish/Hispanic ethnicity.

The codes are:

- 0 - Non-Spanish
- 1 - Mexican
- 2 - Puerto Rican
- 3 - Cuban
- 4 - South or Central American (except Brazil)
- 5 - Other Spanish (includes European)
- 6 - Spanish, NOS (There is evidence other than the patient's surname that the patient is Hispanic, but he/she cannot be assigned to codes 1-5 above.)
- 7 - Spanish surname only
- 8 - Dominican Republic (effective with 1/1/2005 cases)
- 9 - Unknown whether Spanish or not

Persons of Spanish surname or origin may be of any race.

Portuguese and Brazilians are not considered Spanish and should be coded 0.

See [Appendix M](#) for a list of commonly occurring Hispanic surnames.

**10240 - Tobacco use**

Field Length: 1

Enter the code which describes the patient's tobacco use. Record as a cigarette smoker if the chart says only "smoker" or "tobacco user".

- 0 - Never used
- 1 - Cigarette smoker
- 2 - Cigar/pipe smoker
- 3 - Snuff/chew/smokeless tobacco user
- 4 - Mixed use of more than one type of tobacco product
- 9 - Not recorded/unknown

**10250 - Cigarette Pack Years**

Field Length: 3

Enter the total pack years for the span of cigarette use. Pack years equal the average number of packs smoked per day multiplied by the number of years of cigarette use. For example, if a person smokes two packs a day for 30 years, then the cigarette pack years equals 60.

- Enter "0" if patient never smoked cigarettes.
- Enter "999" if the pack years of cigarette use is unknown.

The computer will automatically right justify digits at data entry.

**10260 - Number of Live Births**

Field Length: 2

For female patients, record the number of live births the patient has delivered. If male, enter "99". The computer will automatically right justify single digit entries.

This is not the same as gravidity or parity. Gravidity refers to the number of pregnancies. Parity refers to the number deliveries of viable offspring (even if stillborn). Number of live births refers to the actual number of offspring born alive.

If unknown, enter "99".

**10270 - OCCUPATION**

Field Length: 20

Enter the patient's primary occupation throughout his/her lifetime. If retired, enter the primary occupation prior to retirement. This field is required only to the extent that the information is available from source documents. If the patient's occupation is unknown or not recorded, enter 'UNKNOWN' or 'NOT RECORDED'.

## **10280 - INDUSTRY**

Field Length: 20

Enter the industry which describes the type of business activity in which the patient was employed. The U.S. Department of Commerce lists 14 major categories or industry groups, which are listed below for your information.

They are:

- Agriculture, Forestry, Fisheries
- Mining
- Construction
- Manufacturing
- Transportation, Communications, Public Utilities
- Wholesale Trade
- Retail Trade
- Finance, Insurance, Real Estate
- Business and Repair Services
- Personal Services
- Entertainment and Recreation Services
- Professional Services (medical, legal, educational, etc.)
- Public Administration
- Active Military Duty

This field is required only to the extent that the information is available from the source documents. If the industry is unknown or not applicable, enter 'UNKNOWN' or 'NOT APPLICABLE'.

## **10290 - UNDERLYING CAUSE OF DEATH (ICD-10)**

Field Length: 6

As specified in the SEER Program Coding and Staging Manual, page 207, enter the underlying cause of death **as coded on the Death Certificate**. Even when the code is believed to be in error, the entry as coded on the Death Certificate is to be used.

Code: Underlying Cause of Death

0000 Patient alive at last contact

7777 State death certificate or listing not available

7797 State death certificate or listing available, but underlying death not coded.

All other cases: ICD-9 Underlying Cause of Death Code if date of death prior to January 1, 1999 or ICD-10 Underlying Cause of Death Code if date of death on or after January 1, 1999. **Do not code this field from the medical record.** A list of all ICD-10 codes is available online at <http://www.who.int/classifications/apps/icd/icd10online/>.

Underlying cause of death codes usually have four digits. Some codes may have an optional fifth digit. The decimal point will already appear on the form and on the data entry screen.

If a fourth digit for the underlying cause of death is "X", "blank", or "-" use '9' for the fourth digit.

In Kentucky, the state central registry will match all death certificates with the central database. A file of matched patient records will be generated for each Kentucky hospital. This file will automatically be loaded into CPDMS.net and will be used by each hospital to update that hospital's patients with date of death and cause of death from the death certificate.

It is not necessary to have a copy of the death certificate as long as the official code for the underlying cause of death is available. You may use the Cause of Death code obtained from a linkage with the National Death Index, or from an out-of-state data exchange cancer report.

If the death certificate is not available, do not attempt to code it; use code '777.7'.

For example:

<u>Underlying Cause of Death</u>	<u>ICD-10 Code</u>	<u>Enter:</u>
Cancer of the thyroid	C73	C739
Acute appendicitis with peritonitis	K35.0	K350
Adenocarcinoma of stomach	C16.9	C169

**10300 - Place of Death**

Field Length: 1

Use the one digit code to describe the patient's place of death.

- 1 - Home
- 2 - Hospital
- 3 - Nursing Home
- 4 - Hospice
- 5 - Other
- 9 - Unknown

**10310 - Number of Primaries**

Field Length: 2

This is a field calculated by the computer. It does not appear on the abstract form. However, it is a patient level field that is available for analysis and reporting purposes. It is calculated as the highest sequence number stored for a patient.

### **10320 - Vital Status**

Field Length: 1

This is a field calculated by the computer. It does not appear on the abstract form. However, it is a patient level field that is available for analysis and reporting purposes.

It is calculated from the latest survival status entered for a patient. If Item 31760 ([Survival Status](#)) is 1, 2, or 3, then the value in this field is "1" (Alive); if Item 31760 is 4, 5, 6, or 9, then the value in this field is "0" (Dead).

**10330 - OCCUPATION CODE**

Field Length: 3

This field is automatically generated by the computer based on the U.S. Census Bureau code for the patient's occupation.

**10340 - INDUSTRY CODE**

Field Length: 3

This field is automatically generated by the computer based on the U.S. Census Bureau code for the patient's usual industry.

**10350 - PATIENT DATE OF LAST CONTACT**

Field Length: 8

This field is automatically calculated from the most recent date of contact in all cases associated with a patient's record.

**10360 - ACoS Patient Accession Number**

Field Length: 10

A unique accession number is assigned to each patient. The accession number identifies the patient even if multiple primaries exist. The first four digits of the accession number specify the year in which the patient was first seen at the reporting institution for the diagnosis and/or treatment of cancer. The last six numbers are the numerical order in which the first reportable case of this patient was entered into the registry's data base.

The computer calculates this field by copying in the accession number of the first abstracted case entered for this patient.

**10390 - SEER Patient ID**

Field Length: 8

This is a unique number assigned to an individual patient by the central registry. KCR will assign the same number to all the patient's subsequent tumor (records).

The SEER Patient ID does not appear on the patient abstract and is not available for analysis.

### **10410 - IHS Link Status**

Field Length: 1

The Indian Health Service (IHS) linkage reports the results of linking the central registry database with the Indian Health Service patient registration database.

The IHS linkage identifies American Indians who were misclassified as non-Indian in the registry. The computer linkage program will automatically assign the code for this data item.

#### **Codes**

- 0 Record sent for linkage, no IHS match
- 1 Record sent for linkage, IHS match
- blank Record not sent for linkage or linkage results pending

**10420 - LAST MODIFICATION BY**

Field Length: 8

This field is calculated by the computer. The user name of the last person to modify patient data is recorded and is updated each time the record is edited.

**10430 - LAST MODIFICATION TIME**

Field Length: 19

The date and time that patient data was last edited is automatically recorded by the computer.

**10440-10530 - Patient User Defined Fields**

Field Length: 15 (x 10)

This element provides up to ten fields for coding additional information for each patient. These will be user defined fields based on the individual institution's need or desire to track patterns of diagnostic and treatment procedures, as well as survival, with particular types of cancer patients.

For example:

"a" could be used to code alcohol use.

"b" could be used to code religion

"c" could be used to code exposure to hazardous substances, etc.

## **Case and FU Data**

### **20030 - SEQUENCE NUMBER (Other Primary)**

Field Length: 2

This field is for recording a history of cancer that was not diagnosed or treated at your hospital. It may also be used to record a subsequent primary which occurs in one of your cancer patients but is not diagnosed or treated by your hospital.

The sequence number represents the order of all reportable primary tumors diagnosed during a patient's lifetime. It counts the occurrence of independent, primary diagnoses, regardless of who must report them, but only if diagnosed in years for which that condition was considered reportable. Thus, it does not include skin malignancies and carcinoma in-situ of the cervix, diagnosed in years when they were not reportable, BUT it does include benign and borderline intracranial tumors diagnosed before 2004.

Enter the number which designates the chronological order of this primary tumor which is not reportable by your hospital.

- 1 - 1st primary
- 2 - 2nd primary
- 3 - 3rd primary
- ... etc.

Single digits will automatically be right justified in the computer.

This field may be repeated as often as necessary for any given patient.

**20040 - SITE GROUP (Other Primary)**

Field Length: 2

Record the two digit code for the site group into which this primary malignancy is categorized. Use [Appendix C](#) to determine the appropriate site group, based on the anatomic site and histology mentioned.

Site group code "55" is available only for 'Other Primaries' if you cannot determine to which site group the malignancy is coded. If 'lung cancer' is all that is known, code "23" for non-small cell lung.

Starting in 2004, site group 60 is assigned for all benign and borderline intracranial tumors.

**20050 - YEAR OF DIAGNOSIS (Other Primary)**

Field Length: 4

Record the year of diagnosis for the other primary. If the year of diagnosis is unknown, use 9999.

**20060 - COMMENT (Other Primary)**

Field Length: 30

Enter a brief description of the primary which is not reportable by your institution. You may wish to include information regarding topography, histology, date of diagnosis, the location where this primary was diagnosed or treated, or the reason the case is not reportable by your registry.

**20070 - LAST MODIFICATION BY (Other Primary)**

Field Length: 8

The user name of the person who last edited the case type "O" is recorded by the computer in this field.

**20080 - LAST MODIFICATION TIME (Other Primary)**

Field Length: 19

The computer automatically records the date and time the case type "O" record was edited.

**30030 - SEQUENCE NUMBER**

Field Length: 2

The sequence number represents the order of all primary reportable tumors diagnosed during a patient's lifetime. It counts the occurrence of independent, primary diagnoses, regardless of who must report them, but only if diagnosed in years in which they were considered reportable. Thus, it does not include skin malignancies and carcinoma in-situ of the cervix diagnosed in years when they were not considered reportable.

**Exception:** Benign and borderline CNS tumors are sequenced to include historical tumors, including those diagnosed prior to 2004.

Enter the number which designates the chronological order of this primary tumor in relation to all primary tumors (including in-situ) that the patient has had. (Single digits will be right justified by the computer.)

- 1 - 1st primary
- 2 - 2nd primary
- 3 - 3rd primary
- 4 - 4th primary
- 5 - 5th primary
- 6 - 6th primary
- 7 - 7th primary
- 8 - 8th primary
- 9 - 9th primary
- ... (and so on)

For patients having more than one independent, reportable primary diagnosed at the same time, the selection of the first is assigned to the primary with the worst prognosis. If no difference in prognosis is evident, the selection of the sequence number may be arbitrary.

Only include reportable conditions, as outlined earlier.

### **30040 - SITE GROUP**

Field Length: 2

A two digit code for the site group into which this primary malignancy is categorized will be calculated by the computer. [Appendix C](#) shows the appropriate site groups, based on the anatomic site and histology mentioned for this case.

Starting in 2004, site group 60 is assigned for all benign and borderline intracranial tumors.

**30050 - CASE TYPE**

Field Length: 1

This field indicates whether a case will be entered into the database as a full abstract (case type A) or as an "other" primary (case type O). Use case type O only for primaries that are collected by KCR but which are not reportable by your registry.

## **30060 - ICD-O VERSION**

Field Length: 1

Enter the appropriate code for the version of ICD-O which was used to determine the topography and morphology codes entered in items 32 and 33.

- 1 = ICD-O, 1st edition (1976)
- F = ICD-O, Field Trial edition (1988)
- 2 = ICD-O, 2nd Edition (1990)
- 3 = ICD-O, 3rd Edition (2001)

All cases diagnosed before January 1, 2001 should be coded with the ICD-O, 2nd edition used to determine the topography and morphology codes.

All cases diagnosed on or after January 1, 2001 should be coded 3, with the 3rd edition used to determine the topography and morphology codes.

In the computerized record, all cases will have the ICD-O-3 topography, histology and behavior codes stored. Cases diagnosed prior to 2001 will have the ICD-O-2 histology and behavior codes stored as well.

See also "ICD-O-3 Errata and Clarifications" in [Appendix K](#), to be used when abstracting cases diagnosed after January 1, 2001.

**30070 - ICD-O-3 CONVERSION FLAG**

Field Length: 1

Record the one digit code specifying how the conversion of site and morphology codes from ICD-O-2 to ICD-O-3 was accomplished.

Codes

- 0 -Primary site and morphology originally coded in ICD-O-3
- 1 -Primary site and morphology converted without review
- 3 -Primary site computer-converted without review; morphology converted with review

**If the diagnosis date is prior to January 1, 2001, the case record must have:**

- \* an ICD-O-2 histology and behavior codes
- \* a conversion flag value of 1 or 3

The computer will automatically convert the ICD-O-2 codes to the ICD-O-3 codes if the conversion flag is 1.

**If the diagnosis date is on or after January 1, 2001, the case record must have:**

- \* ICD-O-3 histology and behavior codes
- \* a conversion flag of 0
- \* blanks in the ICD-O-2 field

ICD-O-3 Conversion Flag Controls Field Editing

- 0 Originally coded in ICD-O-3  
(cursor goes only to ICD-O-3 histology)
- 1 ICD-O-2 code converted without review  
(cursor goes only to ICD-O-2 histology)
- 3 ICD-O-2 converted with review  
(cursor goes only to ICD-O-3 histology)

## **30080 - TOPOGRAPHY CODE**

Field Length: 5

Enter the ICD-O 3rd edition Topography code which describes the anatomical site of the patient's primary tumor. This is a five character field. After the "C", enter the three digit code; the decimal point is already in the correct position.

The International Classification of Diseases for Oncology (ICD-O) 3rd edition, represents an extension of Chapter II of the ICD-10 coding reference. ICD-O permits the coding of all neoplasms by topography, morphology, and cell behavior -- providing greater detail than that permitted with ICD-9 or ICD-10 coding schemes.

The structure of the ICD-O reference book contains three major sections:

Topography -	A numerical list of anatomic sites adapted from the malignant neoplasms section of Chapter II of ICD-10. The topographic terms have 3-digit code numbers preceded by a "C" which run from C00.0 to C80.9.
Morphology -	A numerical list of histologic terms that is a revised and expanded version of the morphology section of The Manual of Tumor Nomenclature and Coding. The ICD-O, 3rd edition includes new histologic types that have come into the literature since 1990. It has revised the Leukemia and Lymphoma sections and now includes several hematopoietic diseases that were previously considered borderline.
Alphabetic Index -	A list of anatomic sites, histologic terms and selected tumor-like lesions and conditions.

Refer to the introductory pages of the International Classification of Diseases for Oncology, 3rd edition, for a more detailed discussion of the differences between ICD-O and ICD-10, as well as for rules governing the appropriate assignment of ICD-O codes. See also [Appendix K](#) for errata and clarifications to ICD-O-3rd edition.

**30090 - HISTOLOGY**

Field Length: 4

**Instructions for Coding**

- Record histology using the ICD-O-3 codes in the Numeric Lists/Morphology section (ICD-O-3, pp. 69-104) and in the Alphabetic Index (ICD-O-3, pp. 105-218).
- ICD-O-3 identifies the morphology codes with an "M" preceding the code number. Do not record the "M."
- Follow the coding rules outlined on pages 20 through 40 of ICD-O-3.
- Use the [SEER 2007 Multiple Primary and Histology Coding Rules](#) when coding the histology for reportable solid malignant tumors. These rules are effective for cases diagnosed January 1, 2007, or later. Do not use these rules to abstract cases diagnosed prior to this date; for these cases, see the section below entitled "Rules for Coding Histology Prior to 2007."
- Review all pathology reports.
- Code the **final** pathologic diagnosis.

**EXCEPTION:** If the final diagnosis is "Not Otherwise Specified" (carcinoma, NOS; melanoma, NOS; sarcoma, NOS; lymphoma, NOS; or malignant tumor, NOS), then code the histology from the microscopic description or comment if it identifies a more specific histologic type (higher ICD-O-3 code) such as adenocarcinoma, amelanotic melanoma, or spindle cell sarcoma.

- The codes for cancer, NOS (8000) and carcinoma, NOS (8010) are **not** interchangeable. If the physician says that the patient has carcinoma, then code carcinoma, NOS (8010).
- Lymphomas may be classified by the Rappaport classification or the Working Formulation. If both systems are used to classify the disease, then the term used to describe the lymphoma may differ. The Working Formulation term should take precedence (ICD-O-3, pp. 13-18).
- Note that the determination of multiple primaries for benign and borderline intracranial and CNS tumors is based on histologic groupings. See the table and rules below for histologic groupings for non-malignant brain and CNS tumors.
- See Table of Specific Histologies that should not be coded to ill-defined sites (C76\_.).

**Rules for Coding the Histology of Solid Tumors Diagnosed Prior to 2007****Coding Instructions**

Use all of the information for a single primary to code the histology.

1. If there is no tumor specimen, code the histology described by the medical practitioner.
2. Use the histology stated in the **final diagnosis** from the pathology report. Use the pathology from the procedure that resected the majority of the primary tumor.

If a more specific histologic type is definitively described in the microscopic portion of the pathology report or the comment, code the more specific diagnosis.

3. Lymphomas may be classified by the **WHO Classification, REAL system, Rappaport, or Working Formulation**. The WHO Classification is preferred. See page 13 in the ICD-O-3 for a discussion of hematologic malignancies.

4. Cases reported to KCR cannot have a metastatic (/6) behavior code. If the only pathology specimen is from a metastatic site, code the appropriate histology code and the malignant behavior code /3. The primary site and its metastatic site(s) have the same basic histology.

#### Histology Coding Rules for Single Tumor

- The rules are in hierarchical order. Rule 1 has the highest priority.
- Use the rules in priority order.
- Use the first rule that applies to the case. (Do not apply any additional rules.)

1. Code the histology if only one type is mentioned in the pathology report.

2. Code the **invasive histology** when both invasive and in situ tumor are present.

**Example:** Pathology report reads infiltrating ductal carcinoma and cribriform ductal carcinoma insitu. Code the invasive histology 8500/3.

**Exception:** If the histology of the invasive component is an 'NOS' term (e.g., carcinoma, adenocarcinoma, melanoma, sarcoma), then code the histology of the specific term associated with the insitu component and an invasive behavior code.

3. Use a **mixed** histology code if one exists

**Examples** of mixed codes: (This is not a complete list, these are examples only)

8490 Mixed tumor, NOS

9085 Mixed germ cell tumor

8855 Mixed liposarcoma

8990 Mixed mesenchymal sarcoma

8951 Mixed mesodermal tumor

8950 Mixed Müllerian tumor

9362 Mixed pineal tumor

8940 Mixed salivary gland tumor, NOS

9081 Teratocarcinoma, mixed embryonal carcinoma and teratoma

4. Use a **combination** histology code if one exists

**Examples** of combination codes: (This is not a complete list; these are examples only)

8255 Renal cell carcinoma, mixed clear cell and chromophobe types

8523 Infiltrating duct carcinoma mixed with other types of carcinoma

8524 Infiltrating lobular carcinoma mixed with other types of carcinoma

8560 Adenosquamous carcinoma

8045 Combined small cell carcinoma, combined small cell-large cell

5. Code the **more specific term** when one of the terms is 'NOS' and the other is a more specific description of the same histology.

**Example 1:** Pathology report reads poorly differentiated carcinoma, probably squamous in origin. Code the histology as squamous cell carcinoma rather than the non-specific term "carcinoma."

**Example 2:** The pathology report from a nephrectomy reads renal cell carcinoma (8312) (renal cell identifies the affected organ system rather than the histologic cell type) in one portion of the report and

clear cell carcinoma (8310) (a histologic cell type) in another section of the report. Code clear cell carcinoma (8310); renal cell carcinoma (8312) refers to the renal system rather than the cell type, so renal cell is the less specific code.

6. Code the **majority** of tumor.
  - a. Based on the pathology report description of the tumor.
  - b. Based on the use of majority terms. See definition for majority terms.

<b>Terms that mean the majority of tumor</b>	<b>Terms that DO NOT mean the majority of tumor</b>
Predominantly	With foci of
With features of	Focus of/focal
Major	Areas of
Type <sup>1</sup>	Elements of
With.....Differentiation <sup>1</sup>	Component <sup>1</sup>
Pattern (Only if written in College of American Pathologists [CAP] Protocol) <sup>2</sup>	
Architecture (Only if written in College of American Pathologists [CAP] Protocol) <sup>2</sup>	

**Note:** Examples of CAP protocols for specific primary sites may be found on the website:  
[http://www.cap.org/apps/docs/cancer\\_protocols/protocols\\_index.html](http://www.cap.org/apps/docs/cancer_protocols/protocols_index.html)

7. Code the **numerically higher** ICD-O-3 code. This is the rule with the lowest priority and should be used infrequently.

#### **Histology Coding Rules for Multiple Tumors with Different Behaviors in Same Organ Reported as a Single Primary**

1. Code the histology of the invasive tumor when one lesion is in situ (/2) and the other is invasive (/3).  
*Example:* At mastectomy for removal of a 2 cm invasive ductal carcinoma, an additional 5 cm area of intraductal carcinoma was noted. Code histology and behavior as invasive ductal carcinoma (8500/3).

#### **Histology Coding Rules for Multiple Tumors in Same Organ Reported as a Single Primary**

1. Code the histology when multiple tumors have the same histology.
2. Code the histology to adenocarcinoma (8140/\_; in situ or invasive) when there is an adenocarcinoma and an adenocarcinoma in a polyp (8210/\_, 8261/\_, 8263/) in the same segment of the colon or rectum.
3. Code the histology to carcinoma (8010/\_; in situ or invasive) when there is a carcinoma and a carcinoma in a polyp (8210/\_) in the same segment of the colon or rectum.

4. Use a **combination** code for the following:
  - a. Bladder: Papillary and urothelial (transitional cell) carcinoma (8130)
  - b. Breast: Paget Disease and duct carcinoma (8541)
  - c. Breast: Duct carcinoma and lobular carcinoma (8522)
  - d. Thyroid: Follicular and papillary carcinoma (8340)
5. Code the more specific term when one of the terms is 'NOS' and the other is a more specific description of the same histology.
6. Code all other multiple tumors with different histologies as multiple primaries.

#### **Histologic groupings to determine same histology for non-malignant brain tumors**

When there are **multiple tumors**, use the following table to determine if the tumors are the same histology or different histologies.

<b>Histologic Group</b>	<b>ICD-O-3 Code</b>
Choroid plexus neoplasm	9390/0, 9390/1
Ependymoma	9383, 9394, 9444
Neuronal and neuronal-glial neoplasm	9384, 9412, 9413, 9442, 9505, 9506
Neurofibroma	9540/0, 9540/1, 9541, 9550, 9560
Neurinomatosis	9560
Neurothekeoma	9562
Neuroma	9570
Perineurioma, NOS	9571

#### **Rules for Using Histologic Group Table for Non-Malignant Brain Tumors**

1. If **both** histologies are listed in the table, then
  - a. Histologies that are in the same grouping or row in the table are the **same** histology.  
*Note:* Histologies that are in the same grouping are a progression, differentiation or subtype of a single histologic category.
  - b. Histologies listed in different groupings (or rows) in the table are **different** histologies
2. If one or both of the histologies is **not** listed in the table, then
  - a. If the ICD-O-3 codes for both histologies have the **identical** first three digits, the histologies are the **same**.
  - b. If the first three digits of the **ICD-O-3** histology code are **different**, the histology types are different.

### Specific Histologies with Ill-Defined Sites

If any of the following histologies appears only with an ill-defined site description (e.g., "abdominal" or "arm"), code it to the tissue in which such tumors arise rather than the ill-defined region (C76.\_) of the body, which contains multiple tissues.

Histology	Description	Code to this Site
8720-8790	Melanoma	C44._, Skin
8800-8811, 8813-8830, 8840-8921, 9040-9044	Sarcoma except periosteal fibrosarcoma and dermatofibrosarcoma	C49._, Connective, Subcutaneous and Other Soft Tissues
8990-8991	Mesenchymoma	C49._, Connective, Subcutaneous and Other Soft Tissues
9120-9170	Blood vessel tumors, lymphatic vessel tumors	C49._, Connective, Subcutaneous and Other Soft Tissues
9580-9582	Granular cell tumor and alveolar soft part sarcoma	C49._, Connective, Subcutaneous and Other Soft Tissues
9240-9252	Mesenchymal chondrosarcoma and giant cell tumors	C40._, C41._ for Bone and Cartilage C49._, Connective, Subcutaneous and Other Soft Tissues
8940-8941	Mixed tumor, salivary gland type	C07._ for Parotid Gland C08._ for Other and Unspecified Major Salivary Glands

Refer to the introductory pages of the International Classification of Diseases for Oncology, 3<sup>rd</sup> edition, for a more detailed discussion of the rules governing the appropriate assignment of ICD-O codes. See also [Appendix K](#) for errata and clarifications to ICD-O-3<sup>rd</sup> edition.

**30100 - BEHAVIOR CODE**

Field Length: 1

Record the behavior of the tumor being reported. The fifth digit of the morphology code is the behavior code.

**Instructions for Coding**

- Code 3 if any invasion is present, no matter how limited.
- If the specimen is from a metastatic site, code the histology of the metastatic site and code 3 for behavior.

*Note:* The ICD-O-3 behavior code for juvenile astrocytoma (9421/1) is coded as 3. Refer to the section "[Case Reporting Requirements](#)."

Code	Label	Definition
0	Benign	Benign
1	Borderline	Uncertain whether benign or malignant Borderline malignancy Uncertain malignant potential
2	In situ and/or carcinoma in situ	Adenocarcinoma in an adenomatous polyp with no invasion of stalk Clark level 1 for melanoma (limited to epithelium) Comedocarcinoma, noninfiltrating (C50._)
2	Synonymous with in situ	Confined to epithelium Hutchinson melanotic freckle, NOS (C44._) Intracystic, noninfiltrating Intraductal Intraepidermal, NOS Intraepithelial, NOS Involvement up to, but not including the basement membrane Lentigo maligna (C44._) Lobular neoplasia (C50._) Lobular, noninfiltrating (C50._) Noninfiltrating Noninvasive No stromal involvement Papillary, noninfiltrating, or intraductal Precancerous melanosis (C44._) Queyrat erythroplasia (C60._) AIN III (C21.1) VAIN III (C52.9) VIN III (C51._) Bowen disease (not reportable for C44._)

|3      |Invasive                                  |Invasive or microinvasive                          |

**30110 - HISTOLOGY (ICD-O-2)**

Field Length: 4

This field is only completed for cases diagnosed prior to January 1, 2001. For those cases, record the appropriate four digit histology code from the ICD-O, 2<sup>nd</sup> edition which describes the histologic type of this reportable condition.

**30120 - BEHAVIOR CODE (ICD-O-2)**

Field Length: 1

This field is only completed for cases diagnosed prior to January 1, 2001. The fifth digit of the ICD-O-2 morphology code is the behavior code. Record the behavior of the tumor being reported

## **30130 - TUMOR GRADE**

Field Length: 1

### **Grade, Differentiation (Codes 1, 2, 3, 4, 9)**

Pathologic testing determines the grade, or degree of differentiation, of the tumor. For cancers, the grade is a measurement of how closely the tumor cells resemble the parent tissue (organ of origin). Well differentiated tumor cells closely resemble the tissue from the organ of origin. Poorly differentiated and undifferentiated tumor cells are disorganized and abnormal looking; they bear little or no resemblance to the tissue from the organ of origin.

Pathologists describe the tumor grade by levels of similarity. Pathologists may define the tumor by describing two levels of similarity (two-grade system which may be used for colon); by describing three levels of similarity (three-grade system); or by describing four levels of similarity (four-grade system). The four-grade system describes the tumor as grade I, grade II, grade III, and grade IV (also called well differentiated, moderately differentiated, poorly differentiated, and undifferentiated/anaplastic). These similarities/differences may be based on pattern (architecture), cytology, or nuclear features or a combination of these elements depending upon the grading system that is used. The information from this data item is useful for determining prognosis.

### **Cell Indicator (Codes 5, 6, 7, 8, 9)**

Cell indicator codes describe the lineage or phenotype of the cell that became malignant. The codes apply to lymphomas and leukemias. Cell indicator codes take precedence over grade/differentiation codes for lymphoma and leukemia cases. See the ICD-O-3 chapter Morphology for further instructions on coding grade.

### **Codes**

- 1 Grade I; grade i; grade 1; well differentiated; differentiated, NOS
- 2 Grade II; grade ii; grade 2; moderately differentiated; moderately well differentiated; intermediate differentiation
- 3 Grade III; grade iii; grade 3; poorly differentiated; dedifferentiated
- 4 Grade IV; grade iv; grade 4; undifferentiated; anaplastic
- 5 T-cell; T-precursor
- 6 B-Cell; Pre-B; B-precursor
- 7 Null cell; Non T-non B
- 8 NK cell (natural killer cell) (effective with diagnosis 1/1/1995 and after)
- 9 Grade/differentiations unknown, not stated, or not applicable

### **Coding Instructions**

- A. Code the grade from the final diagnosis in the pathology report. If there is more than one path report, and the grades in the final diagnoses differ, code the highest grade for the primary site from any pathology report.
- B. If grade is not stated in the final pathology diagnosis, use the information in the microscopic section, addendum, consult, or comment to code grade.
- C. If more than one grade is recorded for a single tumor, code the highest grade, even if it is a focus.
- D. Code the grade from the **primary tumor** only, never from a metastatic site or a recurrence.
- E. Code the grade for all **unknown primaries** to 9 (unknown grade) unless grade is explicit by histology (i.e. anaplastic carcinoma - grade = 4).
- F. Code the grade of the invasive component when the tumor has **both in situ** and **invasive** portions. If the **invasive** component **grade** is **unknown**, code the grade as unknown (9). In situ tumors are not always graded. Code the grade if it is specified for an in situ lesion unless there is an invasive component. Do not code the in situ grade if the tumor has both in situ and invasive components.
- G. Do not code the grade assigned to **dysplasia**, i.e.: High grade dysplasia (adenocarcinoma in situ) or VIN grade III would be coded to 9 (unknown grade).
- H. For sites other than breast, prostate and kidney, code the tumor grade using the following priority order: 1) terminology; 2) histologic grade; 3) nuclear grade. When coding grade from terminology for sites which use a three-grade system (peritoneum, endometrium, bladder, etc), consult the conversion table to determine the correct code.
- I. Code the grade of tumor given on a Magnetic Resonance Imaging (MRI) or Positron Emission Tomography (PET) report if there is no tissue diagnosis (pathology or cytology report). Use the MRI or PET grade only when there is no tissue diagnosis.
- J. Some terms in ICD-O-3 carry an implied statement of grade. These histologies **must** be reported with the correct grade as stated below even if another grade is given or the primary site is unknown (C80.9):

8020/34 Carcinoma, undifferentiated  
 8021/34 Carcinoma, anaplastic  
 8331/31 Follicular adenocarcinoma, well differentiated  
 8851/31 Liposarcoma, well differentiated  
 9062/34 Seminoma, anaplastic  
 9082/34 Malignant teratoma, undifferentiated  
 9083/32 Malignant teratoma, intermediate type  
 9401/34 Astrocytoma, anaplastic  
 9451/34 Oligodendrogloma, anaplastic  
 9511/31 Retinoblastoma, differentiated  
 9512/34 Retinoblastoma, undifferentiated

#### Terminology Conversion Table

Description	Grade	SEER Code
Differentiated, NOS	I	1

Well differentiated	I	1
Fairly well differentiated	II	2
Intermediate differentiation	II	2
Low grade	I-II	2
Mid differentiated	II	2
Moderately differentiated	II	2
Moderately well differentiated	II	2
Partially differentiated	II	2
Partially well differentiated	I-II	2
Relatively or generally well differentiated	II	2
Medium grade, intermediate grade	II-III	3
Moderately poorly differentiated	III	3
Moderately undifferentiated	III	3
Poorly differentiated	III	3
Relatively poorly differentiated	III	3
Relatively undifferentiated	III	3
Slightly differentiated	III	3
Dedifferentiated	III	3
High grade	III-IV	4
Undifferentiated, anaplastic, not differentiated	IV	4
Non-high grade		9

### - Two-Grade System

Two grade systems apply to colon, rectosigmoid junction, rectum (C18.0-C20.9), and heart (C38.0). Code these sites using a two-grade system- Low Grade (2) or High Grade (4). If the grade is listed as 1/2 or as Low Grade, use code 2. If the grade is listed as 2/2 or as High Grade, use code 4.

Code	Terminology	Histologic Grade
2	Low grade	1/2
4	High grade	2/2

### -Three-Grade System

There are several sites for which a three-grade system is used: breast, peritoneum, endometrium, fallopian tubes, prostate, kidney, bladder, brain and spinal cord, and soft tissue sarcoma. The patterns of cell growth are measured on a scale of 1, 2, and 3 (also referred to as low, medium, and high grade). This system measures the proportion of cancer cells that are growing and making new cells and how closely they resemble the cells of the host tissue. Thus, it is similar to a four-grade system, but simply divides the spectrum into three rather than four categories (see comparison table below). The expected outcome is more favorable for lower grades. If the grade is written as 2/3, that means this is a grade 2 of a 3 grade system; do not simply code the numerator. Use the following table to convert the grade to the correct code. See further instructions below for breast, kidney, and prostate.

CODE	TERMINOLOGY
2	Low grade, partially well differentiated, grade 1-2 or 1/3 (in 3-tier system), moderately differentiated, relatively well differentiated
3	Medium grade, grade 2-3 or 2/3 (in a 3-tier system), intermediate grade, moderately undifferentiated, relatively undifferentiated; poorly differentiated; moderately poorly differentiated; slightly differentiated
4	High grade; grade 3-4; grade 3/3 (in a 3-tier system); anaplastic; not differentiated

### -Breast (C50.0-C50.9)

For breast cancers, code the tumor grade using the following priority order: 1) Bloom-Richardson (Nottingham) Scores; 2) Bloom-Richardson Grade; 3) Nuclear Grade; 4) Terminology; and 5) Histologic Grade as shown in the table below.

#### BLOOM-RICHARDSON GRADING FOR BREAST CANCER

Synonyms for the grading system include modified Bloom-Richardson, Scarff-Bloom-Richardson, SBR grading, BR grading, Elston-Ellis and Nottingham modification of Bloom-Richardson grading. The Bloom-Richardson grading scheme is based on numeric scores assigned to three different morphologic features of **invasive** breast cancers. It does not apply to the grading of DCIS tumors. The scores are condensed into 3 B-R grades; the B-R grades are then converted to the ICD-O-3 grade. See table:

Code	B-R Score	B-R Grade	Nuclear Grade	ICD-O-3 Terminology	Histologic Grade
1 3,4,5		Low grade	1/3;1/2	Well-differentiated	1/3
	6,7	Intermediate	2/3	Moderately	2/3

2				differentiated	
3	8,9	High grade	2/2; 3/3	Poorly differentiated	3/3

**-Kidney (C64.9)**

For kidney cancers, code the tumor grade using the following priority rules: 1) Fuhrman Grade; 2) Nuclear Grade; 3) Terminology (well diff, mod. diff); 4) Histologic Grade. These prioritization rules do not apply to Wilms tumor (M-8960).

**-Prostate (C61.9)**

For prostate cancers, code the tumor grade using the following priority order: 1) Gleason Score (this is the sum of the patterns, e.g., if the pattern is 2-4, the score is 6); 2) Terminology; 3) Histologic Grade; and 4) Nuclear Grade.

**Gleason's Pattern**

Prostate cancers are commonly graded using Gleason's score or pattern. Gleason's grading is based on a 5-component system, meaning it is based on 5 histologic patterns. The pathologist will evaluate the primary (majority) and secondary patterns for the tumor. The pattern is written as a range, with the majority pattern appearing first and the secondary pattern as the last number.

**Gleason's Score**

The patterns are added together to create a score. If the pathology report contains only **one number**, and that number is **less than or equal to 5**, it is a pattern. If the pathology report contains only **one number**, and that number is **greater than 5**, it is a score. If the pathology report specifies a specific **number out of a total of 10**, the first number given is the score. If there are **two numbers other than 10**, assume they refer to two patterns. The first number is the primary pattern and the second is the secondary pattern. Use the following table to convert Gleason's pattern or score into CPDMS codes:

Gleason Conversion Table

Code	Gleason's Score (sum of primary and secondary patterns)	Terminology	Histologic Grade
1	2, 3, 4	Well differentiated	I
2	5, 6	Moderately differentiated	II
3	7, 8, 9, 10	Poorly differentiated	III

**Special Coding Situations**

### - Leukemias and Lymphomas

For lymphomas and leukemias, the T-cell and B-cell designations have precedence over grading or differentiation. If marker studies are not documented in the record, then code information on cell type from any source (i.e., history & physical). Do not use "high grade" or "low grade" descriptors for lymphomas as a basis for differentiation. However, a poorly-differentiated lymphocytic lymphoma should be coded in the 6<sup>th</sup> digit of the morphology code.

Some lymphomas **must** carry either the implied grade or the T-cell, B-cell, null cell designation as grade. For example:

- 9693/31 Malignant lymphoma, lymphocytic, well differentiated, nodular
- 9694/32 Malignant lymphoma, lymphocytic, intermediate differentiated, nodular
- 9696/33 Malignant lymphoma, lymphocytic, poorly differentiated, nodular

<u>Code</u>	<u>Description</u>
5	T-cell, T-Precursor, T-cell phenotype, Pre-T, Gamma-Delta T
6	B-cell, Pre-B, B-Precursor, B-cell phenotype, Pre-pre-B, Pro-B
7	Null cell, Non-T non -B, Common Cell
8	NK (Natural Killer) cell, Nasal NK/T cell lymphoma
9	Combined T cell and B cell, or not determined, not stated

### - Brain Tumors

- Grade astrocytomas (M-9383, 9484, 9400, 9401, 9410-9412, 9420, 9421) according to ICD-O-3 rules: I (well differentiated), Code 1; II (intermediate differentiation), Code 2; III (poorly differentiated), Code 3; IV (anaplastic), Code 4.
- Do not automatically code glioblastoma multiforme as Grade IV if no grade is given, code 9 (unknown).
- For primary tumors of the brain and spinal cord (C71.0-C72.9) do not record the WHO grade as the tumor *Grade/Differentiation*; record the WHO grade in the data item *CS Site-Specific Factor 1*.
- All benign and borderline intracranial tumors should be coded grade 9.

### **30140 - CLASS OF CASE**

Field Length: 1

Enter the one digit code that describes the class of case for this patient at the time he/she was first seen at your facility for this primary. These class codes identify cases and the manner in which they must be reported to the KCR.

#### Analytic

0 = Any case diagnosed at your facility, since the registry reference date, for whom all therapy was documented as received elsewhere. (Patients who were not treatable should be included under "1".)

1 = Any case diagnosed at your institution. They also fulfill one of the following treatment situations:

- Patient receives all or part of their first course of therapy at your institution.
- Patient refused any treatment.
- Patient was untreatable or was given palliative care only, because of age, advanced disease, or other medical conditions.
- Specific treatment was recommended but not received at your institution and it is unknown if treatment was ever administered.
- It is unknown if treatment was recommended or administered.
- Patient diagnosed at your institution prior to your reference date, all or part of first course of therapy received at your institution after your reference date.
- Patient first diagnosed and had staging workup at your institution and all or part of the first course of therapy was received in a staff physician's office.
- Patient diagnosed in a staff physician's office and is then treated at your institution.

2 = Any case diagnosed elsewhere, but the patient receives all or part of first course of therapy at your hospital.

Any case diagnosed elsewhere, and your facility provided palliative care in lieu of first course treatment, or as part of first course treatment.

#### Nonanalytic

3 = Any case diagnosed and first treated elsewhere, but receiving subsequent therapy at your institution.

Any case treated at your institution for which no information regarding first course of treatment is available.

Any case for which your facility developed a treatment plan or provided "second opinion" services, but the diagnosis and treatment were provided elsewhere.

Patients treated for recurrence or progression of a previously diagnosed malignancy.

- 4 = Any case diagnosed and treated at your facility prior to your reference date, but receives subsequent treatment at your facility after your reference date.
  - 5 = Any case first diagnosed at autopsy at your facility. There was no suspicion of cancer before the autopsy.
  - 8 = Case diagnosed by Death Certificate only. This should only be entered by central registry staff.
  - 9 = Case diagnosed and/or treated only at an outpatient or nonhospital facility. This code should only be used by central registry staff.
- X = Case reported to KCR from an out-of-state registry. This code is used by central office staff only.

(Refer to the "[Case Reporting Requirements](#)" section of this manual for a discussion of Classes and KCR requirements.)

### **30150 - DATE OF FIRST CONTACT**

Field Length: 8

The date of first contact is the date of the facility's first inpatient or outpatient contact with the patient for diagnosis or treatment of the cancer. In most instances, it is the patient's physical presence at the facility that denotes "contact." When a pathology specimen is collected off-site and submitted to the facility to be read (and the specimen is positive for cancer), the case is not required by KCR to be abstracted unless additional contact with the facility occurs.

- If the patient subsequently receives first course treatment at the facility, the case is analytic and must be abstracted and followed. The Date of First Contact is the date the patient reported to the facility for the treatment or pre-treatment work-up; and the Class of Case is 1 if the diagnosing physician has admitting privileges at the facility or 2 for any other physician.

When a staff physician performs a biopsy off-site and the specimen is not submitted to the facility to be read, the case is not required to be abstracted unless the patient receives some first course care at the facility.

- If the patient subsequently receives first course treatment at the facility, the case is analytic and must be abstracted and followed. The *Date of First Contact* is the date the patient reported to the facility for the treatment or pre-treatment work-up and the *Class of Case* is 1.

For class of case 5 (diagnosed at autopsy) and class of case 8 (death certificate only) cases, enter the date of death.

**30160 - DATE OF DIAGNOSIS**

Field Length: 8

Enter the month, day, and year of the initial diagnosis.

This field refers to the date of first diagnosis of this cancer by a recognized medical practitioner. This is the date of the first clinical diagnosis, and in some cases, the diagnosis may never be histologically confirmed. Do not change the date of diagnosis when a later biopsy or cytology provides confirmation of a clinical diagnosis. From 2009 forward, for cases which are diagnosed *in utero*, record the actual date of diagnosis. For pre-2009 cases, the date of diagnosis for *in utero* cases should be the date of birth.

Code the date using a zero to precede single digit days, or months, i.e., June is entered as 06.

If the exact date is not known, record the best approximation on the basis of available information. As possible guidelines, consider the following:

- a. For patients diagnosed without positive tissue while in a hospital, the date of admission may be used as the best estimate of the date of diagnosis.
- b. For patients diagnosed before entering the hospital (i.e., clinic or physician's office), the date of first admission may be used if it seems that the patient was hospitalized within three months or less from the true date of diagnosis by the referring physician.
- c. If the only information is "Spring of", "Middle of the year", or "Fall", approximate these as April 1st, July 1st, or October 1st, respectively.

The date of death is the date of diagnosis for a class of case 5.

**30170 - Age at Diagnosis**

Field Length: 3

This field is calculated by the computer for the primary malignancy that is being abstracted. It is the number of years between the date of birth and the date of diagnosis.

**30180 - Medical Record Number**

Field Length: 11

Enter the medical record number assigned by the health information management (HIM) department. Dashes or special characters may be entered in this field; however, they should be used consistently.

**30190 - Family History of this Cancer**

Field Length: 1

Record the appropriate code to indicate if any of the patient's primary family members (i.e., parent, grandparent, child, sibling, aunt or uncle) had or has this type of cancer. "This type of cancer" means any diagnosis in the same site group as this patient's.

1 = Yes, there is a family history of this cancer

2 = No, there is no recorded family history of this cancer

9 = Unknown if there is a family history of this cancer

### **30200 - MARITAL STATUS AT DIAGNOSIS**

Field Length: 1

Record the one digit code specifying the patient's marital status at the time of diagnosis for this tumor, if known.

#### Codes

- |   |                                |
|---|--------------------------------|
| 1 | Single (never married)         |
| 2 | Married (including common law) |
| 3 | Separated                      |
| 4 | Divorced                       |
| 5 | Widowed                        |
| 9 | Unknown                        |

Persons of the opposite sex living together as part of a long term personal relationship would be coded to '2' - Married, including common law.

Persons of the same sex living together as part of a long term personal relationship would be coded according to their legal status (usually single, separated, divorced or widowed).

**30210 - Menopausal Status**

Field Length: 1

Record the menopausal status if this is a female patient.

- 0 - Pre menopausal (include perimenopausal patients in code 0)
- 1 - Post menopausal, (even if surgically or chemically induced)
- 9 - Unknown/ not applicable

Assume women over the age of 60 or those undergoing a hysterectomy prior to age 60 as post menopausal, even if it is not specifically stated in the medical chart. For male patients, this field will automatically be coded '9'.

**30220 - PRIMARY PAYER**

Field Length: 2

Code the patient's primary payer or insurance carrier at the time of initial admission.

<b>Code</b>	<b>Label</b>	<b>Definition</b>
01	Not insured	Patient has no insurance and is declared a charity write-off
02	Not insured, self pay	Patient has no insurance and is declared responsible for charges
10	Insurance, NOS	Type of insurance unknown or other than the types listed in codes 20, 31, 35, 50-56
20	Managed Care, HMO, PPO	An organized system of prepaid care for a group of enrollees usually within a defined geographic area
21	Private Insurance: Fee-for-service	An insurance plan that does not have a negotiated fee structure with the participating hospital
31	Medicaid	State government administered insurance for persons who are uninsured, below the poverty level, or covered under entitlement programs
35	Medicaid administered through a Managed Care Plan	State government administered insurance which is administered through a commercial Managed Care plan
60	Medicare without supplement, Medicare, NOS	Federal government funded insurance for persons who are retired or disabled, or over 65 years old
61	Medicare with supplement	Patient has Medicare and another insurance to pay costs not covered by Medicare
62	Medicare administered through a Managed Care Plan	Patient enrolled in Medicare through a Managed Care Plan (e.g. HMO, PPO). The plan pays for all incurred costs
63	Medicare with private supplement	Patient has Medicare and private insurance to pay costs not covered by Medicare
64	Medicare with Medicaid eligibility	Federal government Medicare insurance with State Medicaid administered supplement
65	TRICARE (Formerly CHAMPUS)	Department of Defense program providing supplementary civilian-sector hospital and medical services to military dependents, retirees, and their dependents
66	Military	Military personnel or their dependents who are treated at a military facility
67	Veterans Affairs	Veterans who are treated in Veterans Affairs facilities
68	Indian/Public Health Service	Patient who receives care at an Indian Health Service facility and costs are reimbursed by the Indian Health Service.
99	Insurance status unknown	It is unknown from the patient's medical record whether or

		not the patient is insured	
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**30230 - ACoS SEQUENCE NUMBER**

Field Length: 2

The ACoS sequence number represents the order of all primary reportable tumors diagnosed during a patient's lifetime. It counts the occurrence of independent, malignant primaries that are required to be reported to the ACoS for approved cancer programs.

The sequence number 00 indicates that this patient has one primary cancer. The sequence 01 indicates that the case is the first of multiple primaries.

Sequence numbers in the range of 60-88 have a special meaning to ACoS. They are reserved for conditions that are collected by the registry but are not required by ACoS. These include diagnoses required by KCR but not ACoS (such as VIN III, VAIN III, and AIN III, as well as invasive recurrences abstracted after an in-situ cancer.) Pre-invasive carcinomas of the cervix that were diagnosed in 1996 and 1997 will be sequenced in this range also, because they were required by KCR at the time, but not ACoS.

As of January 1, 2004, benign and borderline intracranial tumors became reportable to ACoS as well as KCR. These are sequenced in the 60-88 series.

**Codes (conditions reportable to ACoS):**

- 00 One primary only
- 01 First of two or more primaries
- 02 Second of two or more primaries
- 03 Third of three or more primaries
- (Actual number of this primary)
- 35 Thirty fifth primary
- 60 First of non-ACoS reportable condition (i.e. VIN III, VAIN III, AIN III, CIN III, CIS of cervix) or benign intracranial tumor
- 61 Second of non-ACoS reportable condition
- 
- 87 Twenty seventh non-ACoS reportable condition

This field will automatically be calculated by the computer based on the CPDMS sequence number for this case and the number and types of primaries stored for this patient.

### **30240 - SEER SEQUENCE NUMBER**

Field Length: 2

The SEER sequence number represents the order of all primary reportable tumors diagnosed during a patient's lifetime. It counts the occurrence of independent, malignant primaries that are required to be reported to the SEER Program.

The sequence number 00 indicates that this patient has one primary cancer. The sequence 01 indicates that the case is the first of multiple primaries.

Sequence numbers in the range of 60-88 have a special meaning to SEER. They are reserved for conditions that are collected by the registry but are not required to be reported to SEER. These include all basal and squamous cell carcinomas of the skin diagnosed and reported before 2003 (C44.\_ with M8000-M8110) as well as all pre-invasive carcinomas of the cervix diagnosed in 1996 and 1997.

As of January 1, 2004, benign and borderline intracranial tumors became reportable to SEER as well as KCR. These are sequenced in the 60-88 series.

#### **Codes (conditions reportable to SEER):**

- 00 One primary only
- 01 First of two or more primaries
- 02 Second of two or more primaries
- 03 Third of three or more primaries
- (Actual number of this primary)
- 60 First of non-SEER reportable condition (i.e. basal cell skin cancer)
- 61 Second of non-SEER reportable condition
- 
- 87 Twenty seventh of non-SEER reportable conditions

This field will automatically be calculated by the computer based on the CPDMS sequence number for this case and the number and types of primaries stored for this patient.

### **30250 - ADDRESS AT DIAGNOSIS - LINE 1**

Field Length: 40

This field is automatically filled in with the address entered in [Item 10060 \(Current Address\)](#) when the case is initially entered in CPDMS.net. Note that if the patient has multiple tumors, the address may be different for subsequent primaries.

This address is a part of the patient's case data and has multiple uses. It is used in geocoding and allows referral pattern reports and analysis of cancer clusters or environmental studies. These data may be corrected (if erroneous), but **never** update the address at diagnosis if the patient moves. Changing this field would destroy its usefulness. If it is necessary to edit this field, follow the street address guidelines in [Item 10060](#).

**30260 - ADDRESS AT DIAGNOSIS - LINE 2**

Field Length: 40

This field is automatically filled in with the data in [Item 10070 \(Current Street Address- Line 2\)](#) when the case is initially entered into CPDMS.net. It provides space to record additional address information, such as the name of a nursing home, apartment complex, etc. This line will be used as an alternate address line for geocoding. If Address at Diagnosis-Line 1 cannot be geocoded (i.e. PO Box), then this line will be reviewed for a geocode. Do not update this item if the patient's address changes. Leave this field blank if the additional address space is not needed.

### **30270 - CITY AT DIAGNOSIS**

Field Length: 20

This field is automatically filled in with the data entered in [Item 10080 \(Current City\)](#) when the case is initially entered into CPDMS.net. Note that if the patient has multiple tumors, the address may be different for subsequent primaries. A list of Kentucky cities and towns is located in [Appendix D](#).

The address is a part of the patient's case data and has multiple uses. It will provide a referral pattern report and allow analysis of cancer clusters or environmental studies. These data may be corrected, but never update the address at diagnosis if the patient moves. Changing this field would destroy its usefulness.

### **30280 - STATE AT DIAGNOSIS**

Field Length: 2

This field is automatically filled in with the state entered in [Item 10090 \(Current State\)](#) when the case is initially entered into CPDMS.net. Note that if the patient has multiple tumors, the address may be different for subsequent primaries.

The address is a part of the patient's case data and has multiple uses. It will allow the state registry to exchange cases with contiguous states. It will also allow analysis of cancer clusters or environmental studies. This data may be corrected, but never update the address at diagnosis if the patient moves. Changing this field would destroy its usefulness. If it is necessary to edit this field, follow the guidelines in [Item 10090](#).

### **30290 - 30300 - ZIP CODE AT DIAGNOSIS**

Field Length: 9

These fields are automatically filled in with the ZIP code entered in [Items 10100-10110 \(Current ZIP Code\)](#). Note that if the patient has multiple tumors, the ZIP code may be different for subsequent primaries.

The address is a part of the patient's demographic data and has multiple uses. It will provide a referral pattern report and allow analysis of cancer clusters or environmental studies. This data may be corrected, but never update the address at diagnosis if the patient moves. Changing this field would destroy its usefulness. If it is necessary to edit this field, follow the ZIP code guidelines in [Items 10100-10110](#).

## **30310 - COUNTY AT DIAGNOSIS**

Field Length: 5

This field represents the patient's **county of residence at the time of diagnosis**. It is a five digit field where the first two digits represent the state of residence and the last three digits represent the county of residence in that state. The codes are taken from FIPS Publication Number 6-4, Counties and Equivalent Entities of the United States, its Possessions, and Associated Areas, as reissued July 7, 2001, and are made available electronically on the National Institute of Standards and Technology Web Site (<http://www.itl.nist.gov/fipspubs/co-codes/states.htm>). The state code for Kentucky is 21.

The county codes for Kentucky and its contiguous states are listed in [Appendix D](#). CPDMS.net automatically calculates the correct county code from the address at diagnosis if the state is Kentucky and the ZIP code is within a single county. If a Kentucky ZIP code encompasses more than one county, the user must fill in this field. The U.S. Census Bureau web site has a helpful feature which displays the county (along with other information) of a particular address. The URL is

[http://factfinder.census.gov/servlet/AGSGeoAddressServlet?\\_lang=en&\\_programYear=50&\\_treId=420](http://factfinder.census.gov/servlet/AGSGeoAddressServlet?_lang=en&_programYear=50&_treId=420).

Use [Appendix D](#) to code the state/county code for neighboring states.

Use code '00998' for any county outside Kentucky and its neighboring states.

Use code '00999' for unknown county of residence at diagnosis.

If the patient moves, do not change this code. It should remain the same as it was at the time this primary malignancy was diagnosed.

Note: This field is used to calculate the following geographic variables for Kentucky residents:

Area Development District  
Appalachia (or non-Appalachia)  
Beale Code (rural-urban continuum)

### **30320- 30330 - ACCESSION YEAR AND NUMBER**

Field Length: 9

These fields are used to identify cases by year accessioned in the order in which they were entered into the registry at your institution. The first four digits should be the year the patient was first seen in your institution. The last five digits will be the next number available to be assigned, i.e., the first case accessioned in 1991 will be recorded 19910001.

Exceptions: A patient enters the reporting institution in December 2002 and is diagnosed with cancer in January 2003. The accession number is 2003 \_\_\_\_.

The registry's reference date is January 1, 1996. A patient is diagnosed with breast cancer and has a partial mastectomy at the reporting institution in December 1995. The patient starts a course of radiation therapy at the reporting institution in January 1996. Assign the accession number  
1996 \_\_\_\_.

**30340 - TUMOR MARKER 1**

Field Length: 1

For cases diagnosed on or after January 1, 2004, tumor markers are collected in the Collaborative Stage Site Specific Factors fields and not in this data field. For earlier diagnoses, this table lists the site/histology for which tumor marker 1 is collected.

<b>SITE/HISTOLOGY</b>	<b>MARKER #1</b>
Breast (C50.0-C50.9)	Estrogen Receptor Assay (ERA)
Colorectal (C18.0-18.9, C19.9, C20.9)	Carcinoembryonic Antigen (CEA)
Liver (C22.0, C22.1)	Alpha Fetoprotein (AFP)
Neuroblastoma (9500/3)	Urine catecholamine
Ovary (C56.9)	Carbohydrate Antigen 125 (CA-125)
Prostate (C61.9)	Acid Phosphatase (PAP)
Testis (C62.0, C62.1, C62.9)	Alpha Fetoprotein (AFP) Range 1 <1,000 ng/ml Range 2 1,000 - 10,000 ng/ml Range 3 > 10,000 ng/ml

Record the appropriate code as indicated below.

**Codes:**

- 0 None done (test was not ordered and was not performed)
- 1 Positive/Elevated (breast and prostate only)
- 2 Negative/Normal
- 3 Borderline, undetermined whether positive or negative (breast and prostate only)
- 4 Range 1 (testis only, AFP, See Table)
- 5 Range 2 (testis only, AFP, See Table)
- 6 Range 3 (testis only, AFP, See Table)
- 8 Ordered, but results not in chart; or results not convertible to Range 1, 2, or 3
- 9 Unknown or no information (all sites other than those specified in the table)

**Testicular Cancer**

Acceptable codes for testicular cancer are 0, 2, 4, 5, 6, 8, and 9. For testis cases only, record alpha-fetoprotein (AFP) in Tumor Marker 1. If there are serial serum tumor markers, record the lowest (nadir) value of AFP after orchiectomy in the first course of treatment.

**30350 - TUMOR MARKER 2**

Field Length: 1

For cases diagnosed on or after January 1, 2004, tumor markers are collected in the Collaborative Stage Site Specific Factors fields and not in this data field. For earlier diagnoses, this table lists the sites for which tumor marker 2 is collected.

SITE	MARKER
Breast (C50.0-50.9)	Progesterone Receptor Assay (PRA)
Prostate (C61.9)	Prostatic Specific Antigen (PSA)
Testis (C62.0, C62.1, C62.9)	Human chorionic gonadotropin (hCG) Range 1 <5,000 mIU/ml Range 2 5,000 - 50,000 mIU/ml Range 3 >50,000 mIU/ml

Record the appropriate code as indicated below.

**CODES:**

- 0 None done (not ordered and was not performed)
- 1 Positive/Elevated (breast and prostate only)
- 2 Negative/Normal
- 3 Borderline, undetermined whether positive or negative (breast and prostate only)
- 4 Range 1 (testis only, hCG, see table)
- 5 Range 2 (testis only, hCG, see table)
- 6 Range 3 (testis only, hCG, see table)
- 8 Ordered, but results not in chart; or results not convertible to Range 1, 2, or 3
- 9 Unknown or no information (all sites other than those specified in the table)

**Testicular Cancer**

Acceptable codes for testicular cancer are 0, 2, 4, 5, 6, 8, 9. For testis cases only, record the Human Chorionic Gonadotropin (hCG) in Tumor Marker 2. If there are serial serum tumor markers, record the lowest (nadir) value of hCG after orchiectomy in the first course of treatment.

**30360 - TUMOR MARKER 3**

Field Length: 1

For cases diagnosed on or after January 1, 2004, tumor markers are collected in the Collaborative Stage Site Specific Factors fields and not in this data field. For earlier diagnoses, "Tumor Marker Three" records prognostic indicators for testicular cancer only.

SITE/HISTOLOGY	MARKER #3						
Testis (C62.0, C62.1, C62.9)	<p>LDH</p> <table> <tr> <td>Range 1</td> <td>&lt;1.5 x N*</td> </tr> <tr> <td>Range 2</td> <td>1.5-10 x N*</td> </tr> <tr> <td>Range 3</td> <td>&gt;10 x N*</td> </tr> </table> <p>* N equals the upper limit of normal for the LDH</p>	Range 1	<1.5 x N*	Range 2	1.5-10 x N*	Range 3	>10 x N*
Range 1	<1.5 x N*						
Range 2	1.5-10 x N*						
Range 3	>10 x N*						

**Codes:**

- 0 None done (test was not ordered and was not performed)
- 2 Negative/normal
- 4 Range 1 (See table)
- 5 Range 2 (See table)
- 6 Range 3 (See table)
- 8 Ordered, but results not in chart
- 9 Unknown or no information (all sites other than testes)

### **30370- 30400 - Diagnostic and Staging Procedures**

Field Length: 1 (x 4)

Specific diagnostic and staging procedures were defined for breast and prostate cancers only for diagnoses dates between 1/1/1998 and 12/31/2002. They are now optional fields and are no longer required to be coded.

If the primary site is other than breast or prostate, code all data items 0 or leave blank. If more than one code applies, use the highest code (excluding 9).

#### **30370 - Biopsy Procedure (Breast Only)**

These are biopsies that do not grossly remove the primary tumor and/or surgical margins were macroscopically involved.

If the primary tumor was grossly removed during the biopsy procedure, code Biopsy Procedure and Guidance items 0 (not done, not a separate procedure). The biopsy would be coded as cancer-directed surgery.

- 0 Not done, not a separate procedure
- 1 Biopsy, NOS
  - 2 Fine needle aspiration (cytology)
  - 3 Core biopsy (histology)
  - 5 Excision of major duct (if procedure removes all gross primary tumor, code as cancer-directed surgery)
- 9 Unknown if biopsy performed, death certificate only

#### **30380 - Guidance (Breast Only)**

- 0 Not guided, no biopsy of primary site
- 1 Guided, NOS
  - 2 Radiographic NOS (no dye or dye unknown)
  - 3 Mammographic; wire/needle localization
  - 4 Stereotactic
  - 5 Dye only
  - 6 Dye plus (1-3)
  - 7 Ultrasound
- 9 Unknown if guided; biopsy performed; death certificate only

#### **30390 - Palpability of Primary (Breast Only)**

- 0 Not palpable
- 1 Palpable

9 Palpability not stated; death certificate only

### **30400 - First Detected By (Breast Only)**

Record the method by which the breast mass or abnormality was first recognized.

- 0 Not a breast or prostate primary
- 1 Patient first felt lump or noted nipple discharge
- 2 Physician first felt lump
- 3 Mammography - routine (screening)
- 4 Occult; incidental finding during other procedure
- 9 Unknown how first detected

### **30370 - Biopsy Procedure (Prostate Only)**

- 0 Not done, not a separate procedure
- 1 Incisional biopsy, NOS
- 2 Fine needle aspiration (cytology)
- 3 Needle core biopsy; biopsy gun (histology)
- 4 6 cores or more of tissue from both lobes of the prostate
- 9 Unknown if biopsy of primary was done; death certificate only

### **30380 - Guidance (Prostate Only)**

- 0 Not guided; no biopsy of primary
- 1 Guided, NOS
- 2 Radiographic
- 3 Ultrasound
- 9 Unknown if guided, biopsy performed; death certificate only

### **30390 - Approach for Biopsy of Primary (Prostate Only)**

- 0 No biopsy
- 1 Transrectal
- 2 Transperineal
- 3 Transurethral
- 4 Laparoscopic
- 5 Open (laparotomy)
- 9 Unknown approach, but biopsy performed; death certificate only

**30340 - Biopsy of Other than Primary (Prostate Only)**

- 0 No biopsy of other than primary
- 1 Biopsy of seminal vesicle(s), NOS
  - 2 Unilateral
  - 3 Bilateral
- 4 Other than seminal vesicle
- 5 4 + 1
- 6 4 + 2
- 7 4 + 3
- 9 Unknown if biopsy of other than primary; death certificate only

**30410 - LATERALITY**

Field Length: 1

Enter the one digit code which describes this primary with regard to involvement of one or both sides of paired organs (see list below).

- 0 = Not paired
- 1 = Right origin
- 2 = Left origin
- 3 = One side only, R or L unknown
- 4 = Bilateral, side of origin unknown or single primary (i.e. bilateral Wilms' tumors)
- 9 = Paired but unknown laterality or midline tumor

**Coding Instructions**

1. Enter code 0 (not a paired organ) with an unknown primary site (C80.9) and with any topography not listed below.
2. Code laterality using codes 1-9 for all of the sites listed below.  
Code the side where the primary tumor originated.
  - a. Assign **code 3** if the laterality is not known but the tumor is confined to a single side of the paired organ.

**Example:** Pathology report: Patient has a 2cm carcinoma in the upper pole of the kidney. Code laterality as 3 because there is documentation that the disease exists in only one kidney, but it is unknown if the disease originated in the right or left kidney.

- b. **Code 4** is seldom used EXCEPT for the following diseases:
  - i. Both ovaries involved simultaneously, single histology
  - ii. Bilateral retinoblastomas
  - iii. Bilateral Wilms' tumor

**LIST OF PAIRED ORGANS**

ICD-O

Topo Code	Site
C07.9	Parotid gland
C08.0	Submandibular gland
C08.1	Sublingual gland
C09.0	Tonsillar fossa
C09.1	Tonsillar pillar
C09.8	Overlapping lesion of tonsil
C09.9	Tonsil, NOS
C30.0	Nasal cavity (excluding nasal cartilage and nasal septum - use code 0)

C30.1	Middle ear
C31.0	Maxillary sinus
C31.2	Frontal sinus
C34.0	Main bronchus (excluding carina - use code 0)
C34.1	Upper lobe, lung
C34.2	Middle lobe, lung
C34.3	Lower lobe, lung
C34.8	Other parts of lung or bronchus
C34.9	Lung, NOS
C38.4	Pleura
C40.0	Long bones of upper limb and scapula
C40.1	Short bones of upper limb
C40.2	Long bones of lower limb
C40.3	Short bones of lower limb
C41.3	Rib and clavicle (excluding sternum - use code 0)
C41.4	Pelvic bones (excluding sacrum, coccyx, and symphysis pubis - use code 0)
C44.1	Skin of eyelid
C44.2	Skin of external ear
C44.3	Skin of other and unspecified parts of face (if midline, code 9)
C44.5	Skin of trunk (if midline, code 9)
C44.6	Skin of arm and shoulder
C44.7	Skin of leg and hip
C47.1	Peripheral nerves and autonomic nervous system of upper limb and shoulder
C47.2	Peripheral nerves and autonomic nervous system of lower limb and hip
C49.1	Connective, subcutaneous, and other soft tissue of upper limb and shoulder
C49.2	Connective, subcutaneous, and other soft tissue of lower limb and hip
C50.0-C50.9	Breast (male and female)
C56.9	Ovary
C57.0	Fallopian tube
C62.0	Undescended testis
C62.1	Descended testis
C62.9	Testis, NOS
C63.0	Epididymis
C63.1	Spermatic cord
C64.9	Kidney, NOS
C65.9	Renal pelvis
C66.9	Ureter
C69.0-C69.9	Eye and lacrimal gland
C70.0	Cerebral meninges
C71.0	Cerebrum
C71.1	Frontal lobe
C71.2	Temporal lobe
C71.3	Parietal lobe
C71.4	Occipital lobe
C72.2	Olfactory nerve
C72.3	Optic nerve

C72.4	Acoustic nerve
C72.5	Cranial nerve, NOS
C74.0-C74.9	Suprarenal gland
C75.4	Carotid body

## **30420 - MULTIPLICITY COUNTER**

Field Length: 2

This data item is effective with cases diagnosed January 1, 2007, and later. It is used to count the number of tumors (multiplicity) reported as a single primary. Use the multiple primary rules for the specific site to determine whether the tumors are a single primary or multiple primaries.

### **Coding Instructions**

1. Code the number of tumors being abstracted as a single primary.
2. Do not count metastasis.
3. When there is a tumor or tumors with separate single or multiple foci, ignore/do not count the foci.
4. Use code 01 when:
  - a. There is a single tumor in the primary site being abstracted
  - b. There is a single tumor with separate foci of tumor
5. Use code 88 for:
  - a. Leukemia
  - b. Lymphoma
  - c. Immunoproliferative diseases
  - d. Unknown primary
6. Use code 99 when:
  - a. The original pathology report is not available and the documentation does not specify whether there was a single or multiple tumors in the primary site
  - b. The tumor is described as multifocal or multicentric and the number of tumors is not mentioned
  - c. The tumor is described as diffuse
  - d. The operative or pathology report describes multiple tumors but does not give an exact number
  - e. It is unknown if there is a single tumor or multiple tumors and the multiple primary rules instructed you to default to a single tumor
7. Leave this field blank for cases diagnosed prior to 1/1/2007.

### **Codes**

- 01 One tumor only
- 02 Two tumors present
- 03 Three tumors present
- ..
- ..
- 88 Information on multiple tumors not collected/not applicable for this site
- 99 Multiple tumors present, unknown how many

*Example 1:* The patient has a 2cm infiltrating duct carcinoma in the LIQ and a 1cm infiltrating duct carcinoma in the UIQ of the left breast. Accession as a single primary and enter 02 in the data item Multiplicity Counter.

*Example 2:* Operative report for TURB mentions multiple bladder tumors. Pathology report: Papillary transitional cell carcinoma present in tissue from bladder neck, dome, and posterior wall. Record 99 (multiple tumors, unknown how many) in Multiplicity Counter.

*Example 3:* Pathology from colon resection shows a 3cm adenocarcinoma in the ascending colon. Biopsy of liver shows a solitary metastatic lesion compatible with the colon primary. Record 01 in Multiplicity Counter (do not count the metastatic lesion).

*Example 4:* Patient has an excisional biopsy of the soft palate. The pathology shows clear margins. Record 01 in the Multiplicity Counter. Within six months another lesion is excised from the soft palate. Use the head and neck multiple primary rules to determine this tumor is not accessioned as a second primary. Change the Multiplicity Counter to code 02 to reflect the fact that there were two separate tumors abstracted as a single primary.

*Example 5:* CT of chest shows two lesions in the left lung and a single lesion in the right lung. Biopsy of the right lung lesions shows adenocarcinoma. No other workup is done. Using the multiple primary rules for lung, the case is abstracted a single primary. Enter the number 03 in the data item Multiplicity Counter.

## **30430 - DATE OF MULTIPLE TUMORS**

Field Length: 8

This data item is effective with cases diagnosed January 1, 2007 onward. It is used to identify the month, day, and year the patient is diagnosed with multiple tumors reported as a single primary. Use the multiple primary rules for that specific site to determine whether the tumors are a single primary or multiple primaries.

### **Date**

Record the date in month, day, year format (MMDDCCYY) that the patient was diagnosed with multiple tumors reported as a single primary.

### **Special Codes**

00000000	Single tumor
88888888	Information regarding multiple tumors is not applicable for this cancer (lymphoma, leukemia, immunoproliferative disease, and unknown primary)
99999999	Unknown date

### **Coding Instructions**

1. When multiple tumors are present at diagnosis, record the date of diagnosis.

*Example 1:* The patient has multiple tumors; a 2cm infiltrating duct carcinoma in the LIQ and a 1cm infiltrating duct carcinoma in the UIQ of the left breast. According to the breast multiple primary rules, these tumors are accessioned as a single primary. Enter the date of diagnosis in Date of Multiple Tumors.

*Example 2:* Operative report for TURB mentions multiple bladder tumors. Pathology report: Papillary transitional cell carcinoma present in tissue from bladder neck, dome, and posterior wall. According to the Bladder, Renal Pelvis, and Ureter multiple primary rules these tumors are accessioned as a single primary. Enter the date of diagnosis in Date of Multiple Tumors.

2. When subsequent tumor(s) are counted as the same primary, record the date the second/subsequent tumor was diagnosed. Update the multiplicity counter at this time.

*Example:* Patient has an excisional biopsy of a single tumor in the soft palate on January 2, 2007. The pathology shows clear margins. Record 01 in the Multiplicity Counter field. On July 10, 2007, another tumor is excised from the soft palate. The multiple primary rules for head and neck state that this tumor is the same primary. Change the 01 in Multiplicity Counter to 02 and enter 07102007, the date the second tumor was diagnosed, in Date of Multiple Tumors.

3. Leave this field blank for cases diagnosed prior to 1/1/2007.

**30440 - TYPE OF MULTIPLE TUMORS REPORTED AS ONE PRIMARY**

Item Length: 2

This data item is effective with cases diagnosed January 1, 2007 onward. Code the type of multiple tumors that are abstracted as a single primary. Ignore metastatic tumors for this data item.

<b>Code</b>	<b>Code Text</b>	<b>Description</b>	<b>Example(s)</b>
00	Single tumor	All single tumors. Includes single tumors with both in situ and invasive components	Code 01 in the Multiplicity Counter
10	Multiple benign	At least two benign tumors in same organ/primary site  Use this code for reportable tumors in intracranial and CNS sites only  May be used for reportable by agreement cases	
11	Multiple borderline	At least two borderline tumors in the same organ/primary site  Use this code for reportable tumors in intracranial and CNS sites only  May be used for reportable by agreement cases	
12	Benign and borderline	At least one benign AND at least one borderline tumor in the same organ/site group  Use this code for reportable tumors in intracranial and CNS sites only  May be used for reportable by agreement cases	
20	Multiple in situ	At least two in situ tumors in the same organ/primary site	Cystoscopy reports documents multiple bladder tumors. Pathology: flat transitional cell carcinoma of bladder.

30	In situ and invasive	One or more in situ tumor(s) AND one or more invasive tumors in the same organ/primary site	
31	Polyp and adenocarcinoma	One or more polyps with either <ul style="list-style-type: none"> <li>· In situ carcinoma or</li> <li>· Invasive carcinoma</li> </ul> AND one or more frank adenocarcinoma(s) in the same segment of colon, rectosigmoid, and/or rectum	
32	FAP with carcinoma	Diagnosis of familial polyposis (FAP) AND carcinoma (in situ or invasive) is present in at least one of the polyps	
40	Multiple invasive	At least two invasive tumors in the same organ	
80	Unknown in situ or invasive	Multiple tumors present in the same organ/primary site, unknown if in situ or invasive	
88	N/A	Information on multiple tumors not collected/not applicable for this site	<p>Leukemia, lymphoma, immunoproliferative diseases, and unknown primaries.</p> <p>All codes 88 in Multiplicity Counter</p>
99	Unknown	Unknown	<p>Code 99 in Multiplicity Counter, and DCO cases</p>

## 30450 - AMBIGUOUS TERMINOLOGY

Item Length: 1

This data item is collected effective with diagnoses on or after January 1, 2007. It identifies all cases, including DCO and autopsy only, which are accessioned based only on ambiguous terminology. Registrars are required to collect cases based on ambiguous terminology in the diagnosis and it is advantageous to be able to identify those cases in the database.

### Definitions

Phrase	Definition	Examples
Ambiguous terminology	Terms which have been mandated as reportable when used in a diagnosis. See page 3 of the FORDS Manual for detailed instructions on how to use the list.	<p><b>Clinical:</b> a physician's statement that the patient most likely has lung cancer.</p> <p><b>Laboratory tests:</b> A CBC suspicious for leukemia.</p> <p><b>Pathology:</b> A prostate biopsy compatible with adenocarcinoma.</p>
Conclusive terminology	A clear and definite statement of cancer. The statement may be from a physician (clinical diagnosis), or may be from a laboratory test, autopsy, cytologic findings, and/or pathology.	<p><b>Clinical:</b> a physician's statement that the patient has lung cancer.</p> <p><b>Laboratory tests:</b> A CBC diagnostic of acute leukemia.</p> <p><b>Cytologic findings:</b> A FNA (fine needle aspiration) with findings of infiltrating duct carcinoma of the breast.</p> <p><b>Pathology:</b> A colon biopsy showing adenocarcinoma.</p>

### List of Ambiguous Terms

Apparent(ly)	Most likely
Appears	Presumed
Comparable with	Probable
Compatible with	Suspect(ed)
Consistent with	Suspicious (for)
Favor(s)	Typical (of)
Malignant appearing	

<b>Code</b>	<b>Label</b>	<b>Definition</b>	<b>Time Frame</b>
0	Conclusive term	There was a conclusive diagnosis within 60 days of the original diagnosis. Case was accessioned based on conclusive terminology. Includes all diagnostic methods such as clinical diagnosis, cytology, pathology, etc.	Within 60 days of the date of initial diagnosis
1	Ambiguous term only	The case was accessioned based only on ambiguous terminology. There was not conclusive terminology during the first 60 days following the initial diagnosis. Includes all diagnostic methods except cytology. <i>Note:</i> Cytology is excluded because registrars are not required to collect cases with ambiguous terms describing a cytology diagnosis.	N/A
2	Ambiguous term followed by conclusive term	The case was originally assigned a code 1 (was accessioned based only on ambiguous terminology). More than 60 days after the initial diagnosis, the information is being updated to show that a conclusive diagnosis was made by any diagnostic method including clinical	60 days or more after the date of diagnosis

		diagnosis, cytology, pathology, autopsy, etc.	
9	Unknown term	There is no information about ambiguous terminology.	N/A

**Coding Instructions**

1. Use code 0 when a case is accessioned based on conclusive terminology. The diagnosis includes clear and definite terminology describing the malignancy within 60 days of the original diagnosis.

*Note:* Usually the patient undergoes a diagnostic work-up because there is a suspicion of cancer (ambiguous terminology). For example, a mammogram may show calcifications suspicious for intraductal carcinoma; the date of the mammogram is the date of initial diagnosis. When there is a clear and definite diagnosis within 60 days of that mammogram (date of initial diagnosis), such as the pathology from an excisional biopsy showing intraductal carcinoma, assign code 0.

2. Use code 1 when a case is accessioned based on ambiguous terminology and there is no clear and definite terminology used to describe the malignancy within 60 days of the date of initial diagnosis. The diagnosis may be from a pathology report, a radiology report, an imaging report, or in the medical record.
3. Use code 2 when a case is accessioned based on ambiguous terminology followed by clear and definite more than 60 days after the initial diagnosis.
4. Follow back to a physician or subsequent readmission (following the initial 60 day period) may eventually confirm cancer (conclusive cancer term more than 60 days after ambiguous term). Assign code 2.
5. Leave this data item blank for cases diagnosed prior to 1/1/2007.
6. Cases accessioned based on ambiguous terminology (code 1) should be excluded from case selection in research studies. Direct patient contact is not recommended.

## **30460 - DATE OF CONCLUSIVE TERMINOLOGY**

Item Length: 8

This data item is effective with cases diagnosed on or after January 1, 2007. For those cases originally accessioned based on ambiguous terminology only, this data item documents the date of a definite statement of malignancy. The abstractor will change the code for the data item [Ambiguous Terminology](#) from a 1 to a 2 and enter the date that the malignancy was described clearly and definitely in the Date of Conclusive Terminology.

### **Date**

Record the date in month, day, year format (MMDDCCYY) that the malignancy was described with conclusive terminology at least 60 days after it was initially diagnosed by ambiguous terminology.

### **Special Codes**

00000000	Based on <b>ambiguous terminology only</b> (Code 1 in data item "Ambiguous Terminology")
88888888	Not applicable; based on conclusive diagnosis within 60 days (Code 0 in data item "Ambiguous Terminology")
99999999	Unknown date; unknown if diagnosis was based on ambiguous terminology or conclusive terminology (Code 9 in data item "Ambiguous Terminology")

Leave this field blank for cases diagnosed prior to 1/1/2007.

**30470 - DIAGNOSTIC CONFIRMATION**

Field Length: 1

Record the one digit code that describes the method by which this diagnosis was confirmed. **This is a priority coding scheme with the lowest number taking precedence over all higher numbers. This data item must be updated to a lower code if a more definitive method confirms the diagnosis at any time during the course of the disease.**

- 1 = positive histology - use when microscopic examination of tissue reveals tumor such as from a biopsy, surgery, autopsy, or D & C. Also include here positive bone marrow specimens for leukemia diagnoses. Use code 1 for hematologic confirmation of leukemia, (i.e., peripheral blood smear). This code supersedes all other codes when it is an appropriate choice.
- 2 = positive cytology - use when microscopic examination of cells (rather than tissue) such as pap smears, bronchial washings, gastric, spinal or pleural fluid, fine needle aspirate, etc. reveals tumor without a biopsy being done.
- 4 = positive microscopic confirmation, NOS (not otherwise specified) - use this code if microscopic examination was done but detailed information on the method is not available.
- 5 = positive laboratory test or marker study - use when the lab tests or markers studies are indicative of a clinical diagnosis of cancer (i.e. CBC for myelodysplastic syndrome).
- 6 = direct visualization without microscopic confirmation - use when procedures such as laryngoscopy, endoscopy, exploratory laparotomy, etc. are done which reveal tumor but no microscopic examination was done.
- 7 = radiography - use when imaging techniques such as x-rays, scans, GI series, barium enema, IVP, mammograms, venograms, arteriograms, air contrast studies, etc. indicate tumor in the absence of microscopic confirmation.
- 8 = clinical diagnosis - use when the diagnostic procedure does not fit in previous categories 1-7. This may include palpation, or other unspecified tests. If a physician treats a patient for cancer, in spite of a negative biopsy, this is a reportable clinical diagnosis. Also, if a physician continues to describe a patient as having a reportable tumor, even after reviewing negative pathology results, this too is a reportable clinical diagnosis.
- 9 = unspecified or unknown - use when the record does not reveal the method of diagnosis. This may occur when the patient was diagnosed elsewhere and the history is not available.

**30480 - Pathology Report Number**

Field Length: 15

Record the pathology report number from which the diagnosis of cancer was made. The field allows for 15 characters - start entering in the leftmost box and leave any trailing boxes blank.

## SEER Extent of Disease

The extent of disease scheme used for cases diagnosed after 1988 by SEER is composed of:

- Size of Primary Tumor (3 digits)
- Extension (2 digits) plus 2 additional digits for prostate pathologic extent
- Lymph Nodes (1 digit)
- Number of Positive Regional Lymph Nodes (2 digits)
- Number of Regional Lymph Nodes Examined (2 digits)

The codes and coding instructions for the SEER Extent of Disease--1988 are detailed in SEER Extent of Disease Codes-- 1988, Codes and Coding Instructions, third edition (revised in 1998). This reference contains the site specific codes for items 30490, 30510, 30520, and 30530: tumor size, SEER extension, prostate pathologic extent, and lymph node involvement.

Extent of Disease should include all information available within four months of diagnosis in the absence of disease progression or through completion of surgery(ies) in first course treatment, whichever is longer. **Except for tumor size, Extent of Disease information obtained after treatment with neoadjuvant chemotherapy, radiation therapy, hormonal therapy, or immunotherapy may be included.**

All schemes apply to all histologies, unless otherwise noted.

The priority for using information is pathologic, operative and clinical findings.

For "Death Certificate Only" cases, this field is to be coded '999999999' except for death certificate only prostate cases, which are coded '999909999990'.

**NOTE:** This EOD coding scheme is required by KCR for cases diagnosed from 1-1-2000 through 12-31-2003. As of January 1, 2004, data in fields 30490-30530 - Tumor Size, SEER Extent, Pathologic Extent for Prostate, and SEER Lymph Node Involvement - will no longer be collected. Instead, this information will be captured in the Collaborative Stage fields 30540-30680. The number of regional lymph nodes examined and positive will continue to be collected as before 2004.

### **30490 - TUMOR SIZE**

Field Length: 3

DO NOT CODE THIS FIELD FOR CANCERS DIAGNOSED ON OR AFTER 1-1-2004.  
INSTEAD, RECORD TUMOR SIZE IN [ITEM 30540](#) ACCORDING TO INSTRUCTIONS IN  
THE COLLABORATIVE STAGING MANUAL.

If the diagnosis date is before 1-1-2004, record the size of the tumor here in millimeters as stated in the pathology report. If more than one dimension is recorded, code the greatest one. For example, 6.1 x 9.4 cm should be recorded as 094. To convert centimeters to millimeters, multiply centimeters by 10. If the tumor size is stated in millimeters, such as "breast tumor is 13 mm," code as 013.

Use the instructions in the *SEER Extent of Disease 1998 Codes and Coding Instructions* manual, pages 3-5 and the tables that follow, to code this field.

If the pathology report does not specify tumor size, a reasonable estimate should be entered from the surgical notes, from scans or radiologic reports, or other clinical findings in that order. If unknown, code '999'.

Use the charts and tables on the following pages for additional guidelines in coding this field.

**EXCEPTIONS:** For melanomas of the skin, vulva, penis, scrotum, and conjunctiva, use this field to record the DEPTH OF INVASION (thickness of tumor) - and not largest tumor dimension - in HUNDREDTHS OF MILLIMETERS. For example, a melanoma with 1.55 mm depth of invasion should be coded 155. A melanoma of 9.9 mm or greater should be coded 990.

For melanomas of the uvea and other parts of the eye (C69.1-C69.4, C69.8-C69.9), as well as any other anatomic sites, record the tumor size at largest dimension and not depth of invasion.

For mycosis fungoides and Sezary's disease, use this field to record PERIPHERAL BLOOD INVOLVEMENT instead of tumor size.

For Hodgkin's and non-Hodgkin's lymphomas and Kaposi's sarcoma, use this field to record HIV STATUS instead of tumor size.

You may round off if the size is more precise than the coding spaces available.

For example: -ovarian tumor is 16.75 cm - code 168

-skin melanoma is 4.668 mm thick - code 467

Find the type of cancer you are abstracting in the left column. Then follow across the row to see the instructions for coding the field 'Tumor Size' for that type of cancer.

TYPE OF CANCER	ABSTRACTING GUIDELINES
1. Melanoma (8720- 8790) of skin   (C44.0-C44.9) of vulva  (C51.0-C51.9) of penis   (C60.0-C60.9) of scrotum (C63.2) of conjunctiva (C69.0)	<p><b>Code thickness</b> (depth of invasion of tumor)</p> <p><b>Code in hundredths of millimeters</b></p> <p>Examples: thickness of .75mm = 075 = T1            if skin            thickness of 2.5mm = 250 = T3            if skin            thickness of 4.4mm = 440 = T4            if skin            thickness of 9.9mm or greater = 990</p>
2. Hodgkins Lymphoma (9650-9667) Non-Hodgkins Lymphoma (9590-9595, 9670-9717) Kaposi's Sarcoma (9140)	Code HIV/AIDS status 001 = Yes, present 002 = No 999 = Unknown
3. Mycosis Fungoides (9700) Sezary's Disease (9701) of skin   (C44.0-C44.9) of vulva  (C51.0-C51.9) of penis   (C60.0-C60.9) of scrotum (C63.2)	Code peripheral blood involvement 000 No peripheral blood involvement 001 <5% atypical circulating cells 002 >5% atypical circulating cells 003 % not stated 999 Not applicable
4. Malignant histiocytosis (9720) Letterer-Siwe's disease (9722) True histiocytic lymphoma (9723) Plasma cell tumors (9731-9732) Leukemia (9800-9941) Immunoproliferative disease (9760-9768) Myeloproliferative disease (9950-9989) Ill defined primary site (C76.0-C76.9) C42._ and any malignancy not listed above Unknown primary site (C80.9)	Code 999 = Not applicable

<p>5. All tumors other than those listed above on lines 1-4, <b><i>including melanomas of sites other than skin,</i></b> vulva, penis, scrotum, and conjunctiva.</p>	<p>Code size of primary tumor at largest dimension. Code <b><i>in millimeters.</i></b>  There are special meanings for certain codes  001 = microscopic focus or foci  002 = 2mm or less for all sites except breast &amp; lung  002 = (for breast) mammography dx only; no size given  002 = (for lung) malig. cells in secretions  003 = (for breast &amp; lung) 3 mm or less  999 = tumor size not given</p> <p>Examples: tumor is 5mm x 2mm = 005  tumor is 5cm x 2cm = 050  tumor is 10.6cm = 106</p>
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WEIGHTS AND MEASURES\*

## SIZES IN CENTIMETERS, MILLIMETERS, INCHES

10 mm = 1 cm  
2.5 cm = 1 inch

1 cm = 10 mm  
1 inch = 025 mm

## DESCRIPTIONS OF TUMOR SIZES INTERPRETED IN MM'S

<u>Fruits</u>		<u>Miscellaneous Food</u>	
Apple	070	Doughnut	090
Apricot	040	Egg	050
Cherry	020	Egg, goose	070
Date	040	Egg, hen	050
Fig, dried	040	Egg, bantam	040
Grape	020	Egg, pigeon	030
Grapefruit	010	Egg, robin	020
Kumquat	050	Lentil	009
Lemon	080	Millet	009
Lime	060		
Olive	020		
Orange	090	<u>Money</u>	
Peach	060		
Pear	090	Dime	010
Plum	030	Dollar, silver	040
Tangerine	060	Dollar, half	030

<u>Nuts</u>		Nickel	020
		Quarter	020
		Penny	010
Almond	030		
Chestnut	040		
Chestnut, horse	040	<u>Other</u>	
Hazel	020		
Hickory	030	Ball, golf	040
Peanut	010	Ball, ping pong	030
Pecan	030	Baseball	070
Walnut	030	Eraser or Pencil	010
Bean	010	Fist	090
Bean, Lima	020	Marble	010
Pea	009	Match Head	009
Pea, split	009	Microscopic focus	001

\* From Seer Informational Guidebook Training Aids

**30500 - EOD CODING SYSTEM**

Field Length: 1

This is a calculated field which indicates the type of SEER EOD code (based on the year of diagnosis) applied to the tumor. This field is blank for cases diagnosed after January 1, 2004.

## 30510 - SEER EXTENSION

Field Length: 2

(Required field with all cases diagnosed from January 1, 2000 to December 31, 2003.)

**As of 1-1-2004, leave this field blank and code information in the Collaborative Stage item #30540 instead.**

Code the farthest documented extension of tumor away from the primary site, either by contiguous extension or distant metastasis.

The description of the primary tumor growth within the organ of origin or its extension to neighboring organs, or its metastasis to distant sites is summarized in a two-digit code. It is a hierarchical code in which the most extensive disease is all that is coded. Thus, information about the extent of the tumor within the primary site is lost if the tumor extends to neighboring organs, and extension to neighboring organs is lost if there is distant metastasis. Code '99' is reserved for unknown extension, except for prostate.

Use the instructions in the *SEER Extent of Disease 1998 Codes and Coding Instructions* manual, page 7, and the tables that follow, to code this field.

This field must match the behavior code. If behavior is /2, this data element must be coded in-situ\non-invasive (00, 01, 02, 03, 04, 05).

**30520 - PATHOLOGIC EXTENT - PROSTATE**

Field Length: 2

DO NOT CODE THIS FIELD IF THE DIAGNOSIS DATE IS ON OR AFTER 1-1-2004.

Record the pathologic extent for a prostate cancer in the [Collaborative Stage, Site Specific Factor 3](#) field instead.

If the diagnosis date is before 1-1-2004, record the EOD extent code based on information obtained from a prostatectomy, for prostate primaries only. Record '99' if no prostatectomy was done as part of first course therapy. Leave blank for all other types of cancer.

### **30530 - SEER LYMPH NODE INVOLVEMENT**

Field Length: 1

(Required field with all cases diagnosed from January 1, 2000 to December 31, 2003.)

**As of 1-1-2004, leave this field blank and record this information in the  
Collaborative Stage Item #30570  
instead.**

If the diagnosis date is before 1-1-2004, record the highest specific lymph node chain that is involved by tumor.

Use the instructions in the *SEER Extent of Disease 1998 Codes and Coding Instructions* manual, pages 8-9, and the tables that follow, to code this field.

Nodes which are considered "regional nodes" are defined by primary site in the *AJCC Manual for Staging of Cancer*.

## **30540-30680 - COLLABORATIVE STAGING**

Collaborative Staging (CS) is to be used for cases diagnosed on or after January 1, 2004. It is not to be used for cases diagnosed prior to that date. Its introduction does not affect CoC requirements for physicians to assign AJCC staging or the requirement that the physician-assigned staging values be recorded in the registry.

Collaborative Staging was designed for registrar use. For Collaborative Staging, registrars code discrete pieces of information once and the CS computer algorithm derives the values for AJCC T, N, M and Stage Group, Summary Stage 1977, and Summary Stage 2000. The derived stage codes are ideally suited for data analysis because of the consistency that can be obtained with objectively-recorded, identically-processed data items.

The timing rule for CS coding was designed to make use of the most complete information possible to yield the "best stage" information for the tumor at the time of diagnosis-- "use all information gathered through completion of surgery(ies) in first course of treatment or all information available within four months of the date of diagnosis in the absence of disease progression, whichever is *longer*." Disease progression is defined as further direct extension or distant metastasis known to have developed after the diagnosis was established. Information about tumor extension, lymph node involvement, or distant metastasis obtained after disease progression is documented should be excluded from the CS coding.

The following CS data items are coded by the registrar.

- 30540. CS Tumor Size*
- 30550. CS Extension*
- 30560. CS Tumor Size/Ext Eval*
- 30570. CS Lymph Nodes*
- 30580. CS Reg Lymph Nodes Eval*
- 30590. Regional Lymph Nodes Examined*
- 30600. Regional Lymph Nodes Positive*
- 30610. CS Mets at DX*
- 30620. CS Mets Eval*
- 30630-30680. CS Site-Specific Factors 1-6, for some sites*

The CS algorithm produces the output items listed below. The derived AJCC items are separate from the physician-coded items; and the derived Summary Stage items are separate from the manually-coded items collected by the CoC in the past. The derived items cannot be manually entered.

- 30790. Derived AJCC T Code*
- 30800. Derived AJCC T Text*
- 30780. Derived AJCC T Descriptor*
- 30820. Derived AJCC N Code*
- 30830. Derived AJCC N Text*

- 30810. Derived AJCC N Descriptor
- 30850. Derived AJCC M Code
- 30860. Derived AJCC M Text
- 30840. Derived AJCC M Descriptor
- 30870. Derived AJCC Stage Group Code
- 30880. Derived AJCC Stage Group
- 30690. Derived SS1977
- 30710. Derived SS2000

Like the AJCC and Summary Stage codes that are derived from it, CS is a site-specific staging system. The CS algorithm uses tumor site and histology to determine which CS schema to apply. Depending on the schema, the coding instructions and code definitions will vary. Collaborative Staging codes are defined for every site and histology combination. The AJCC *Cancer Staging Manual* does not cover all sites, and some histologies are excluded from sites with an AJCC coding scheme. When the CS algorithm processes a site-histology combination that does not have an applicable AJCC code, it assigns the display string "NA" for "Not applicable." A blank display string for a derived item means the CS algorithm was not run for the case.

### **Coding CS Items**

The complete instructions and site-histology defined codes are available in the *Collaborative Staging Manual and Coding Instructions (CS Manual) version 1.04.00*. Part I provides general instructions and the instructions and codes for generic (non site-specific) items. Part II contains the site-specific instructions and codes. The *CS Manual* and related information is available electronically on the AJCC Web site:

<http://www.cancerstaging.org/cstage/CSManual010400.pdf>. For an easily navigable web-based list of site-specific schema and coding instructions, go to  
<http://web.facs.org/cstage/schemalist.htm>.

- Code the CS items for every analytic case. Read the medical record carefully to identify the primary site and histology and determine their ICD-O-3 codes. While you are reviewing the record, make mental notes about the tissues and lymph nodes that are involved by tumor.
- If the histology is melanoma (8720-8790), Kaposi sarcoma (9140), retinoblastoma (9510-9514), lymphoma (9590-9699 and 9702-9729), mycosis fungoides (9700-9701), or hematopoietic and reticuloendothelial system (9731-9989), use the histology-specific schema for the appropriate histology-site combination.
- Otherwise, turn to the correct site-specific schema in Part II of the *CS Manual*. Schemas are in ICD-O-3 order by the first code that uses the schema. Verify that you are in the correct chapter by confirming that the code is in the list at the beginning of the schema.

Begin assigning codes for the 15 Collaborative Staging data items. Be sure to read the notes and follow the site/histology-specific instructions at the beginning of each item. Some schemas may have site-specific factors associated with extension, lymph nodes or metastasis; keep these in mind as you assign the codes.

- Code the tumor size in the *CS Tumor Size* item.
- Code how far the tumor has spread directly in the *CS Extension* item.
- Code how the farthest tumor spread was determined in the *CS Tumor Size/Ext Eval* item.
- Code whether regional lymph nodes are involved in the *CS Lymph Nodes* item.
- Code how the farthest lymph node spread was determined in the *CS Reg Node Eval* item.
- Code the number of positive regional lymph nodes from the pathology report in the *Regional Nodes Positive* item.
- Code the number of regional lymph nodes examined by the pathologist in the *Regional Nodes Examined* item.
- Code the farthest distant metastasis (including distant lymph nodes) in the *CS Mets at Dx* item.
- Code how the distant metastasis was determined in the *CS Mets Eval* item.
- Code the six *CS Site-Specific Factors*. If the first site-specific factor is listed as "Not Applicable," then code 888 in all site-specific factors. Otherwise, code the specific information requested for each site-specific factor. When the next site-specific factor is 888 (Not Applicable), all the remaining site-specific factors will also be 888.

The derived stage information will be calculated when the case is saved, or prior to exiting the case. When the computer derives the final stage information, the program will check the histology code and other coded information to determine whether T, N, M and Stage Group will be generated for the case. If the histology code is on the computer's exceptions list for that site, the T, N, M, and Stage Group will be reported as "Not Applicable." Summary Stage is generated for every case.

### ***Site-Specific Factors***

Some schemas require prognostic information not required for most sites. *CS Site-Specific Factors 1-6* are designed to collect that information. The schemas that make use of one or more site-specific factors are:

- Head and Neck
- Colon
- Rectosigmoid, rectum
- Liver
- Malignant melanoma of Skin, Vulva, Penis, Scrotum
- Mycosis Fungoides
- Breast
- Ovary
- Placenta
- Prostate
- Testis
- Malignant melanoma of Conjunctiva
- Malignant melanoma of Iris and Ciliary Body
- Malignant melanoma of Other Eye

- Brain
- Thyroid
- Kaposi Sarcoma
- Hodgkin Lymphoma and Non-Hodgkin Lymphoma

### ***Using CS Derived Values***

Some differences in the ways that the CS algorithm operates and how the AJCC stage assignment rules are made can result in differences between the derived values for some patients and the physician-assigned stages. The differences of most interest to registrars are those that might explain discrepancies between the derived AJCC T, N, M and Stage Group values and the values recorded for the same cases by physicians.

First, as a "best stage" system, CS makes use of the most complete information available to stage the tumor. The AJCC *Cancer Staging Manual* distinguishes between clinical staging, based on information available prior to primary treatment, and pathologic staging, based on information gathered as a product of the treatment process (particularly surgery). It also has specific rules governing how the components gathered at different times in the process may be combined. The CS algorithm derives a clinical (c) or pathologic (p) descriptor for each of the T, N and M stage components based on the source of information used to validate the most extensive spread of the tumor, and uses the components to derive a stage group without reference to the value of the descriptors. Some derived stage groups may involve combinations that are neither clinical nor pathologic according to AJCC rules, so a case that is unstageable for a physician applying AJCC rules may be assigned a Derived AJCC Stage Group value by the CS algorithm. Other cases may involve combinations that do not match either the physician-assigned clinical stage or the pathologic stage.

Second, the CS algorithm has a built-in set of histologies to which each site-specific CS schema applies when it derives AJCC stage and component values. That list, necessary for computer generation of derived values, is not as strictly defined by AJCC with respect to most sites. Consequently, it is possible a physician will provide an AJCC stage for a patient when the CS algorithm does not.

## **30690 - SUMMARY STAGE 1977**

Field Length: 1

For cases diagnosed after 1-1-2004, this field will be calculated from the [Collaborative Stage](#) data items.

For cases diagnosed from 1-1-2001 to 12-31-2003, this field will be calculated from the [SEER Extent of Disease](#) data items.

For cases diagnosed prior to January 1, 2001, record the one digit code which describes the stage of disease at time of initial diagnosis and/or first treatment. Use all information available in the medical record within four months of the date of diagnosis in the absence of disease progression or through completion of first course surgery(ies), whichever is longer. Note that often surgical procedures will reveal the true anatomic extent of the disease at the time of first treatment and this information may be used in staging this case.

Refer to the Summary Staging Guide of the SEER Program to determine the general stage. Briefly described, they are:

0 = In-situ/non-invasive malignant tumor. The pathology report must state "in-situ". In addition there must be no evidence of invasion mentioned anywhere in the record in order for a tumor to be staged in this category. (The following synonyms may also be used instead of "in-situ": intraepithelial, intraepidermal, non-infiltrating, intraductal, or Bowen's Disease.)

1 = Localized - tumor is confined to the organ of origin.

2 = Regional by direct extension - tumor has spread by direct extension to immediately adjacent tissues or organs.

3 = Regional to lymph nodes - tumor has spread into lymph nodes regional to the primary site of origin.

4 = Regional by both direct extension and regional lymph nodes.

5 = Regional, NOS - tumor is regionally spread, but the extent of regional spread cannot be determined, or is not specified.

7 = Distant metastasis - a tumor that has spread beyond the immediately adjacent tissues and has developed secondary or metastatic tumors, has seeding or implants, or is systemic. Leukemia, multiple myeloma, reticuloendotheliosis, hematopoietic diseases, and Letterer-Siwe's disease are always coded 7.

9 = Unknown/Unstageable - Use when there is not enough information available to accurately determine the stage. Every effort should be made to determine the stage by thoroughly reviewing the record or obtaining information from a medical authority before using code 9.

**Code '9' should be used for unknown primaries**, because staging for these cases is not applicable.

In the case of patients first treated elsewhere and admitted to your hospital for a subsequent course of treatment, enter the stage at the time of initial diagnosis, if it is known. If not, record the stage as "Unknown". Do not record the stage at the time of admission to your hospital for subsequent treatment.

### **30700 - Summary Stage 1977 Display String**

Field Length: 5

This is the label which appears on screen or in reports and that corresponds to the code stored in Summary Stage 1977 ([item #30690](#)).

<b>Code</b>	<b>Display String</b>
-------------	-----------------------

0	IS
1	L
2	RE
3	RN
4	RE+RN
5	RNOS
7	D
8	NA
9	U

**30710 - SUMMARY STAGE 2000**

Field Length: 1

This is a one digit code which summarizes the stage of disease at time of initial diagnosis and/or first treatment. It only applies to cancers diagnosed on or after January 1, 2001. It will be calculated based on information coded in the SEER Extent of Disease fields for cases diagnosed from 1-1-2001 to 12-31-2003. For cases diagnosed on or after 1-1-2004, it will be calculated from the Collaborative Stage data items.

### **30720 - Summary Stage 2000 Display String**

Field Length: 5

This is the label which appears on screen or in reports and that corresponds to the code stored in Summary Stage 2000 (item [#30710](#)).

<b>Code</b>	<b>Display String</b>
-------------	-----------------------

0	IS
1	L
2	RE
3	RN
4	RE+RN
5	RNOS
7	D
8	NA
9	U

**30730-30770 - Sites of Distant Metastases**

Field Length: 2 (x 5)

Record the appropriate code(s) for up to five sites of distant metastases present at the time of initial diagnosis. Include a distant site here if it is considered metastatic by the AJCC *Manual for Staging of Cancer*. See [Appendix E](#) for General Sites Codes.

The following systemic diseases should not have sites of metastases recorded: leukemia, Letterer-Siwe disease, multiple myeloma, reticuloendotheliosis, Hodgkin's and Non-Hodgkin's lymphomas, and unknown primaries.

When you are abstracting an unknown primary, you may not code site(s) of metastases here, because you cannot be sure they are distant sites.

Precede any single digit codes with a zero.

**30920 - CS Version Latest**

Field Length: 6

This field does not appear on the patient abstract, but is available for data analysis. It is a computer assigned value which indicates the Collaborative Stage version used most recently to derive the CS output fields. This data item is updated each time the CS output fields are derived.

The digits are stored as follows:

- The first two digits represent the major version number
- The third and fourth digit represent minor version changes
- The last two digits represent even less significant changes that do not affect coding

### **30930 - CS Version Original**

Field Length: 6

This field does not appear on the patient abstract, but is available for data analysis. It is a computer assigned value which indicates the Collaborative Staging version used to initially code the CS data items. When the CS algorithm is run and the output values stored at the time of initial abstracting, the program automatically stores the value in this field.

The digits are stored as follows:

- The first two digits represent the major version number
- The third and fourth digit represent minor version changes
- The last two digits represent even less significant changes that do not affect coding

Note: This field is not updated if the data item codes are changed.

**30935 - HER2 IHC**

Field Length: 1

Record the results of the IHC HER2 test for breast cancer cases in this field.

**Codes:**

- 1 = Positive
- 2 = Negative
- 3 = Equivocal
- 8 = Test performed; results unknown
- 9 = Test not done or unknown if done

**30936 - HER2 FISH**

Field Length: 1

Record the results of the FISH HER2 test for breast cancer cases in this field.

**Codes:**

- 1 = Positive
- 2 = Negative
- 3 = Equivocal
- 8 = Test performed; results unknown
- 9 = Test not done or unknown if done

**30937 - HER2 TEST UNSPECIFIED**

Field Length: 1

Record the result of the HER2 test for breast cancer in this field if the type of test (IHC vs.FISH) is unknown or not stated, or if a test other than IHC or FISH was performed.

Codes:

- 1 = Positive
- 2 = Negative
- 3 = Equivocal
- 8 = Test performed; results unknown
- 9 = Test not done or unknown if done

**30938 - HER2 TEST PERFORMED?**

Field Length: 1

This field is automatically calculated from items 30935-30937.

**Codes:**

- 1 = Yes
- 2 = No or Unknown

**30939 - HER2 RESULT**

Field Length: 1

This field is automatically calculated from items 30935-30937.

Codes:

- 1 = Positive
- 2 = Negative
- 3 = Equivocal
- 8 = Test performed; results unknown
- 9 = Test not done or unknown if done

## AJCC Staging of Cancer

The philosophy of American Joint Committee on Cancer (AJCC) is based on the premise that cancers of similar histology or site of origin share similar patterns of growth and extension. The size of the untreated tumor (T) increases progressively, and at some point in time, regional lymph node involvement (N) and distant metastases (M) occur. A simple classification scheme, which can be incorporated into a form for staging and universally applied, is the goal of the TNM system. The staging scheme is detailed in the *AJCC Manual for Staging of Cancer*, now in its Sixth edition.

**NOTE:** Cases diagnosed from 1989-1992 used the *AJCC Manual for Staging*, Third Edition.

The *AJCC Manual*, Fourth Edition, is starting with cases diagnosed from 1993 to 1997.

The *AJCC Manual*, Fifth Edition, is used with cases diagnosed in 1998 to 2002.

The *AJCC Manual*, Sixth Edition, is used with cases diagnosed in 2003 and after.

**NOTE:** The CoC does not require class zero cases diagnosed on or after 1/1/2006 to be AJCC staged by the physician.

The Workbook for Staging of Cancer, second edition, published by the National Cancer Registrars Association, is also recommended as a resource for additional and more detailed information for coding AJCC stage.

### **The TNM general rules applicable to all sites contained in the Sixth Edition are as follows:**

1. All cases should be confirmed microscopically; however, this is not mandatory for staging. Any cases not confirmed microscopically must be reported separately. This is possible by using the diagnostic confirmation field.

**NOTE: For 2008 diagnoses forward, ACoS requires clinical TNM staging assigned by a physician if available. If not available, these fields must be completed by the registrar.**

**Pathologic TNM is not required. For pre-2008 diagnoses, physician-assigned TNM stage is required for both clinical and pathologic staging in approved programs.** Physicians may choose to record both the clinical and the path stage if applicable. Registrars are required to report both if information is available from the physician. KCR requires only one TNM stage; pathologic if the information is available; otherwise clinical staging.

2. Two classifications are described for each site, namely:
  - a. Clinical classification, designated cTNM, in general is based on evidence acquired prior to first definitive treatment.
  - b. Pathologic classification, designated pTNM, in general, is based on the evidence acquired before treatment, supplemented or modified by the additional evidence acquired from surgery and from pathologic examination of a resected specimen. This includes staging done from a post mortem examination.

Consult each site specific chapter for the specific criteria required for classifying a case as clinical versus pathologic stage.

In instances when a biopsied primary tumor technically cannot be removed, or when it is unreasonable to remove it, and if the highest T and N, or the M1 category of the tumor can be confirmed microscopically, the criteria for pathologic classification and staging have been satisfied without total removal of the primary cancer.

For Example: Lung Primary; Biopsy of lung - Positive; Severe COPD - cannot resect lesion; CT scan - liver mets; liver biopsy- positive  
Assign Pathologic stage.

3. All cases should use the following time guidelines for evaluating stage: through the first course of surgery, or 4 months, whichever is longer, in the absence of disease progression.
4. In cases where the histology does not match those listed in the site specific chapter used to stage the case, the following guideline applies: If the first 3 digits of the histology of the case being abstracted match the first 3 digits of any of the histologies listed in the chapter, it is appropriate to use that chapter to stage the case; otherwise the chapter cannot be used.
5. If there is doubt concerning the correct T, N, or M category to which a particular case should be allotted, then the lower (less advanced) category should be chosen. This will also be reflected in the stage grouping.
6. In the case of multiple, simultaneous tumors in one organ, the tumor with the highest T category should be identified and the multiplicity will be recorded in the TNM descriptor field. In simultaneous bilateral cancers of paired organs, each tumor should be classified independently whenever each is determined to be a separate primary. In tumors of the thyroid, liver, and ovary, as well as in nephroblastomas and neuroblastomas, multiplicity is a criterion of T classification.
7. In the case of a primary of unknown origin, staging will be based on **reasonable clinical certainty** of the primary organ.

If **reasonable clinical certainty** is not obvious, the case *cannot be staged*. For example, if a patient has brain metastases diagnosed by a computed tomographic (CT) imaging scan, and the physician records that the primary is *probably* lung, code the primary site to lung and use the lung classification system for staging. However, if a patient is noted to have metastatic disease to the liver, and the pathology report cites that the primary may be lung or colon, this case cannot be staged, unless the origin of the primary is documented elsewhere.

8. For in-situ classification, if there is an acceptable histologic classification of in-situ carcinoma as determined by your pathologist, but it has not been specified in the AJCC chapter, it can be used to classify pTis.

The correct classification for in-situ lesions is **pTis cN0 cM0**, Clinical Stage Group 0. Since lymph nodes are generally not removed, the classification **cannot be pTis pN0 cM0**. **PTis Nx Mx** is considered **not stageable**. These cases will have only clinical confirmation of the lymph node and metastatic disease status.

9. If pathologic assessment of lymph nodes reveals negative nodes but the number of examined lymph nodes is less than the suggested number for lymph node dissection, classify the N category as pN0. Only one lymph node is required to be removed for pathologic staging.
10. Isolated tumor cells (ITC) are single tumor cells or small clusters of cells not more than 0.2 mm in greatest dimension that are usually detected by immunohistochemistry or molecular methods. Cases with ITC in lymph nodes or at distant sites should be classified as N0 or M0, respectively. The same applies to cases with findings suggestive of tumor cells or their components by non morphologic techniques such as flow cytometry or DNA analysis. These cases should be analyzed separately and have special recording rules in the specific organ site.
11. Except where pM is positive, cM should be used along with pT and pN for calculating pathologic stage. "pM0" is not a valid concept.

**When physician and registrar disagree on correct TNM stage:**

In situations where the registrar disagrees with the TNM stage assigned by the physician, the registrar should attempt to resolve the discrepancy with the appropriate physician. It is also recommended that hospitals with ACoS approved cancer programs have these discrepancies reviewed by the Cancer Committee liaison to the registry if further resolution is needed. The physician's TNM classification and stage group should be recorded in the cancer registry database and the 'r;staged by' field should indicate physician.

Any discussion or disagreement by the registrar and/or registry physician advisors should be recorded in text.

**30940 - TNM STAGING EDITION**

Field Length: 1

Record the appropriate code from the AJCC *Manual for Staging of Cancer* which describes the edition used to classify the extent of disease at the time of initial diagnosis and/or first treatment.

- 0 = Not Staged (sites that have an AJCC staging scheme but staging was not done)
- 1 = First Edition
- 2 = Second Edition
- 3 = Third Edition
- 4 = Fourth Edition
- 5 = Fifth Edition
- 6 = Sixth Edition
- 8 = Not applicable (sites that do not have a TNM staging scheme)
- 9 = Unknown edition

**30950 - cT**

Field Length: 3

The T evaluates only the primary tumor. It reflects tumor size and/or extension.

The clinical classification is based on information and evidence obtained before treatment. Use for sites that are accessible for clinical examination including cervix, oral cavity, and larynx. Use clinical classification for organs where only clinical findings evaluate the extent of disease. The physical examination, imaging, endoscopy, biopsy, surgical exploration, and other relevant findings are the basis of clinical staging. Evaluate the clinical stage of disease using all information available before the first cancer-directed treatment.

If the value is only one digit, record to the left and leave the remaining spaces blank. Choose the lower (less advanced) T category when there is any uncertainty. Refer to the *AJCC Manual for Staging of Cancer*, Sixth Edition for coding rules.

The following general definitions are used throughout the TNM classification.

TX Primary tumor cannot be assessed or is unknown.

T0 No evidence of a primary tumor.

Tis Carcinoma in-situ.

T1, T2, T3, and T4 describe increasing size and/or local extent of the primary tumor.

Record '888' when the site/histology combination does not have a TNM staging scheme. If TNM staging is applicable to this site/histology, you may record X in the cT field if you document the pathologic TNM instead. ACoS facilities must record both the clinical and pathologic stage if available by a physician.

Valid T Codes are:

TX = X

T0 = 0

Ta = A

Tis = IS

Tisp = SU

Tispd = SD

Tlmic = 1M

T1 = 1

T1A = 1A

T1A1 = 1A1

T1A2 = 1A2

T1B = 1B

T1B1 = 1B1

T1B2 = 1B2

T1C = 1C

T2 = 2

T2A = 2A

T2B = 2B

T2C = 2C

T3 = 3

T3A = 3A

T3B = 3B

T3C = 3C

T4 = 4

T4A = 4A

T4B = 4B

T4C = 4C

T4D = 4D

Not applicable = 888

**30960 - cN**

Field Length: 2

The N classifies only the regional lymph nodes. It describes the absence or presence and the extent of node metastases.

If the value is only one digit, record to the left and leave the second space blank. Choose the lower (less advanced) N category when there is any uncertainty. Refer to the AJCC *Manual for Staging of Cancer*, 6th Edition for coding rules.

The following general definitions are used throughout the TNM classification:

NX - Regional lymph nodes cannot be assessed or status is unknown.

N0 - Nodes were assessed and there was no evidence of regional lymph node metastasis.

N1, N2, and N3 - indicate increasing involvement of regional lymph nodes.

Classify a primary tumor that directly extends into lymph nodes as lymph node metastasis.

Record '88' if the site/histology does not have a TNM staging scheme. If TNM staging is applicable to this site/histology, you may record X in the cN field if you document the pathologic TNM instead. ACoS facilities must record both the clinical and pathologic stage if available by a physician.

Valid N Codes are:

NX = X

N0 = 0

N1 = 1

N1a = 1A

N1b = 1B

N1c = 1C

N1mi = 1M

N2 = 2

N2a = 2A

N2b = 2B

N2c = 2C

N3 = 3

N3a = 3A

N3b = 3B

N3c = 3C

Not applicable = 88

**30970 - cM**

Field Length: 2

M records the presence or absence of distant metastases. Choose the lower (less advanced) M category when there is any uncertainty.

The following general definitions are used throughout the TNM classification:

- MX The presence of distant metastasis cannot be assessed or is unknown.
- M0 No known distant metastasis.
- M1 Distant metastases are present.

Some cancers have additional codes for specific sites of metastasis. For example: prostate cancer has codes M1A, B, and C. Codes indicate metastases to:

- M1A Non-regional lymph nodes(s)
- M1B Bone(s)
- M1C Other site(s)

If the value is only one digit, record to the left and leave the right space blank. Refer to the AJCC *Manual for Staging of Cancer*, Sixth Edition for coding rules.

Record '88' if the site/histology does not have a TNM staging scheme. If TNM staging is applicable to this site/histology, you may record X in the cM field if you document the pathologic TNM instead. ACoS facilities must record both the clinical and pathologic stage if available by a physician.

**30980 - cTNM STAGE GROUP**

Field Length: 2

This field identifies the anatomic extent of disease based on the T, N, and M elements known **prior** to the start of any therapy. Code the clinical TNM stage grouping from the cTNM classification in items 30950-30970, using the *AJCC Manual for Staging of Cancer, 6th Edition*. Record '88' if the TNM staging system is not appropriate for this site/histology of cancer.

**Note:** For diagnoses prior to 2004, this field was used to calculate Best Stage Group. It becomes the value in Best Stage Group if the pTNM Stage Group is equal to '88' or '99', or if the pathologic descriptor indicates pre-surgical treatment was administered. After 2004, the CS derived stage group is the Best Stage Group.

Code	Definition	Code	Definition
0	Stage 0	2B	Stage IIB
0A	Stage 0A	2C	Stage IIC
0S	Stage 0is	3	Stage III
1	Stage I	3A	Stage IIIA
1A	Stage IA	3B	Stage IIIB
A1	Stage IA1	3C	Stage IIIC
A2	Stage IA2	4	Stage IV
1B	Stage IB	4A	Stage IVA
B1	Stage IB1	4B	Stage IVB
B2	Stage IB2	4C	Stage IVC
1C	Stage IC	OC	Occult
1S	Stage IS	88	Not applicable
2	Stage II	99	Unknown
2A	Stage IIA		

**30990 - cTNM Descriptor**

Field Length: 2

The prefix and suffix descriptors identify special cases that need separate analysis. The descriptors do not change the stage grouping. Enter any character that applies to this case, or leave blank if none apply.

**Codes:**

- E      Extranodal, lymphomas only
- S      Spleen, lymphomas only
- M      Multiple primary tumors in a single site
- ES     Extranodal and spleen involvement, lymphomas only

**31000 - STAGED BY - CLINICAL**

Field Length: 1

This field identifies the person who clinically staged the case using AJCC TNM.

- 0 Not staged
- 1 Managing Physician
- 2 Pathologist
- 3 Pathologist and managing physician
- 4 Cancer Committee chair, cancer liaison physician, or registry physician advisor
- 5 Cancer registrar
- 6 Cancer registrar and any physician in 1, 2, or 3
- 7 Staging assigned at another facility
- 8 Case is not eligible for staging
- 9 Unknown; not stated in patient record

According to ACoS (from the I&R web site) only codes 1 and 3 meet the criteria for 90% physician staging for the CoC standard.

### **31010 - pT**

Field Length: 3

The T evaluates only the primary tumor. It reflects tumor size and/or extension.

Pathologic classification is based on information obtained before treatment and supplemented by additional evidence from surgery and pathological examination of the resected specimen. It is a combination of all findings. The pathologic stage provides the most precise data to estimate prognosis and calculate end results. Pathologic assessment of the primary tumor requires a resection of the primary tumor or a biopsy adequate to evaluate the highest pT category. The pathologic assessment of the regional lymph nodes requires the removal of enough nodes to confirm the absence of regional lymph node metastasis and evaluate the highest pN category.

Pathologic staging takes precedence over clinical, with the exceptions below.

**EXCEPTIONS:** There are some diseases and sites for which clinical staging takes precedence. Clinical staging takes precedence when the patient has radiation or chemotherapy preoperatively and when the patient does not have cancer-directed surgery. Also, if pTNM results in StgGrp 9 and cTNM StgGrp is known, use the C Stage Group.

**EXAMPLES:** Cervical cancer treated preoperatively with radiation, breast cancer treated preoperatively with chemotherapy and radiation, prostate cancer biopsied and treated with hormones, small cell carcinoma of the lung biopsied and treated with chemotherapy.

If the value is only one digit, record to the left and leave the second space blank. Truncate the least significant subdivision of the category from the right as needed. Choose the lower (less advanced) T category when there is any uncertainty. Refer to the *AJCC Manual for Staging of Cancer*, Sixth Edition for coding rules.

The following general definitions are used throughout the TNM classification.

**TX** Primary tumor cannot be assessed or is unknown.

**T0** No evidence of a primary tumor.

**Tis** Carcinoma in-situ.

**T1, T2, Tc, and T4** describe increasing size and/or local extent of the primary tumor.

Record '888' when the site/histology does not have a TNM staging scheme. Record TX when the pathologic T value cannot be assessed because adequate information for staging is not available. The valid T codes are listed in [item 30950](#).

**31020 - pN**

Field Length: 2

The N classifies only the regional nodes. It describes the absence or presence and the extent of node metastases.

- The complete pathologic assessment of the regional lymph nodes (pN) ideally entails removal of a sufficient number of lymph nodes to evaluate the highest pN category.  
*Exception:* Sentinel node assessment may be appropriate for some sites and is clarified in chapter guidelines for those sites.

The following general definitions are used throughout the TNM classification:

NX - Regional lymph nodes cannot be assessed or status is unknown.

N0 (including N0i-, N0i+, N0mol-, and N0mol+) - Nodes were assessed and there was no evidence of regional lymph node metastasis. There may have been positive findings for isolated tumor cells from special studies. See below.

N1, N2, and N3 - indicate increasing involvement of regional lymph nodes.

- Classify a primary tumor that directly extends into lymph nodes as lymph node metastasis.
- Classify a metastatic nodule as lymph node metastasis when:
  - It is removed from the connective tissue in a lymph drainage area.
  - The nodule is larger than 2-3 millimeters.
  - There is no histologic evidence of residual lymph node.
  - The nodule is grossly recognizable.
 NOTE: Evaluate the nodule in the T category (discontinuous extension) if it is microscopic (up to 2-3 millimeters).
- If pathologic assessment of lymph nodes reveals negative nodes but the number of examined lymph nodes is less than the suggested number for lymph node dissection, classify the N category as pN0.
- Isolated tumor cells (ITC) are single tumor cells or small clusters of cells not more than 0.2 mm in greatest dimension that are usually detected by immunohistochemistry or molecular methods. Cases with ITC in lymph nodes or at distant sites should be classified as N0 (or I-, I+, M-, M+) or M0, respectively. The same applies to cases with findings suggestive of tumor cells or their components by nonmorphologic techniques such as flow cytometry or DNA analysis. These cases should be analyzed separately and have special recording rules in the specific organ site.

If the value is only one digit, record to the left and leave the second space blank. Choose the lower (less advanced) N category when there is any uncertainty. Refer to the AJCC *Manual for Staging of Cancer*, Sixth Edition for coding rules.

Record '88' if the site/histology does not have a TNM staging scheme.

**31030 - pM**

Field Length: 2

M records the presence or absence of distant metastases. Choose the lower (less advanced) M category when there is any uncertainty. The pathologic assessment of metastases may be either clinical or pathologic when the T and/or N categories meet the criteria for pathologic staging (pT, pN, cM, or pM).

The following general definitions are used throughout the TNM classification:

- MX The presence of distant metastasis cannot be assessed or is unknown.
- M0 Pathologic M0 is not a valid concept. The AJCC has determined that staging metastatic disease is clinical unless the presence of metastasis is pathologically confirmed.
- M1 Distant metastases are present.

Some cancers have additional codes for specific sites of metastases. For example, prostate cancer has codes M1A, B, and C. Codes indicate metastases to:

- M1A Non-regional lymph node(s)
- M1B Bone(s)
- M1C Other site(s)

88 Not applicable

Record a single digit in the space to the left and leave the remaining space blank. Refer to the AJCC *Manual for Staging of Cancer*, Sixth Edition for coding rules

Record '88' if the site/histology does not have a TNM staging scheme.

**31040 - pTNM STAGE GROUP**

Field Length: 2

This field identifies the anatomic extent of disease based on the T, N, and M elements known ***following*** the completion of surgical therapy. Code the pathologic TNM stage grouping from the pTNM classification in items 31010-31030, using the AJCC *Manual for Staging of Cancer, 6th Edition*. Record '88' if the site/histology does not have a TNM staging scheme. Choose the lower (less advanced) stage grouping when there is any uncertainty.

**Note:** For diagnoses prior to 2004, this field was used to calculate Best Stage Group. It becomes the Best Stage, unless the value is '88' or '99,' or pre-surgical treatment was administered. After 2004, the CS derived stage group is the Best Stage Group.

Code	Definition	Code	Definition
0	Stage 0	2A	Stage IIA
0A	Stage 0A	2B	Stage IIB
0S	Stage 0is	2C	Stage IIC
1	Stage I	3	Stage III
1A	Stage IA	3A	Stage IIIA
A1	Stage IA1	3B	Stage IIIB
A2	Stage IA2	3C	Stage IIIC
1B	Stage IB	4	Stage IV
B1	Stage IB1	4A	Stage IVA
B2	Stage IB2	4B	Stage IVB
1C	Stage IC	4C	Stage IVC
1S	Stage IS	88	Not applicable
2	Stage II	99	Unknown

**31050 - pTNM Descriptor**

Field Length: 2

The prefix and suffix descriptors identify special cases that need separate analysis. The descriptors do not change the stage grouping. Enter any character that applies to this case; leave blank if none apply.

**Codes:**

- E      Extranodal, lymphomas only
- S      Spleen, lymphomas only
- M      Multiple primary tumors in a single site
- ES     Extranodal and spleen involvement, lymphomas only
- Y      Staged after adjuvant therapy
- MY     Multi synchronous tumors and staged after adjuvant therapy

If the Y or MY descriptions apply, you must record the clinical TNM stage as well as the pathologic stage.

**31060 - STAGED BY - PATHOLOGIC**

Field Length: 1

This field identifies the person who recorded the pathologic AJCC staging elements and the stage group in the patient's medical record.

- 0 Not staged
- 1 Managing Physician
- 2 Pathologist
- 3 Pathologist and managing physician
- 4 Cancer Committee chair, cancer liaison physician, or registry physician advisor
- 5 Cancer registrar
- 6 Cancer registrar and any physician in 1, 2, or 3
- 7 Staging assigned at another facility
- 8 Case is not eligible for staging
- 9 Unknown; not stated in patient record

According to ACoS, on the I&R web site, only codes 1 and 3 meet the criteria for 90% physician staging for the CoC standard.

**31070 - Alternate (PED.) Staging System**

Field Length: 2

Some institutions want to record alternate staging schemes for specified sites of malignancies. These are optional, except for pediatric cases (see below). Some alternate staging systems for specific sites are shown below:

<u>Code</u>	<u>Alternate Staging System</u>	<u>Site/Histology</u>
VA	VA staging scheme	lung - small cell
AW	American/Whitmore	prostate
DM	Dukes (Modified)	colon/rectum
C	Clark's levels	melanoma
JM	Jewett-Marshall	bladder
FI	FIGO	cervix uterus/endometrium ovary
AA	Ann Arbor	lymphoma in adults
RB	Rai Binet	CLL

Pediatric staging is required for pediatric cases. There is no age limit to define pediatric cases -- it is based on the type of tumor. Codes for pediatric staging systems are:

- 00 None
- 01 American Joint Committee on Cancer (AJCC)
- 02 Ann Arbor
- 03 Children's Cancer Group (CCSG)
- 04 Evans
- 05 General Summary
- 06 Intergroup Ewings
- 07 Intergroup Hepatoblastoma
- 08 Intergroup Rhabdomyosarcoma
- 09 International System
- 10 Murphy
- 11 National Cancer Institute (Pediatric oncology)
- 12 National Wilms' Tumor Study
- 13 Pediatric Oncology Group (POG)
- 14 Reese-Ellsworth
- 15 SEER Extent of Disease
- 97 Other
- 98 Not applicable
- 99 Unknown

**31080 - Alternate (PED.) Stage**

Field Length: 3

When an alternate staging system is designated in [Item 31070](#), enter the alternate stage as defined by that staging system in this element. The field can contain up to three characters and should be left-justified. Always use ARABIC numerals instead of ROMAN numerals.

EXAMPLES:

FIGO Stage                    IIB should be coded 2B

DUKE'S Stage                C1 should be coded C1

Pediatric Staging            IIID (for Wilms' Tumors) should be 3D  
                                  IVS (for neuroblastomas) should be 4S

VA Staging                    L = limited; E = extended

Leave blank if not applicable.

## **31090 - MANAGING PHYSICIAN**

Field Length: 7  
(effective 1/1/2007)

This field is provided to record the code number of the physician who is managing this patient's care at your institution.

### **Coding Instructions:**

- Enter the code number assigned to the physician managing this patient for treatment at your institution. Use the physician's Kentucky Medical License Number or codes developed by your institution (for non-KY physicians) to record physicians involved with this case. The web site for the Kentucky Board of Medical Licensure is located at: <http://kbml.ky.gov/>. The link for the online directory is found under Online Searches, called Physician Profile/Verification of Physician License. A lookup for NPI numbers is available at <https://nppes.cms.hhs.gov/NPPES/NPIRegistryHome.do>.
- **Do not update this item.** Once a managing physician has been designated for this patient, this item should not be changed even if a different managing physician is assigned.
- This field may be left blank for cases diagnosed prior to 1/1/2007.

**31130 - PRIMARY SURGEON**

Field Length: 7

The primary surgeon is responsible for the surgical management of the patient's malignancy. Record the code which identifies the surgeon who performed the most definitive surgical procedure. If definitive surgery was not performed, record the code which identifies the surgeon who performed any non-definitive surgical procedure. If no surgery was performed, code '0000000'. If a surgical procedure was performed by someone other than a surgeon (i.e., a radiation oncologist), code '8888888'.

Use the Kentucky Medical License number or your own codes developed for identifying physicians. The web site for the Kentucky Board of Medical Licensure is located at:  
<http://kbml.ky.gov/>. The link for the online directory is found under Online Searches, called Physician Profile/Verification of Physician License.

A lookup for NPI numbers is available at  
<https://nppes.cms.hhs.gov/NPPES/NPIRegistryHome.do>.

Once the registrar has identified the primary surgeon, this code should not be changed, even if the patient begins receiving care from another physician.

**31131 - Radiation Oncologist**

Field Length: 7

This field is provided to record the code number of the physician who performed the most definitive radiation therapy.

**Coding Instructions:**

- Enter the code number assigned to the primary radiation oncologist. Use the physician's Kentucky Medical License Number or codes developed by your institution (for non-KY physicians) to record physicians involved with this case. The web site for the Kentucky Board of Medical Licensure is located at: <http://kbml.ky.gov/>. The link for the online directory is found under Online Searches, called Physician Profile/Verification of Physician License. A lookup for NPI numbers is available at <https://nppes.cms.hhs.gov/NPPES/NPIRegistryHome.do>.
- **Do not update this item.** Once a radiation oncologist has been designated for this patient, this item should not be changed even if the patient receives care from another radiation oncologist.

**31132 - Medical Oncologist**

Field Length: 7

This field is provided to record the code number of the physician who performed the most definitive systemic therapy.

**Coding Instructions:**

- Enter the code number assigned to the primary medical oncologist. Use the physician's Kentucky Medical License Number or codes developed by your institution (for non-KY physicians) to record physicians involved with this case. The web site for the Kentucky Board of Medical Licensure is located at: <http://kbml.ky.gov/>. The link for the online directory is found under Online Searches, called Physician Profile/Verification of Physician License. A lookup for NPI numbers is available at <https://nppes.cms.hhs.gov/NPPES/NPIRegistryHome.do>.
- **Do not update this item.** Once a medical oncologist has been designated for this patient, this item should not be changed even if the patient receives care from another medical oncologist.

**31140 - ABSTRACTED BY**

Field Length: 2

Record the initials or a two-digit code which identifies the person in your facility who abstracted this case.

**31150 - ACoS CODING SYSTEM - ORIGINAL**

Field Length: 1

Record the one-digit code which identifies the coding scheme of the American College of Surgeons used when originally abstracting this case.

**Codes:**

- 0 No Commission on Cancer coding system used
- 1 Pre-1988 (Cancer Program Manual Supplement)
- 2 1988 Data Acquisition Manual
- 3 1989 Data Acquisition Manual Revisions
- 4 1990 Data Acquisition Manual Revisions
- 5 1994 Data Acquisition Manual (Interim/Revised)
- 6 Registry Operations and Data Standards (ROADS)
- 7 1998 ROADS Revisions
- 8 FORDS Manual
- 9 Unknown

This data element was introduced with the ROADS manual and effective January 1, 1996. All cases with accession years prior to 1996 should be coded '9'. All cases accessioned from January 1, 1996 to December 31, 1997 should be coded '6'.

Cases abstracted and entered using the ROADS Manual should be coded '7'. Cases abstracted and entered using the FORDS Manual coding instructions should be coded '8'.

**31160 - ACoS CODING SYSTEM - CURRENT**

Field Length: 1

Record the one-digit code to identify the coding scheme of the American College of Surgeons in which the data are currently stored.

This data element was introduced with the ROADS manual revisions effective January 1, 1998. All previously entered cases have been converted and are currently stored according to the specifications of the FORDS Manual, (Code 8).

Cases diagnosed from January 1, 2003 and after should be coded '8' for FORDS manual.

## **31170 - TYPE OF REPORTING SOURCE**

Field Length: 1

The Type of Reporting Source identifies the source documents used to abstract the case. This is not necessarily the original document that identified the case; rather, it is the source that provided the best information.

### **Codes**

- 1 - Hospital inpatient; Managed health plans with comprehensive, unified medical records  
(new code definition effective with diagnosis on or after 1/1/2006)
- 2 - Radiation Treatment Centers or Medical Oncology Centers (hospital-affiliated or independent)  
(effective with diagnosis on or after 1/1/2006)
- 3 - Laboratory only (hospital-affiliated or independent)
- 4 - Physician's Office/Private Medical Practitioner (LMD)
- 5 - Nursing/Convalescent Home/Hospice
- 6 - Autopsy only
- 7 - Death Certificate only
- 8 - Other hospital outpatient units/surgery centers  
(effective with diagnosis on or after 1/1/2006)

### **Definitions**

**Managed health plan:** HMO or other health plan (e.g. Kaiser, Veterans Administration, military facilities) in which all diagnostic and treatment information is maintained centrally (in a unit record) and is available to the abstractor.

**Physician office:** Examinations, tests and limited surgical procedures may be performed in a physician office. If called a surgery center, but cannot perform surgical procedures under general anesthesia, code as a physician office.

**Serial record:** The office or facility stores information separately for each patient encounter.

**Surgery center:** Surgery centers are equipped and staffed to perform surgical procedures under general anesthesia. Patient does not stay overnight.

**Unit record:** The office or facility stores information for all of a patient's encounters in one record with one record number.

### **Priority Order for Assigning Type of Reporting Source**

When multiple source documents are used to abstract a case, use the following priority order to assign a code for Type of Reporting Source:

**Priority order of codes**

1, 2, 8, 4, 3, 5, 6, 7

**Note:** Beginning with cases diagnosed 1/1/2006, the definitions for this field have been expanded. Codes 2 and 8 were added to identify outpatient sources that were previously grouped under code 1. Laboratory reports now have priority over nursing home reports. The source facilities included in the previous code 1 (hospital inpatient and outpatient) are split between codes 1, 2, and 8. No changes were made to the field for cases already existing in the cancer registry database diagnosed prior to January 1, 2006.

**Code Definitions**

Code	Label	Source Documents	Priority
1	Hospital inpatient: Managed health plans with comprehensive, unified medical records	-Hospital inpatient -Offices/facilities with unit record -HMO physician office or group -HMO affiliated free-standing laboratory, surgery, radiation or oncology clinic Includes outpatient services of HMOs and large multi-specialty physician group practices with unit record.	1
2	Radiation Treatment Centers or Medical Oncology Centers (hospital-affiliated or independent)	-Facilities with serial record (not a unit record) -Radiation treatment centers -Medical oncology centers (hospital affiliated or independent) There were no source documents from code 1.	2
3	Laboratory Only (hospital-affiliated or independent)	-Laboratory with serial record (not a unit record) There were no source documents from codes 1, 2, 8, or 4.	5
4	Physician's Office/Private Medical Practitioner (LMD)	-Physician's office that is NOT an HMO or large multi-specialty physician group practice. There were no source documents from codes 1, 2, or 8.	4
5	Nursing/Convalescent Home/ Hospice	-Nursing or convalescent home or a hospice. There were no source documents from codes 1, 2, 8, 4, or 3.	6

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6	Autopsy Only	-Autopsy The cancer was first diagnosed on autopsy. There are no source documents from codes 1, 2, 8, 4, 3, or 5.	7
7	Death Certificate Only	-Death Certificate Death Certificate is the only source of information; follow-back activities did not identify source documents from codes 1, 2, 8, 4, 3, 5, or 6. If another source document is subsequently identified, the Type of Reporting Source code must be changed to the appropriate code in the range of 1, 2, 8, 4, 3, or 6.	8
8	Other hospital outpatient units/surgery centers	-Other hospital outpatient units/surgery centers. Includes, but not limited to, outpatient surgery and nuclear medicine services. There are no source documents from codes 1 or 2.	3

**31180 - REASON NO SURGERY AT PRIMARY SITE**

Field Length: 1

Using the codes below, record the reason there was no cancer-directed Surgery of the Primary Site as *part of first course treatment*.

- 0    **Surgery performed.** Surgery of the Primary Site is coded 10-90.
- 1    **Surgery not performed** because not part of planned 1<sup>st</sup> course therapy. Assign code 1 when:
  - a. There is no information in the patient's medical record about surgery AND
    - i. It is known that surgery is not usually performed for this type and/or stage of cancer OR
    - ii. There is no reason to suspect that the patient would have had surgery.
    - iii. Reason No Surgery must be coded '1' when the primary site is C42.0, C42.1, C42.3, C42.4, C76.0-C76.8, C80.9 OR when the histology code is one of these: 9750, 9760-9764, 9800-9820, 9826, 9831-9897, 9910-9920, 9931-9964, or 9980-9989.
  - b. If the treatment plan offered multiple treatment options and the patient selected treatment that did not include surgery.
  - c. Patient elects to pursue no treatment following the discussion of surgery treatment. Discussion does not equal a recommendation.
  - d. Only information available is that the patient was referred to a surgeon. Referral does not equal a recommendation.
  - e. Watchful waiting (prostate) is the treatment plan.
- 2    **Surgery not recommended or performed contraindicated due to patient risk factors** (age, comorbid condition, etc).
- 5    **Surgery planned** but patient died prior to treatment.
- 6    **Reason unknown for no surgery.** Surgery would have been the treatment of choice, but no surgery was performed and the reason is not given.
- 7    **Patient or patient's guardian refused surgery.**
- 8    **Surgery recommended, unknown if done.**
- 9    **Unknown if surgery recommended or performed,** diagnosed at autopsy or death certificate only cases.

**31190 - REASON NO THERAPY TYPE: CHEMOTHERAPY**

Field Length: 1

Using the codes below, record the reason there was no chemotherapy administered as part of first course treatment.

- 0     Chemotherapy was not administered because it was not part of the planned first course treatment. Use code 0 when:
  - a. There is no information in the patient's medical record about chemotherapy AND
    - i. It is known that chemotherapy is not usually performed for this type and/or stage of cancer OR
    - ii. There is no reason to suspect that the patient would have had chemotherapy.
  - b. If the treatment plan offered multiple treatment options and the patient selected treatment that did not include chemotherapy.
  - c. Patient elects to pursue no treatment following the discussion of chemotherapy treatment. Discussion does not equal a recommendation.
  - d. Only information available is that the patient was referred to an oncologist. Referral does not equal a recommendation.
  - e. Watchful waiting is the planned course of treatment.
  - f. Patient was diagnosed at autopsy.
- 1     Chemotherapy was administered.
- 2     Chemotherapy was not recommended/administered because it was contraindicated due to other patient risk factors (comorbid conditions, advanced age, etc.).
- 5     Chemotherapy was not administered because the patient died prior to planned or recommended therapy.
- 6     Chemotherapy was not administered; it was recommended by the patient's physician, but was not administered as part of first course treatment. No reason was noted in patient record.
- 7     Chemotherapy was not administered; it was recommended by the patient's physician, but this treatment was refused by the patient, the patient's family member, or the patient's guardian. The refusal was noted in patient record.
- 8     Chemotherapy was recommended, but it is unknown whether it was administered.
- 9     It is unknown if chemotherapy was recommended or administered, or death certificate only cases.

**31200 - REASON NO THERAPY TYPE: RADIATION**

Field Length: 1

Using the codes below, record the reason there was no radiotherapy administered as part of first course treatment.

- 0 Radiation therapy was not administered because it was not part of the planned first course treatment. Use code 0 when:
  - a. There is no information in the patient's medical record about radiation AND
    - i. It is known that radiation is not usually performed for this type and/or stage of cancer OR
    - ii. There is no reason to suspect that the patient would have had radiation.
  - b. If the treatment plan offered multiple treatment options and the patient selected treatment that did not include radiation.
  - c. Patient elects to pursue no treatment following the discussion of radiation treatment. Discussion does not equal a recommendation.
  - d. Only information available is that the patient was referred to a radiation oncologist. Referral does not equal a recommendation.
  - e. Watchful waiting (prostate).
- 1 Radiation therapy was administered.
- 2 Radiation therapy was not recommended/administered because it was contraindicated due to other patient risk factors (comorbid conditions, advanced age, etc.).
- 5 Radiation therapy was not administered because the patient died prior to planned or recommended therapy.
- 6 Radiation therapy was not administered; it was recommended by the patient's physician, but was not administered as part of first course treatment. No reason was noted in patient record.
- 7 Radiation therapy was not administered; it was recommended by the patient's physician, but this treatment was refused by the patient, the patient's family member, or the patient's guardian. The refusal was noted in patient record.
- 8 Radiation therapy was recommended, but it is unknown whether it was administered.
- 9 It is unknown if radiation therapy was recommended or administered. Death certificate and autopsy cases only.

**31210 - REASON NO THERAPY TYPE: HORMONE**

Field Length: 1

Using the codes below, record the reason there was no hormone therapy administered as part of first course treatment.

- 0     Hormone therapy was not administered because it was not part of the planned first course treatment. Use code 0 when:
  - a. There is no information in the patient's medical record about hormone therapy  
AND
    - i. It is known that hormone therapy is not usually performed for this type and/or stage of cancer OR
    - ii. There is no reason to suspect that the patient would have had hormone treatment.
  - b. If the treatment plan offered multiple treatment options and the patient selected treatment that did not include hormone therapy.
  - c. Patient elects to pursue no treatment following the discussion of hormone treatment. Discussion does not equal a recommendation.
  - d. Only information available is that the patient was referred to an oncologist. Referral does not equal a recommendation.
  - e. Watchful waiting is the only planned treatment.
  - f. Patient was diagnosed at autopsy.
- 1     Hormone therapy was administered.
- 2     Hormone therapy was not recommended/administered because it was contraindicated due to other patient risk factors (comorbid conditions, advanced age, etc.).
- 5     Hormone therapy was not administered because the patient died prior to planned or recommended therapy.
- 6     Hormone therapy was not administered; it was recommended by the patient's physician, but was not administered as part of first course treatment. No reason was noted in patient record.
- 7     Hormone therapy was not administered; it was recommended by the patient's physician, but this treatment was refused by the patient, the patient's family member, or the patient's guardian. The refusal was noted in patient record.
- 8     Hormone therapy was recommended, but it is unknown whether it was administered.
- 9     It is unknown if hormone therapy was recommended or administered. Death certificate only cases.

**31220 - REASON NO THERAPY TYPE: IMMUNOTHERAPY**

Field Length: 1

Using the codes below, record the reason there was no immunotherapy administered as part of first course treatment.

- 0 Immunotherapy was not administered because it was not part of the planned first course treatment. Use code 0 when:
  - a. There is no information in the patient's medical record about immunotherapy AND
    - i. It is known that immunotherapy is not usually performed for this type and/or stage of cancer OR
    - ii. There is no reason to suspect that the patient would have had immunotherapy.
  - b. If the treatment plan offered multiple treatment options and the patient selected treatment that did not include immunotherapy.
  - c. Patient elects to pursue no treatment following the discussion of immunotherapy. Discussion does not equal a recommendation.
  - d. Only information available is that the patient was referred to an oncologist. Referral does not equal a recommendation.
  - e. Watchful waiting is the only planned treatment.
  - f. Patient was diagnosed at autopsy.
- 1 Immunotherapy was administered.
- 2 Immunotherapy was not recommended/administered because it was contraindicated due to other patient risk factors (comorbid conditions, advanced age, etc.).
- 5 Immunotherapy was not administered because the patient died prior to planned or recommended therapy.
- 6 Immunotherapy was not administered; it was recommended by the patient's physician, but was not administered as part of first course treatment. No reason was noted in patient record.
- 7 Immunotherapy was not administered; it was recommended by the patient's physician, but this treatment was refused by the patient, the patient's family member, or the patient's guardian. The refusal was noted in patient record.
- 8 Immunotherapy was recommended, but it is unknown whether it was administered.
- 9 It is unknown if immunotherapy was recommended or administered, or death certificate only cases.

### **31230 - REASON NO TRANSPLANT/ENDOCRINE PROCEDURES**

Field Length: 1

Using the codes below, record the reason there was no transplant or endocrine procedures administered as part of first course treatment.

- 0 This therapy type was not administered because it was not part of the planned first course treatment. Use code 0 when:
  - a. There is no information in the patient's medical record about transplants or endocrine surgery AND
    - i. It is known that these procedures are not usually performed for this type and/or stage of cancer OR
    - ii. There is no reason to suspect that the patient would have had these procedures.
  - b. If the treatment plan offered multiple treatment options and the patient selected treatment that did not include transplant or endocrine surgery.
  - c. Patient elects to pursue no treatment following the discussion of transplant or endocrine procedures. Discussion does not equal a recommendation.
  - d. Only information available is that the patient was referred to a transplant or endocrine surgeon. Referral does not equal a recommendation.
  - e. Watchful waiting is the only planned treatment.
  - f. Patient was diagnosed at autopsy.
- 1 This therapy type was administered.
- 2 This therapy type was not recommended/administered because it was contraindicated due to other patient risk factors (comorbid conditions, advanced age, etc.).
- 5 This therapy type was not administered because the patient died prior to planned or recommended therapy.
- 6 This therapy type was not administered; it was recommended by the patient's physician, but was not administered as part of first course treatment. No reason was noted in patient record.
- 7 This therapy type was not administered; it was recommended by the patient's physician, but this treatment was refused by the patient, the patient's family member, or the patient's guardian. The refusal was noted in patient record.
- 8 This therapy type was recommended, but it is unknown whether it was administered.
- 9 It is unknown if this therapy type was recommended or administered. Death certificate only cases.

**31240 - REASON NO THERAPY TYPE: OTHER THERAPY**

Field Length: 1

Using the codes below, record the reason there was no other therapy administered as part of first course treatment.

- 0 Other therapy was not administered because it was not part of the planned first course treatment. Use code 0 when:
  - a. There is no information in the patient's medical record about other therapy AND
    - i. It is known that other therapy is not usually performed for this type and/or stage of cancer OR
    - ii. There is no reason to suspect that the patient would have had other therapy.
  - b. If the treatment plan offered multiple treatment options and the patient selected treatment that did not include these other therapies.
  - c. Patient elects to pursue no treatment following the discussion of other types of treatment. Discussion does not equal a recommendation.
  - d. Only information available is that the patient was referred to an oncologist. Referral does not equal a recommendation.
  - e. Watchful waiting is the only planned treatment.
  - f. Patient was diagnosed at autopsy.
- 1 Other therapy was administered.
- 2 Other therapy was not recommended/administered because it was contraindicated due to other patient risk factors (comorbid conditions, advanced age, etc.).
- 5 Other therapy was not administered because the patient died prior to planned or recommended therapy.
- 6 Other therapy was not administered; it was recommended by the patient's physician, but was not administered as part of first course treatment. No reason was noted in patient record.
- 7 Other therapy was not administered; it was recommended by the patient's physician, but this treatment was refused by the patient, the patient's family member, or the patient's guardian. The refusal was noted in patient record.
- 8 Other therapy was recommended, but it is unknown whether it was administered.
- 9 It is unknown if other therapy was recommended or administered. Death certificate only cases.

**31250 - Systemic Therapy/Surgery Sequence**

Field Length: 1

This field only applies to cases diagnosed on or after January 1, 2006. It records the sequence of systemic therapy and surgical procedures given as part of first course treatment. Systemic therapy includes any chemotherapy, hormone therapy, immunotherapy, transplants or endocrine surgeries. Surgical procedures include any surgery at the primary site, surgery of regional lymph nodes, or surgery at other regional or distant sites. It does not include non-definitive surgeries such as incisional biopsies or bypass surgeries.

Code the administration of systemic therapy in sequence with the **first** surgery performed. The sequence of systemic therapy and surgical procedures given as part of the first course of treatment cannot always be determined using the date on which each modality was started or performed. If the systemic therapy and surgery were administered on the same day, any code 2-9 could be appropriate. If there was no systemic therapy given or no definitive surgery performed, then code '0'.

Code	Label	Definition
0	No systemic therapy and/or surgical procedures	No systemic therapy was given; and/or no surgical procedure of primary site; no scope of regional lymph node surgery; no surgery to other regional site(s), distant site(s), or distant lymph node(s); or no reconstructive surgery was performed. Diagnosed at autopsy.
2	Systemic therapy before surgery	Systemic therapy was given before surgical procedure of primary site; scope of regional lymph node surgery; surgery to other regional site(s), distant site(s), or distant lymph node(s) was performed.
3	Systemic therapy after surgery	Systemic therapy was given after surgical procedure of primary site; scope of regional lymph node surgery; surgery to other regional site(s), distant site(s), or distant lymph node(s) was performed.
4	Systemic therapy both before and after surgery	Systemic therapy was given before and after any surgical procedure of primary site; scope of regional lymph node surgery; surgery to other regional site(s), distant site(s), or distant lymph node(s) was performed.
5	Intraoperative systemic therapy	Intraoperative systemic therapy was given during surgical procedure of primary site; scope of regional lymph node surgery; surgery to other regional site(s), distant site(s), or distant lymph node(s).
6	Intraoperative systemic therapy with other therapy administered before or after surgery	Intraoperative systemic therapy was given during surgical procedure of primary site; scope of regional lymph node surgery; surgery to other regional site(s), distant site(s), or distant lymph node(s) with

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		other systemic therapy administered before or after surgical procedure of primary site; scope of regional lymph node surgery; surgery to other regional site(s), distant site(s), or distant lymph node(s) was performed.
9	Sequence unknown	Sequence of treatments not stated or unknown. Death certificate only case.

**31260 - DATE NO FIRST THERAPY**

Field Length: 8

This field should be filled in when the calculated Treatment Start Date (ACoS) is blank.

If the physician decides not to treat the patient, record the date of this decision as Date No First Therapy. If the patient or guardian refuses treatment, record the date of this decision. For autopsy only cases, record the date of death. If the patient was diagnosed at the reporting facility and no further information is available, record the date the patient was last seen at the reporting facility. Code '99999999' when it is unknown if any treatment was given, or if the date cannot be reasonably estimated.

This means no first course definitive treatment of any type was administered to any site (primary, regional or distant).

**31270 - Treatment Start Date (ACoS)**

Field Length: 8

The treatment start date is a case level data item that is calculated by the computer for all records that are entered as a full Abstract Form. It is the date of the initiation of first course definitive therapy for this cancer. The calculation reviews all treatment types except N, including surgeries at regional and distant sites, to determine the earliest start date. **If there was no definitive first course therapy recorded, this field will be blank.** If the Treatment Start Date = <blank>, then the Date of No First Therapy **must** be filled in.

### **31280 - First Treatment Composite Code**

Field Length: 2

The treatment composite code is a case level data item that will allow you to select and analyze groups of patients based on the therapy they received.

This code will be calculated from the therapy records marked First Course that are stored for the case, and the codes will be defined as they are for the Therapy Report. Surgeries at regional and distant sites **will not be considered** surgical treatment for this calculation.

#### Code Therapy Composite

- 00 No Definitive Therapy or Surgery at Regional and/or Distant Sites only
- 01 Surgery at Primary Site Only
- 02 Chemotherapy Only
- 03 Surgery at Primary Site/Chemotherapy
- 04 Radiation Therapy Only
- 05 Surgery at Primary Site/Radiation Therapy
- 06 Chemotherapy/Radiation Therapy
- 07 Surgery at Primary Site/Chemo/Radiation Therapy
- 08 Other Therapy Only
- 09 Surgery at Primary Site/Other Therapy
- 10 Chemotherapy/Other Therapy
- 11 Surgery at Primary Site/Chemo/Other Therapy
- 12 Radiation/Other Therapy
- 13 Surgery at Primary Site/Radiation/Other Therapy
- 14 Chemo/Radiation/Other Therapy
- 15 Surgery at Primary Site/Chemo/Radiation/Other Therapy
- 64 Unknown if or what therapy received.

**31290 - All Treatment Composite Code**

Field Length: 2

The treatment composite code is a case level data item that will allow you to select and analyze groups of patients based on the therapy they received.

This code will be calculated from the all therapy records (**First and Subsequent Course**) that are stored for the case, and the codes will be defined as they are for the Therapy Report. Surgeries at regional and distant sites **will not be considered** surgical treatment for this calculation.

<u>Code</u>	<u>Therapy Composite</u>
00	No Definitive Therapy or Surgery at Regional and/or Distant Sites Only
01	Surgery at Primary Site Only
02	Chemotherapy Only
03	Surgery at Primary Site/Chemotherapy
04	Radiation Therapy Only
05	Surgery at Primary Site/Radiation Therapy
06	Chemotherapy/Radiation Therapy
07	Surgery at Primary Site/Chemo/Radiation Therapy
08	Other Therapy Only
09	Surgery at Primary Site/Other Therapy
10	Chemotherapy/Other Therapy
11	Surgery at Primary Site/Chemo/Other Therapy
12	Radiation/Other Therapy
13	Surgery/Radiation/Other Therapy
14	Chemo/Radiation/Other Therapy
15	Surgery at Primary Site/Chemo/Radiation/Other Therapy
64	Unknown if or what therapy received.

**31300 - QA Review Status**

Field Length: 1

Record the one digit code for the type of coding review performed on this abstract.

**Codes**

- 1 Physician reviewed abstract
- 2 Registrar reviewed abstract
- 3 User defined
- 4 User defined
- 5 User defined
- 6 User defined

**31310 - Central Review Status**

Field Length: 1

This field is reserved for KCR use only. It is used to monitor the number and type of reviews performed by KCR staff. Record the one digit code for the type of coding review performed on this abstract.

Codes

- 1 Complete review of abstract
- 2 Selected fields reviewed
- 3 Case selected for reabstracting audit
- 4 Both complete review and selected for audit
- 5 Both selected fields reviewed and selected for audit
- 6 Selected and reviewed for special study
- 7 Selected for a special study and any other type of review

**31320 - Vendor**

Field Length: 10

This field records the name of the vendor which programmed the software used by the registry. It may be abbreviated as necessary and may include the software version number where available. The code is self-assigned by the vendor.

This field does not appear in the abstract and is not available for data analysis, but is included in NAACCR format export files. It will be automatically populated in records stored and exported by CPDMS.net.

**31340 - Census Tract 1970/80/90**

Field Length: 6

For cases diagnosed prior to 1998, the census tract 1970/80/90 code identifies the patient's usual residence when the tumor was diagnosed. The central registry calculates this code from the patient's address at diagnosis. This field is available only in the KCR central registry database and is considered a confidential field.

A census tract is a small statistical subdivision of a county. Census tract codes originate from the U.S. Census Bureau, and are constructed using the patient's address. Codes are available from state health departments or the U.S. Census Bureau. Census tracts change as the population changes.

To interpret census tract, assume that the decimal point is between the fourth and fifth positions of the field. Add zeros to fill all six positions.

EXAMPLE: Census tract 409.6 would be coded 040960, and census tract 516.21 would be coded 051621.

**Special codes:**

000000	Area is not census tracted
999999	Area is census tracted, but census tract is not available

### **31350 - Census Tract Coding System**

Field Length: 1

A census tract is a small statistical subdivision of a county with (generally) between 2,500 and 8,000 residents. The boundaries of census tracts are established cooperatively by local committees and the Census Bureau. An attempt is made to keep the same boundaries from census to census so that historical comparability will be maintained. This goal is not always achieved; old tracts may be subdivided due to population growth, disappear entirely, or have their boundaries changed. The census tract definition used to code the case's census tract field must be recorded so that data are correctly grouped and analyzed.

#### **Codes**

- 0 = Not tracted
- 1 = 1970 Census Tract Definition
- 2 = 1980 Census Tract Defintion
- 3 = 1990 Census Tract Definition (1988 + diagnoses)
- 4 = 2000 Census Tract Definitions (2000 + diagnoses)

**31370 - Census Tract 2000**

Field Length: 6

This field records the census tract of a patient's residence at the time of diagnosis, using codes from the Year 2000 Census conducted by the U.S. Census Bureau. The central registry calculates this code from the patient's address at diagnosis using geocoding software. This field is available only in the KCR central registry database and is considered a confidential field.

Census tract codes allow central registries to calculate incidence rates for geographical areas having population estimates. The Census Bureau provides population data for census tracts. Those rates can be used for general surveillance or special geographical and socioeconomic analysis.

**Codes**

000100-999998	Census tract codes
000000	Area not census tracted
999999	Area census tracted, but census tract not available
blank	Census tract 2000 not coded

### **31380 - Census Tract Certainty 2000**

Field Length: 1

This code indicates the basis of assignment of census tract for an individual record. It is helpful in identifying cases tracted from incomplete information or P.O. Boxes. This information is provided by the geocoding vendor service used by the central registry. Codes are hierarchical, with lower numbers having priority.

#### **Codes**

- |       |  |
|-------|--|
| 1     | Census tract based on complete and valid street address of residence   |
| 2     | Census tract based on residence ZIP + 4  |
| 3     | Census tract based on residence ZIP + 2  |
| 4     | Census tract based on residence ZIP code only  |
| 5     | Census tract based on ZIP code of P.O. Box   |
| 6     | Census tract based on residence city where city has only one census tract, or based on residence ZIP code where ZIP code has only one census tract |
| 9     | Unable to assign census tract or bloc numbering based on available information   |
| blank | Not applicable (e.g., census coding not attempted)   |

## 31390 - Latitude

Field Length: 10

Cancer registry spatial data for a case record represents the point location of the individual's residence on the Earth's surface, expressed as a coordinate pair of latitude and longitude values. These values, which are provided by a geocoding vendor, may be determined by any one of several methods: geocoding, address matching, GPS readings, and interpolation from paper or electronic maps. This field is available only in the KCR central registry database and is considered a confidential field.

### Codes

Latitude and longitude data shall always be stored and exchanged as numeric values. Latitude north of the equator is positive. Longitude west of 0 degrees (the Prime Meridian) and east of 180 (approximately the International Date Line) is negative. This applies to the entirety of North America with the exception of the tip of the Aleutian Islands in Alaska.

Latitude is a 10-byte numeric field, right justified. This coordinate may be carried out to 6 decimal places with an explicit decimal point. It has the following format: x12.345678, where 'x' is reserved for a negative sign of the coordinate represents a location south of the equator.

Spatial data are exchanged in "unprojected" latitude and longitude coordinates. The data units will be in decimal degrees (not in degrees, minutes, seconds).

Correct: Latitude = 41.890833

Incorrect: Latitude = 41 deg 53' 27"

### **31400 - Longitude**

Field Length: 11

Cancer registry spatial data for a case record represents the point location of the individual's residence on the Earth's surface, expressed as a coordinate pair of latitude and longitude values. These values, which are provided by a geocoding vendor, may be determined by any one of several methods: geocoding, address matching, GPS readings, and interpolation from paper or electronic maps. This field is available only in the KCR central registry database and is considered a confidential field.

#### **Codes**

Latitude and longitude data shall always be stored and exchanged as numeric values. Latitude north of the equator is positive. Longitude west of 0 degrees (the Prime Meridian) and east of 180 (approximately the International Date Line) is negative. This applies to the entirety of North America with the exception of the tip of the Aleutian Islands in Alaska.

Longitude is an 11-byte numeric field, right justified. This coordinate may be carried out to 6 decimal places with an explicit decimal point. It has the following format: x123.456789, where 'x' is reserved for a negative sign of the coordinate represents a location west of 0 degrees and east of 180 degrees.

Spatial data are exchanged in "unprojected" latitude and longitude coordinates. The data units will be in decimal degrees (not in degrees, minutes, seconds).

Correct: Longitude = -123.128943

Incorrect: Longitude = -123 deg 7' 44"

**31410 - DATE CASE COMPLETED**

Field Length: 11

This item is a calculated field which indicates the date on which the case was initially saved without errors.

**31420 - DATE CASE LAST UPDATED**

Field Length: 11

This computer generated field records the date the case was most recently updated.

**31450 - Area Development District**

Field Length: 2

Area Development Districts are multi-county regions of Kentucky, coded as shown below. These are used to calculate regional incidence rates which are more stable than county level rates. This data item is calculated based on the county code; it is not shown on the data entry screen, but is available for data analysis. See also [Appendix D](#) for a list of counties and the Area Development Districts in which they are located.

**Kentucky's Area Development Districts (ADDs):**

## (01) Purchase District:

Ballard	007
McCracken	145
Carlisle	039
Hickman	105
Fulton	075
Graves	083
Calloway	035
Marshall	157

## (02) Pennyroyal District:

Livingston	139
Crittenden	055
Lyon	143
Caldwell	033
Hopkins	107
Muhlenberg	177
Trigg	221
Christian	047
Todd	219

## (03) Green River District:

Union	225
Webster	233
Henderson	101
McLean	149
Ohio	183
Daviess	059
Hancock	091

## (04) Barren River District:

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Butler	031
Edmonson	061
Hart	099
Warren	227
Logan	141
Barren	009
Metcalfe	169
Simpson	213
Allen	003
Monroe	171

### (05) Lincoln Trail District:

Breckinridge	027
Meade	163
Grayson	085
Hardin	093
Larue	123
Marion	155
Nelson	179
Washington	229

### (06) KIPDA District:

Bullitt	029
Jefferson	111
Oldham	185
Trimble	223
Henry	103
Shelby	211
Spencer	215

### (07) Northern Kentucky District:

Carroll	041
Owen	187
Grant	081
Pendleton	191
Gallatin	077
Boone	015
Kenton	117
Campbell	037

### (08) Buffalo Trace District:

Bracken	023
Robertson	201

Fleming	069
Mason	161
Lewis	135

## (09) Gateway District:

Montgomery	173
Menifee	165
Bath	011
Rowan	205
Morgan	175

## (10) FIVCO District:

Carter	043
Greenup	089
Boyd	019
Elliott	063
Lawrence	127

## (11) Big Sand District:

Magoffin	153
Johnson	115
Floyd	071
Martin	159
Pike	195

## (12) Kentucky River District:

Lee	129
Wolfe	237
Owsley	189
Breathitt	025
Perry	193
Knott	119
Letcher	133
Leslie	131

## (13) Cumberland Valley District:

Rockcastle	203
Jackson	109
Laurel	125
Whitley	235
Knox	121
Bell	013
Clay	051

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(14) Lake Cumberland District:

Green	087
Taylor	217
Adair	001
Casey	045
Cumberland	057
Clinton	053
Russell	207
Wayne	231
Pulaski	199
McCreary	147

(15) Bluegrass District:

Harrison	097
Scott	209
Franklin	073
Woodford	239
Anderson	005
Mercer	167
Boyle	021
Lincoln	137
Garrard	079
Madison	151
Jessamine	113
Fayette	067
Bourbon	017
Nicholas	181
Clark	049
Estill	065
Powell	197

### **31460 - Appalachia Designation**

Field Size: 1

There are 52 counties in Kentucky that are designated as part of Appalachia. They are:

Adair  
Bath  
Bell  
Boyd  
Breathitt  
Carter  
Casey  
Clark  
Clay  
Clinton  
Cumberland  
Elliott  
Estill  
Fleming  
Floyd  
Garrard  
Green  
Greenup  
Harlan  
Jackson  
Johnson  
Knott  
Knox  
Laurel  
Lawrence  
Lee  
Leslie  
Letcher  
Lewis  
Lincoln  
Madison  
Magoffin  
Martin  
McCreary  
Menifee  
Metcalfe  
Monroe  
Montgomery  
Morgan

Nicholas  
Owsley  
Perry  
Pike  
Powell  
Pulaski  
Robertson  
Rockcastle  
Rowan  
Russell  
Wayne  
Whitley  
Wolfe

This is a calculated field which is based on the patient's county of residence at the time of diagnosis. It allows for analysis of study groups based on Appalachian designation. This field is not shown on the data entry screen; however, it is available for data analysis.

### **Codes**

- 0 = non-KY county
- 1 = non Appalachian county
- 2 = Appalachian county

**31470 - Beale Code**

Field Length: 1

This rural-urban continuum code classifies all U.S. counties by the degree of urbanization and adjacency to a metropolitan area. This code is used in determining eligibility for several Federal programs, and allows researchers to break county-level data into finer residential groups than the standard metro/non-metro.

These codes are based on the June 1993 definition of metropolitan and non-metropolitan counties as determined by the Office of Management and Budget (OMB).

Note: Adjacent counties must not only be physically adjacent to a metropolitan area, but have at least 2 percent of the employed labor force in the non-metro county commuting to central metro counties.

For more information about the rural-urban continuum codes contact:  
Calvin Beale (202-694-5416).

**\*BEALE CODE**

- 0 Central to metro area, 1 million or more
- 1 Fringe to metro area, 1 million or more
- 2 Metro county, 250,000 to 1 million
- 3 Metro county, less than 250,000
- 4 Urban Pop, 20,000 or more, adjacent to metro
- 5 Urban Pop, 20,000 or more, not adjacent to metro
- 6 Urban Pop, 2,500 - 19,999 adjacent to m metro
- 7 Urban Pop, 2,500 - 19,999, not adjacent to metro
- 8 Rural, adjacent to metro area
- 9 Rural, no adjacent to metro area
- 1 No Beale Code

This code is calculated from the patient's county of residence at the time of diagnosis. It is not shown on the data entry screen; however, it is available for data analysis.

**31510 - Best Stage Group**

Field Length: 2

This is a field calculated by the computer. It does not appear on the Abstract Form. However, it is available for analysis and reporting purposes. It is calculated from the CS derived stage or the pathologic and clinical TNM Stage Groups recorded for this case. For cases diagnosed after 1/1/2004, the Best Stage Group is the CS derived stage group. For cases diagnosed prior to 1/1/2004, the value in this field is equal to the pTNM Stage Group, unless that value is '88' or '99' or there was pre-operative treatment (p Descriptor is 'Y'). Then it is equal to the value in the cTNM Stage Group.

**31520 - SEER SITE**

Field Length: 5

This field is calculated by the computer. It is based on ICD-O-3 topography and histology codes and is used by SEER to ensure that site/type definitions in the SEER Cancer Statistics Review are consistent over time .

### **31530 - Source Status**

Field Length: 1

This field identifies the source of all facilities that submitted the case to the central registry. It is automatically calculated at the central registry and does not appear in the patient abstract. It is available for analysis by KCR to identify cases submitted by non-Kentucky facilities.

Source Status is often used to identify cases which cannot be released by KCR to third parties, due to the constraints of data exchange agreements.

#### **Codes**

- 1 Kentucky only
- 2 Out of state only
- 3 Both Kentucky and out of state

**31540 - 31630 - Comorbidities and Complications 1-10**

Field Length: 5 (x10)

Record the patient's preexisting medical conditions, factors influencing health status, and/or complications during the patient's hospital stay for the treatment of this cancer using ICD-9-CM codes. Both are considered secondary diagnoses.

**Instructions for Coding**

- Secondary diagnoses and complications must be reported for patients that have inpatient hospitalizations at your facility.
- Secondary diagnoses and complications should be reported for patients receiving outpatient care or treated in oncology clinics at your facility when available.
- Consult the patient record for the discharge abstract. Secondary diagnoses are found under secondary diagnoses on the discharge abstract. Information from the billing department at your facility may be consulted when a discharge abstract is not available.
- Code the secondary diagnoses in the sequence in which they appear on the discharge abstract or billing list..
- Report the secondary diagnoses for this cancer using the following priority rules:
  - Surgically treated patients:
    - a) following the most definitive surgery of the primary site
    - b) following other non-primary site surgeries
  - Non-surgically treated patients:  
following the first treatment encounter/episode
  - In cases of non-treatment:  
following the last diagnostic/evaluative encounter
- If the data item [Readmission To The Same Hospital Within 30 Days of Surgical Discharge](#) is coded 1, 2, or 3, then use available *Comorbidities and Complications* data items to record E codes appearing on the "readmission" discharge abstract.
- Comorbid conditions are coded without recording the decimal point and adding trailing 0s to the code value. Thus, 496 (COPD) would be coded as 49600.
- **Do not** record any neoplasms (ICD-9-CM codes 140-239.9) listed as secondary diagnoses for this data item
- **Do not** record other causes of injury and poisoning (ICD-9-CM codes E800-E869.9, E880-E929.9, or E950-E999).
- **Do not** record factors influencing health status and contact with health services which have these codes: V01-V07.1, V07.4-V09.91, V16-V21.9, V23.2-V25.3, V25.5-V43.89, V46-V50.4, V50.8-V83.89.
- If no comorbid conditions or complications were documented, then code 00000 in this data item, and leave the remaining "Comorbidities and Complications" data items blank.
- If fewer than 6 secondary diagnoses are listed, then code the diagnoses listed, and leave the remaining "Comorbidities and Complications" data items blank.

- Allowable Values are:  
00000, 00100-13980, 24000-99990,  
E8700-E8799, E9300-E9499  
V0720-V0739, V1000-V1590,  
V2220-V2310, V2540,  
V4400-V4589, V5041-V5049

**31640 - ICD Revision Number for Comorbidities and Complications**

Field Length: 1

This is a computer generated field based on the Co-morbidities and Complications codes.

0 - No secondary diagnoses reported (Co-morbidities coded 00000)

1 - ICD-10 codes used in co-morbidities (for future use)

9 - ICD-9 codes used in co-morbidities

(all cases with co-morbidities >00000 will be coded 9 automatically)

**31650 - Institution Referred From**

Field Length: 10

Record the code for the referring hospital where the case was diagnosed or the patient received any therapy for this primary.

For facilities with 6-digit ID numbers that were assigned by the ACoS, CoC before January 1, 2001, use the hospital ID number assigned by the Cancer Department, preceded by 0000. For facilities with 8-digit ID numbers, assigned by CoC after January 1, 2001, use the 8-digit code preceded by two zeros.

EXAMPLE: General Hospital, Anytown, Kentucky, has ID number 510999, would be recorded as 0000510999.

Refer to the list of Kentucky healthcare facility ID numbers in [Appendix F](#). A list of hospital code numbers for other states may be obtained from the CoC web site at: <http://www.facs.org/>.

When there is no referring hospital, this item should be coded with ten zeros. If the patient was referred by an unknown facility, code the field with 0099999999.

If the patient was hospitalized for the malignancy in more than one hospital, record the code for the most recent hospitalization before this admission.

**31660 - Institution Referred To**

Field Length: 10

Record the code for the hospital where the patient is referred for definitive treatment following discharge.

For facilities with 6-digit ID numbers that were assigned by the ACoS, CoC before January 1, 2001, use the hospital ID number assigned by the Cancer Department, preceded by 0000. For facilities with 8-digit ID numbers, assigned by CoC after January 1, 2001, use the 8-digit code preceded by two zeros.

EXAMPLE: General Hospital, Anytown, Kentucky, has ID number 510999, would be recorded as 0000510999.

Refer to the list of Kentucky healthcare facility ID numbers in [Appendix F](#). A list of hospital code numbers for other states may be obtained from the CoC web site at: <http://www.facs.org/>.

If there is no referring hospital, code with 10 zeros. If the patient was referred to an unknown facility, code the field with 0099999999.

If the patient was referred to more than one hospital for definitive treatment, record the first hospital to which the patient was referred.

**31670 - Palliative Care (formerly Palliative Procedure)**

Field Length: 1

- Record the type of palliative care provided. Palliative care is performed to relieve symptoms and may include surgery, radiation, systemic therapy or other pain management therapy.
  - Palliative procedures are not used to diagnose or stage the primary tumor.
  - Palliative surgical procedures, radiation therapy, and systemic therapy that are part of first course therapy, which also remove or modify primary or secondary malignant tissue, are **coded here and in the respective therapy fields as well.**
- 0 No palliative care provided. Diagnosed at autopsy only.
- 1 Surgery (which may involve a bypass procedure) to alleviate symptoms, but no attempt to diagnose, stage, or treat the primary tumor is made.
- 2 Radiation therapy to alleviate symptoms, but no attempt to diagnose, stage, or treat the primary tumor is made.
- 3 Chemotherapy, hormone therapy, or other systemic drugs to alleviate symptoms, but no attempt to diagnose, stage, or treat the primary tumor is made.
- 4 Patient received or was referred for pain management therapy with no other palliative care.
- 5 Any combination of codes 1, 2, and/or 3 without code 4.
- 6 Any combination of codes 1, 2, and/or 3 with code 4.
- 7 Palliative care was performed or referred, but no information on the type of procedure is available in patient record. Palliative care was provided that does not fit the descriptions in codes 1-6.
- 9 It is unknown if palliative care was performed or referred; not stated in patient record.

**31680 - Palliative Procedure At This Facility**

Field Length: 1

- Record the type of palliative procedure performed at this facility.
  - This item can be entered or updated at any time following the date of diagnosis.
  - Palliative procedures are not used to diagnose or stage the primary tumor.
  - Palliative surgical procedures, radiation therapy, and systemic therapy that are part of first course therapy are coded in their respective fields.
- 0 No palliative care provided. Diagnosed at autopsy.  
1 Surgery (which may involve a bypass procedure) to alleviate symptoms, but no attempt to diagnose, stage, or treat the primary tumor is made.  
2 Radiation therapy to alleviate symptoms, but no attempt to diagnose, stage, or treat the primary tumor is made.  
3 Chemotherapy, hormone therapy, or other systemic drugs to alleviate symptoms, but no attempt to diagnose, stage, or treat the primary tumor is made.  
4 Patient received or was referred for pain management therapy with no other palliative care.  
5 Any combination of codes 1, 2, and/or 3 without code 4.  
6 Any combination of codes 1, 2, and/or 3 with code 4.  
7 Palliative care was performed or referred, but no information on the type of procedure is available in patient record. Palliative care was provided that does not fit the descriptions in codes 1-6.  
9 It is unknown if palliative care was performed or referred; not stated in patient record.

### **31690 - Date of Surgical Discharge**

Field Length: 8

Record the date the patient was discharged following primary site surgery. The date corresponds to the event recorded in *Surgical Procedure of Primary Site* and *Date of Most Definitive Surgical Resection*.

- If the patient died following the event recorded in *Surgical Procedure of Primary Site*, but before being discharged from the treating facility, then the *Date of Surgical Discharge* is the same as the date recorded in the data item *Date of Last Contact or Death*.
- If the patient received out-patient surgery, then the date of surgical discharge is the same as the date recorded in the data item *Date of Most Definitive Surgical Resection of the Primary Site*.

<u>Code</u>	<u>Definition</u>
MMDDCCYY	The date of surgical discharge is the month, day, and year that the patient was discharged from the hospital following surgical treatment. The first two digits are the month, the third and fourth digits are the day, and the last four digits are the year.
<blank>	When no surgical treatment of the primary site was performed. Diagnosed at autopsy.
99999999	When it is unknown whether surgical treatment was performed, the date is unknown, or the case was identified by death certificate only.

**31700 - Readmission to the Same Hospital Within 30 Days of Surgical Discharge**

Field Length: 1

Record readmission to the same hospital for the same illness within 30 days of discharge following hospitalization for surgical resection of the primary site.

- Consult patient record or information from the billing department to determine if a readmission to the same hospital occurred within 30 days of the date recorded in the item *Date of Surgical Discharge*.
  - Only record a readmission related to the treatment of this cancer.
  - Review the treatment plan to determine whether the readmission was planned.
  - If there was an unplanned admission following surgical discharge, check for an ICD-9-CM 'E' code, and record it in the co-morbidity fields if space permits.
- 0      No surgical procedure of the primary site was performed, or the patient was not readmitted to the same hospital within 30 days of discharge.  
1      A patient was surgically treated and was readmitted to the same hospital within 30 days of being discharged. This readmission was unplanned.  
2      A patient was surgically treated and was then readmitted to the same hospital within 30 days of being discharged. This readmission was planned (chemotherapy port insertion, revision of colostomy, etc.)  
3      A patient was surgically treated and, within 30 days of being discharged, the patient had both a planned and an unplanned readmission to the same hospital.  
9      It is unknown whether surgery of the primary site was recommended or performed. It is unknown whether the patient was readmitted to the same hospital within 30 days of discharge. Death certificate only.

**31710 - CASE TYPE ORIGINAL**

Field Length: 1

This field is automatically filled in by the computer. It indicates cases which were originally abstracted as case type 'S' (short forms). The use of short forms was discontinued by KCR and all existing short forms were converted to regular abstracts (case type 'A'). These converted cases have certain limitations regarding editing follow-up or adding therapy. Contact KCR technical support staff before attempting to edit cases in which case type original is S.

**31720 - CLASS HOSPITAL ID**

Field Length: 11

This calculated field displays the facility ID number of the hospital that owns the case. For a multi-facility database, this is the hospital with the highest class of case.

**31725 - Archive FIN**

Field Length: 10

This field identifies the CoC Facility Identification Number (FIN) of the facility at the time it originally accessioned the case.

When a CoC approved facility merges with another facility or joins a network, its unique FIN may change. Archive FIN preserves the identity of the facility at the time the case was initially accessioned so that records resubmitted subsequent to such a reorganization can be recognized as belonging to the same facility.

Archive FIN is automatically coded by CPDMS.net. This item never changes and must be included as part of the patient record when data are submitted to the NCDB. For facilities that have not merged, Archive FIN and FIN are the same.

**31730 - LAST MODIFICATION BY**

Field Length: 8

This is a calculated field which records the user name of the last individual to modify case data. It is updated each time the record is edited.

**31740 - LAST MODIFICATION TIME**

Field Length: 19

This field automatically records the date and time that case data was last modified.

**31750 - DATE OF LAST CONTACT OR DEATH**

Field Length: 8

Enter the month, day, and year of the last patient contact recorded at the time of abstraction. If the patient has died, the date of death should be recorded here and must be the last date of last contact recorded for this patient.

**31760 - SURVIVAL STATUS**

Field Length: 1

Enter the one digit code which describes the patient and tumor status at last contact.

- 1 - Alive, no evidence of this tumor present
- 2 - Alive, this tumor present
- 3 - Alive, presence of this tumor unknown
- 4 - Dead, cause unrelated to this tumor - including those dead due to another cancer
- 5 - Dead, due to this tumor
- 6 - Dead from complications related to this tumor
- 9 - Dead, cause unknown

If a patient is recorded as dead (codes 4-9), then none of the seven "Reason No Therapy" fields can be coded 8. Review and update this code, if applicable.

**31770 - CANCER STATUS**

Field Length: 1

- 1 = No evidence of tumor
- 2 = Tumor present
- 9 = Unknown if cancer present or not

Code this field as of the last time the patient's vital status and disease status is known. If the patient dies due to an unknown cause, code this field as of the last known status for this disease.

**31780 - Length of Survival**

Field Size: 4

This is a field calculated by the computer. It does not appear on the Abstract Form. However, it is available for analysis and reporting purposes. It is calculated as the interval of time (in months) from the date of diagnosis to the date of last contact. This calculation is used in survival analyses.

**31790 - TYPE OF FIRST RECURRENCE**

Field Length: 2

Record the "Type of First Recurrence." The term "recurrence" means the return or reappearance of the cancer after a disease-free intermission or remission.

**Codes:**

00 None, disease-free

  04 In-situ recurrence of an invasive lesion

  06 In-situ recurrence following diagnosis of an in-situ lesion of the same site

10 Local recurrence

  13 Local recurrence of an invasive tumor

  14 Trocar site

  15 Combination of 13 and 14

  16 Local recurrence following diagnosis of an in-situ tumor

  17 Combination of 16 with any code 10-15

20 Regional, NOS

  21 Regional tissue

  22 Regional lymph nodes

  25 Combination of 21 and 22

  26 Regional recurrence following diagnosis of an in-situ tumor

  27 Combination of 26 with 21, 22 and/or 25

30 Any combination of any 10-15, and 20-25

  36 Any combination of recurrence following an in-situ tumor

40 Distant

  46 Distant recurrence following diagnosis of an in-situ tumor

  51 Distant recurrence of an invasive tumor in the peritoneum only. Peritoneum includes peritoneal surfaces of all structures within the abdominal cavity and/or positive ascitic fluid.

  52 Distant recurrence of an invasive tumor in the lung only. Lung includes the visceral pleura.

  53 Distant recurrence of an invasive tumor in the pleura only. Pleura includes the pleural surface of all structures within the thoracic cavity and/or positive pleural fluid.

  54 Distant recurrence of an invasive tumor in the liver only.

  55 Distant recurrence of an invasive tumor in bone only. This includes bones other than the primary site.

  56 Distant recurrence of an invasive tumor in the CNS only. This includes the brain and spinal cord, but **not** the external eye.

- 57 Distant recurrence of an invasive tumor in the skin only. This includes skin other than the primary site.
  - 58 Distant recurrence of an invasive tumor in lymph node only. Refer to the staging scheme for a description of lymph nodes that are distant for a particular site.
  - 59 Distant systemic recurrence of an invasive tumor only. This includes leukemia, bone marrow metastasis, carcinomatosis, generalized disease.
  - 60 Distant recurrence of an invasive tumor in a single distant site (51-58) **and** local, trocar and/or regional recurrence (10-15, 20-25, or 30).
  - 62 Distant recurrence of an invasive tumor in multiple sites (recurrences that can be coded to more than one category 51-59).
- 70 Never disease-free
- 88 Recurred, site unknown
- 98 Flag indicating invasive recurrence after in-situ case (see box below)
- 99 Unknown if recurred

**Instructions to CPDMS.net users for abstracting an invasive recurrence that occurs for a previously diagnosed in-situ cancer:**

1. When you become aware of the recurrence, update the Type of Recurrence field. If the behavior code on the case is 2 (in-situ), the only valid recurrence values are 06, 16, 17, 26, 27, 36, or 46. When you enter one of these values, a warning message pops up.

**WARNING:** You have indicated an invasive recurrence on a case originally diagnosed as in-situ. This **MUST** be abstracted as a new primary with Behavior code 3 and Type of Recurrence = 98.

2. Press any key to remove the warning message.
3. Finish updating the in-situ case.
4. Then abstract the recurrence as a new invasive case diagnosed when the invasive recurrence was confirmed. Be sure to put 98 in the Type of Recurrence field on the invasive case in order to exclude this case from being reported to ACoS.

**31800 - FIRST DISEASE FREE START DATE**

Field Length: 8

Enter the month, day, and year on which the patient was first considered disease-free. Use all information available in the chart when making an evaluation. If it appears that the patient is disease-free, but no exact date is known, make an estimate.

The definition of disease-free status is related to the site of the cancer being studied. With solid tumors, the patient is considered disease-free when there is no reported clinical evidence of any residual tumor (i.e., the pathology report states that the margins are clear) and there is no evidence of cancer in any lymph nodes or metastatic sites. With leukemias, lymphomas, hematopoietic diseases, etc., complete remission is considered a disease-free status. When recording this information for the latter kinds of cases, enter a date only if the record indicates "remission" or "complete remission", leave blank if the record says only "partial remission" or "stable".

**31810 - DATE OF FIRST RECURRENCE**

Field Length: 8

Enter the month, day, and year of first recurrence since the patient was reported to be disease-free in [Item 31800](#). If a recurrence is evident from the medical chart, but the date of recurrence is not known you must estimate the recurrence date.

If the patient has never been disease-free, or is still in a disease-free state, leave blank.

**31820-31860 - SITE OF FIRST RECURRENCE**

Field Length: 2 (x5)

Use the General Sites Dictionary in [Appendix E](#) and code up to five sites of first recurrence. If not applicable, leave blank.

Precede any single digit codes with a zero.

This field cannot be blank if you put in a recurrence date; code 99 if unknown site.

**31870 - First disease free interval**

Field Length: 4

This is a field calculated by the computer. It does not appear on the Abstract Form. However, it is available for analysis and reporting purposes. It is calculated as the interval of time (in months) from the date disease free to the date of first recurrence. This field pertains to the first disease free interval only.

**31880 - FOLLOWING REGISTRY**

Field Length: 10

Record the facility identification number of the registry responsible for following the patient.

This data item is useful when the same patient is recorded in multiple registries.

**Instructions for Coding**

- For facilities with six-digit FINs that were assigned by the CoC before January 1, 2001, the coded FIN will consist of four leading zeros followed by the full six-digit number.
- For facilities with eight-digit FINs greater than or equal to 10000000 that were assigned by the CoC after January 1, 2001, the coded FIN will consist of two leading zeros followed by the full eight-digit number.

<u>Code</u>	<u>Definition</u>
(fill spaces)	Ten-digit facility identification number.
0099999999	If the following registry's identification number is unknown.

**Note:** Use [Appendix F](#) to find facility ID numbers for Kentucky.

**Note:** A written agreement may be drawn up between two registries noting which hospital will be responsible for follow-up.

## **31890 - FOLLOW-UP SOURCE-CENTRAL**

Field Length: 2

Record the source from which the latest follow-up information was obtained.

This data item is used by hospital and central registries to identify the most recent source of follow-up information. This item will be used to calculate the [Follow-Up Source](#) data item for CoC requirements. It is also used at the Central Registry to reflect the source of information contained in the fields for vital status and date of last contact, particularly when these data come from external file linkages (see codes 01-29).

### **Instructions for Coding**

#### Code      Source of Information

##### (30-39) Hospitals and Treatment Facilities

- 30 Hospital inpatient/outpatient
- 31 Casefinding
- 32 Hospital cancer registry
- 33 Radiation treatment center
- 34 Oncology clinic
- 35 Ambulatory surgical center
- 39 Clinic/facility, NOS

##### (40-49) Physicians

- 40 Attending physician
- 41 Medical oncologist
- 42 Radiation oncologist
- 43 Surgeon
- 48 Other specialist
- 49 Physician, NOS

##### (50-59) Patient

- 50 Patient contact
- 51 Relative contact
- 59 Patient, NOS

##### (60-98) Other

- 60 Central or Regional cancer registry
- 61 Internet sources
- 62 Hospice
- 63 Nursing homes
- 64 Obituary
- 65 Other research/study related sources
- 98 Other, NOS
- 99 Unknown source

(01-29) File Linkages (primarily for Central Registry use)

- 01 Medicare/Medicaid File
- 02 Center for Medicare and Medicaid Services (CMS, formerly HCFA)
- 03 Department of Motor Vehicle Registration
- 04 National Death Index (NDI)
- 05 State Death Tape/Death Certificate File
- 06 County/Municipality Death Tape/  
Death Certificate File
- 07 Social Security Administration Death Master File
- 08 Hospital Discharge Data
- 09 Health Maintenance Organization (HMO) file
- 10 Social Security Epidemiological Vital Status Data
- 11 Voter Registration File
- 12 Research/Study Related Linkage
- 29 Linkages, NOS

## **31900 - FOLLOW-UP SOURCE**

Field Length: 1

Records the source from which the latest follow-up information was obtained.

This data item is used by hospital and central registries to identify the most recent source of follow-up information.

### **Instructions for Coding**

<u>Code</u>	<u>List</u>	<u>Definition</u>
0	Reported hospitalization	Hospitalization at another institution/hospital or first admission to the reporting facility.
1	Readmission	Hospitalization or outpatient visit at the reporting facility.
2	Physician	Information from a physician.
3	Patient	Direct contact with the patient.
4	Dept of Motor Vehicles	The Department of Motor Vehicles confirmed the patient has a current license.
5	Medicare/Medicaid file	The Medicare or Medicaid office confirmed the patient is alive.
7	Death certificate	Information from the death certificate only.
8	Other	Friends, relatives, employers, other registries, or any sources not covered by other codes.
9	Unknown; not stated in patient record	The follow-up source is unknown or not stated in patient record.

Starting with 2006 cases, this field is calculated from [Follow-Up Source - Central](#).

**31910 - NEXT FOLLOW-UP METHOD**

Field Length: 2

Record the code that describes the primary source of follow-up information to be contacted on the next follow-up attempt.

- 00 - Lost to follow up
- 01 - Primary following physician (coded in [item 31100](#))
- 02 - Follow-up Physician 2 (coded in [item 31110](#))
- 03 - Follow-up Physician 3 (coded in [item 31120](#))
- 04 - Patient by letter
- 05 - Patient by phone call
- 06 - Other contact person (coded in items [31930](#)-32020)
- 07 - Public records, agencies, newspapers, etc.
- 08 - Hospital chart/records
- 09 - No follow up required
- 10 - Follow-up Physician 4 (coded in [item 31121](#))
- 11 - Follow-up Physician 5 (coded in [item 31122](#))

**31920 - Alternate follow-up Method**

Field Length: 2

Record the code which describes the alternate source to be contacted for follow-up information.

- 00 - Lost to follow up
- 01 - Primary following physician (coded in [item 31100](#))
- 02 - Follow-up Physician 2 (coded in [item 31110](#))
- 03 - Follow-up Physician 3 (coded in [item 31120](#))
- 04 - Patient by letter
- 05 - Patient by phone call
- 06 - Other contact person (coded in items [31930](#)-32020)
- 07 - Public records, agencies, newspapers, etc.
- 08 - Hospital chart/records
- 09 - No follow up required
- 10 - Follow-up Physician 4 (coded in [item 31121](#))
- 11 - Follow-up Physician 5 (coded in [item 31122](#))

**31100 - PRIMARY FOLLOWING PHYSICIAN**

Field Length: 7

This field is provided for entry of a code number assigned to the physician following this patient for treatment at this institution. Use the physician's Kentucky License Number and develop your own codes for identifying out-of-state physicians who may be following your patients.

The web site for the Kentucky Board of Medical Licensure is located at: <http://kbml.ky.gov/>.  
The link for the online directory is found under Online Searches, called Physician Profile/Verification of Physician License.

A lookup for NPI numbers is available at  
<https://nppes.cms.hhs.gov/NPPES/NPIRegistryHome.do>.

This field will be used to generate mailing labels to physicians to use with your follow up letters.

Hospitals may code '9999999' for "Unknown", but this field may not be left blank.

**3110 - Follow-Up Physician 2**

Field Length: 7

This field is provided for entry of a code number assigned to an additional follow up physician for this patient. Use the Kentucky License Number, or your own code numbers developed for identifying out-of-state physicians. The web site for the Kentucky Board of Medical Licensure is located at: <http://kbml.ky.gov/>. The link for the online directory is found under Online Searches, called Physician Profile/Verification of Physician License.

A lookup for NPI numbers is available at

<https://nppes.cms.hhs.gov/NPPES/NPIRegistryHome.do>.

This field may also be used to generate mailing labels for follow up letters to these physicians.

Hospitals may use a special code for "Unknown" and/or leave this field blank if there is no alternate follow up physician.

**31120 - Follow-up Physician 3**

Field Length: 7

This field is provided for entry of a code number assigned to any physician involved with this patient and who may potentially be a source of follow up information. Use the Kentucky License Number, or your own code developed for identifying out-of-state physicians. The web site for the Kentucky Board of Medical Licensure is located at: <http://kbml.ky.gov/>. The link for the online directory is found under Online Searches, called Physician Profile/Verification of Physician License.

A lookup for NPI numbers is available at  
<https://nppes.cms.hhs.gov/NPPES/NPIRegistryHome.do>.

This field may be used to generate mailing labels for follow up letters to these physicians.

Hospitals may use a special code for "Unknown" and/or leave this field blank if there was no other physician.

**31121 - Follow-up Physician 4**

Field Length: 7

This field is provided for entry of a code number assigned to an additional follow up physician for this patient. Use the Kentucky License Number, or your own code numbers developed for identifying out-of-state physicians. The web site for the Kentucky Board of Medical Licensure is located at: <http://kbml.ky.gov/>. The link for the online directory is found under Online Searches, called Physician Profile/Verification of Physician License.

A lookup for NPI numbers is available at  
<https://nppes.cms.hhs.gov/NPPES/NPIRegistryHome.do>.

This field may also be used to generate mailing labels for follow up letters to these physicians.

Hospitals may use a special code for "Unknown" and/or leave this field blank if there is no alternate follow up physician.

### **31122 - Follow-up Physician 5**

Field Length: 7

This field is provided for entry of a code number assigned to an additional follow up physician for this patient. Use the Kentucky License Number, or your own code numbers developed for identifying out-of-state physicians. The web site for the Kentucky Board of Medical Licensure is located at: <http://kbml.ky.gov/>. The link for the online directory is found under Online Searches, called Physician Profile/Verification of Physician License.

A lookup for NPI numbers is available at  
<https://nppes.cms.hhs.gov/NPPES/NPIRegistryHome.do>.

This field may also be used to generate mailing labels for follow up letters to these physicians.

Hospitals may use a special code for "Unknown" and/or leave this field blank if there is no alternate follow up physician.

**31930 - Other contact person - Last name**

Field Length: 20

Enter the last name of the patient's closest living relative, or friend, who may be contacted for follow-up information.

Otherwise, leave blank; this field is merely an aid for follow-up.

**31940 - Other Contact Person - First name**

Field Length: 15

Enter the first name of the patient's closest living relative or friend, who may be contacted for follow up information.

This field is an aid for follow-up, and may be left blank.

**31950-31960 - Other Contact Person - Address Line 1 and Line 2**

Field Length: 20 (x2)

Enter the address of the patient's closest living relative, or friend.

This field is an aid for follow-up, and may be left blank.

**31970 - Other Contact Person - City**

Field Length: 20

Enter the city of the address of the patient's closest living relative, or friend.

This field is an aid for follow-up, and may be left blank.

**31980 - Other Contact Person - State**

Field Length: 2

Enter the state abbreviation for the address of the patient's closest living relative, or friend. This field is an aid for follow-up, and may be left blank.

**31990-32000 - Other Contact Person - ZIP Code**

Field Length: 9

Enter the ZIP code of the address of the patient's closest living relative, or friend.

This field is an aid for follow-up, and may be left blank.

**32010 - Other Contact Person - Telephone no.**

Field Length: 10

Enter the telephone number of the patient's closest living relative, or friend.

This field is an aid for follow-up, and may be left blank.

**32020 - Other Contact Person - Relationship**

Field Length: 15

Enter the relationship of the other contact person to the patient. For example,

Spouse  
Father  
Mother  
Sister  
Brother  
Son  
Daughter  
Grandparent  
Neighbor, etc.

**32030 - Follow-Up Text**

Field Length: 30

This field may be used to type in any pertinent information about follow-up. It is an optional field and may be left blank.

**32040 - LAST FOLLOW-UP HOSPITAL ID**

Field Length: 11

This field does not appear on the abstract but is available for data analysis. It is auto filled with the facility ID number of the hospital which most recently updated the patient's record. This field is mainly utilized in multi-facility registries and at the central registry.

**32050 - LAST MODIFICATION BY**

Field Length: 8

This is a calculated field which records the user name of the last individual to modify follow-up data. It is updated each time the record is edited.

**32060 - LAST MODIFICATION TIME**

Field Length: 19

This field automatically records the date and time that follow-up data was last modified.

**32070-32260 - User Defined Fields - Case Level**

Field Length: 15 (x20)

This element provides up to 20 fifteen-digit fields for coding additional diagnostic procedures or other relevant information at the case level. These will be user defined fields based on the individual institution's need or desire to track patterns of diagnostic and other procedures with particular types of cancer patients.

For example: The following codes for colon cancers could be established for the first three fields:

- A. Patient Height
- B. Patient Weight
- C. Diagnosed Via Screening Colonoscopy? (Y/N)

**32270-32480 - Override Flags**

Field Length: 1 (x22)

- a. SummStg/Nodes+
- b. SummStg/TNM-N
- c. SummStg/TNM-M
- d. SummStg/Mets1
- e. Accn#/Class/Seq
- f. HospSeq/DxConfirm
- g. COC-Site/Type
- h. HospSeq/Site
- i. Site/TNM Stg Grp
- j. Age/Site (IF 15)
- k. Seq/DiagConfirm (IF 23)
- l. Site/Histo/Lat/Seq (IR 09)
- m. Surg/DxConfirm (IF 46)
- n. Site/Type (IF 25)
- o. Histo/Behave (MORPH)
- p. Reporting Source/Seq (IF 04)
- q. Seq/Ill-defined site (IF 22)
- r. Leukemias/Lymphomas (IF 48)
- s. Site/Behave (IF 39)
- t. Site/EOD/DxDate (IF 40)
- u. Site/Lat/EOD (IF 41)
- v. Site/Lat/Morph (IF 42)

Override flags are available to indicate that a record with apparently inconsistent or unlikely data has been reviewed and is in fact correct as coded. Enter a '1' in the field that describes the edit check that is to be overridden.

Override flags a-d (fields 32270-32300) are not used by KCR. Override flags e-v are described in greater detail on the following pages.

### **32310 - Override ACSN/Class/Seq**

The edit, *Accession Number, Class of Case, Seq Number* (CoC), checks the following:

- If the case is the only case or the first of multiple cases diagnosed at the facility (ACoS Sequence Number = 00, 01, 60 or 61, and Class of Case = 0, 1, or 6), then the first 4 characters of the *Accession Number* must equal the year of the *Date of First Contact*.
- If the case is first diagnosed at autopsy (Class of Case = 5), and the case is the only case or the first of multiple cases for a patient (ACoS Sequence Number = 00, 01, 60, or 61), then the first 4 characters of the *Accession Number* must equal the year of the *Date of Last Contact or Death* AND must equal the year of the *Date of First Contact*.
- If the case is first diagnosed at autopsy (Class of Case = 5), and the case is the second or more case for a patient (ACoS Sequence Number greater than 01 or greater than 61), then the year of the *Date of First Contact* must equal the year of *Date of Last Contact or Death*.

There are some exceptions to the above rules. *Override Acsn/Class/Seq* may be used to override the edit when the circumstances fit the following situation or one similar to it:

- The case may be the only or the first of multiple malignant cases for a patient (ACoS Sequence Number = 00 or 01), but there is an earlier benign case (with an earlier year of the *Date of First Contact*) for which the *Accession Number* applies.

#### **Instructions for Coding**

- Leave blank if the EDITS program does not generate an error message for the edit *Accession Number, Class of Case, Sequence Number* (CoC).
- Leave blank and correct any errors for the case if an item is discovered to be incorrect.
- Code 1 if a review of all items in the error or warning message confirms that all are correct.

### 32320 - Override Hospseq/Dxconf

The edit, *Diagnostic Confirm, Seq Num–Hosp* (CoC), does the following:

- If any case is one of multiple primaries and is not microscopically confirmed or positive lab test/marker study, i.e., Diagnostic Confirmation > 5 and ACoS Sequence Number > 00 (more than one primary), review is required.
- If *Primary Site* specifies an ill-defined or unknown primary (C76.0–C76.8, C80.9), no further checking is done. If ACoS Sequence Number is in the range of 60–88, this edit is skipped.

It is important to verify that the non-microscopically-confirmed case is indeed a separate primary from any others that may have been reported. This edit forces review of multiple primary cancers when one of the primaries is coded to a site other than ill-defined or unknown and is not microscopically confirmed or confirmed by a positive lab test/marker study.

- If this edit is failed and the suspect case is confirmed accurate as coded, and the number of primaries is correct, set the *Override HospSeq/DxConf* to 1. Do not set the override flag on the patient's other primary cancers.
- However, if it turns out that the non-microscopically-confirmed cancer is considered a manifestation of one of the patient's other cancers, delete the non-microscopically-confirmed case. Check the sequence numbers of remaining cases, correcting them if necessary. Also check for other data items on the remaining cases that may need to be changed as a result of the corrections, such as stage and treatment.

#### Instructions for Coding

- Leave blank if the EDITS program does not generate an error message for the edit *Diagnostic Confirm, Seq Num–Hosp* (CoC).
- Leave blank and correct any errors for the case if an item is discovered to be incorrect.
- Code 1 if a review of all items in the error or warning message confirms that all are correct

### **32330 - Override CoC - Site/Type**

There are multiple versions of edits of the type, *Primary Site, Morphology-Type*, which check for "usual" combinations of site and ICD-O-2 or ICD-O-3 histology. The SEER version of the edit is more restrictive than the CoC edit, and thus uses a different override flag. The CoC version of the edit will accept Override CoC-Site/Type or Override Site/Type as equivalent.

- The Site/Histology Validation List (available on the SEER Web site) contains those histologies commonly found in the specified primary site. Histologies that occur only rarely or never are not included. These edits require review of all combinations *not* listed.
- Since basal and squamous cell carcinomas of non-genital skin sites are not reportable to SEER, these site/histology combinations do not appear on the SEER validation list. For the CoC version of the edit, if *Primary Site* is in the range C44.0-C44.9 (skin), and the ICD-O-3 histology is in the range 8000-8005 (neoplasms, malignant, NOS), 8010-8046 (epithelial carcinomas), 8050-8084 (papillary and squamous cell carcinomas), or 8090-8110 (basal cell carcinomas), no further editing is done. No override is necessary for these cases in the CoC version of the edit.

Review of these cases requires investigating whether the combination is biologically implausible or there are cancer registry coding conventions that would dictate different codes for the diagnosis. Review of these rare combinations often results in changes to the primary site and/or morphology, rather than a decision that the combination is correct.

#### **Instructions for Coding**

- Leave blank if the EDITS program does not generate an error message for edits of the type *Primary Site, Morphology-Type Check*.
- Leave blank and correct any errors for the case if an item is discovered to be incorrect.
- Code 1 if a review of all items in the error or warning message confirms they are correct and coded in conformance with coding rules.

### 32340 - Override Hospseq/Site

Edits of the type, *Seq Num--Hosp, Primary Site, Morph*, differ in use of ICD-O-2 or ICD-O-3 morphology. They force review of multiple primary cancers when one of the primaries is coded to a site-morphology combination that could indicate a metastatic site rather than a primary site. If *ACoS Sequence Number* indicates the person has had more than one primary, then any case with one of the following site-histology combinations requires review:

- C76.0–C76.8 (Ill-defined sites) or C80.9 (unknown primary) and ICD-O-2 or ICD-O-3 histology < 9590. (Look for evidence that the unknown or ill-defined primary is a secondary site from one of the patient's other cancers. For example, a clinical discharge diagnosis of "abdominal carcinomatosis" may be attributable to the patient's primary ovarian cystadenocarcinoma already in the registry, and should not be entered as a second primary.)
- C77.0-C77.9 (lymph nodes) and ICD-O-2 histology not in range 9590-9717 or ICD-O-3 histology not in the range 9590-9729; or C42.0-C42.4 and ICD-O-2 histology not in range 9590-9941 or ICD-O-3 histology not in the range 9590-9989. (That combination is most likely a metastatic lesion. Check whether the lesion could be a manifestation of one of the patient's other cancers.)
- Any site and ICD-O-2 histology in the range 9720-9723, 9740-9741 or ICD-O-3 histology in the range 9740-9758. (Verify that these diagnoses are coded correctly and are indeed separate primaries from the others.)

If it turns out that the suspect tumor is a manifestation of one of the patient's other cancers, delete the metastatic or secondary case, re-sequence remaining cases, and correct the coding on the original case as necessary.

#### Instructions for Coding

- Leave blank if the EDITS program does not generate an error message for an edit of the type Seq Num--Hosp, Primary Site, Morph
- Leave blank and correct any errors for the case if an item is discovered to be incorrect.
- Code 1 if review of all items in the error or warning message confirms that all are correct.

### **32350 - Override Site/TNM-Stage Group**

The edit, *Primary Site, AJCC Stage Group - Edition 6 (COC)*, checks that the pathologic and clinical AJCC stage group codes are valid for the site and histology group according to the *AJCC Cancer Staging Manual, Sixth Edition*, using the codes described for the items *Clinical Stage Group* and *Pathologic Stage Group*. Combinations of site and histology not represented in any AJCC schema must be coded 88. Unknown codes must be coded 99. Blanks are not permitted.

Since pediatric cancers whose sites and histologies have an AJCC scheme may be coded according to a pediatric scheme instead, *Override Site/TNM-Stage Group* is used to indicate pediatric cases not coded according to the AJCC manual. Pediatric stage groups should *not* be recorded in the *Clinical Stage Group* or *Pathologic Stage Group* items. When neither clinical nor pathologic AJCC staging is used for pediatric cases, code all AJCC items 88. When any components of either is used to stage a pediatric case, follow the instructions for coding AJCC items and leave *Override Site/TNM-Stage Group* blank.

#### **Instructions for Coding**

- Leave blank if the EDITS program does not generate an error message for the edit, *Primary Site, AJCC Stage Group - Edition 6 (COC)*.
- Leave blank and correct any errors for the case if an item is discovered to be incorrect.
- Code 1 if the case is confirmed to be a pediatric case that was coded using a pediatric coding system.

**32360 - Override Age/Site/Morph (IF 15)**

Edits of the type, *Age, Primary Site, Morphology* differ in using ICD-O-2 or ICD-O-3 morphologies, and require review if a site-ICD-O-3 morphology combination occurs in an age group for which it is extremely rare:

<b>Age</b>	<b>Morphology</b>	<b>Site</b>
< age 15	any histology with behavior = 2	C53._
< age 15	9100	C58._
< age 20	any histology	C15._, C17._, C19._-C21._, C23._-C25._, C38.4, C50._, C54._-C55._
< age 20	any histology other than 8240-8245	C18._, C33._-C34._
< age 20	any histology with behavior = 3	C53._
< age 30	9732, 9823, 9863, 9875-9876, 9945, 9946	any site
< age 30	any histology	C60.9
< age 45	8140	C61.9
> age 5	9510-9514	C69._
> age 14	8960	any site
> age 45	9100	C58.9

If the edit generates an error or warning message, check that the primary site and histologic type are coded correctly and that the age, date of birth, and date of diagnosis are correct.

**Instructions for Coding**

- Leave blank if the EDITS program does not generate an error message (and if the case was not diagnosed in utero) for the edit *Age, Primary Site, Morphology* (CoC) and/or the edit *Age, Primary Site, Morphology ICD-O-3* (CoC).
- Leave blank and correct any errors for the case if an item is discovered to be incorrect.
- Code 1, 2, or 3 as indicated if review of all items in the error or warning message confirms that all are correct.

**Codes**

- Reviewed: An unusual occurrence of a particular age/site/histology combination for a given age group has been reviewed.
- Reviewed: Case was diagnosed in utero
- Reviewed: Conditions 1 and 2 above both apply

### **32370 - Override Sequence Number/Diagnostic Confirmation (IF23)**

This edit forces review of multiple primary cancers when one of the primaries is coded to a site other than ill-defined or unknown and is not microscopically confirmed or confirmed by a positive lab test/marker study. It is important to verify that the non-microscopically-confirmed case is indeed a separate primary from any others that may have been reported. If the suspect case is accurate as coded, and the number of primaries is correct, set the Override SeqNo/DxConf flag to 1 so that the case will not appear in future edits as an error. It is not necessary to set the override flag on the patient's other primary cancers.

If it turns out that the non-microscopically-confirmed cancer is considered a manifestation of one of the patient's other cancers, delete the non-microscopically-confirmed case. Check the sequence numbers of remaining cases, correcting them if necessary.

#### **Instructions for Coding**

- Leave blank if the EDITS program does not generate an error message for the edit Sequence Number/Diagnostic Confirmation.
- Leave blank and correct any errors for the case if an item is discovered to be incorrect.
- Code 1 if review of all items in the error or warning message confirms that all are correct.

### **32380 - Override Site/Histology/Laterality/Sequence (IR 09)**

Given two records for the same person coded with the same three-digit histology code and - in cases where the sites are paired organs, the same known laterality (see Table 2) - there must be no ambiguity of primary site between specified and NOS. That is, if the site code in one of the records appears in the left column of Table 1 below, then the site in the other records must not occur in the same line on the right side of the table. This edit is performed only for invasive diagnoses (Behavior = 3).

*Table 1*

NOS	Specified
CAA8	CAAx
CBB9	CBBx
C260	C150-C259, C480-C488
C268	C150-C259, C480-C488
C269	C150-C259, C480-C488
C390	C300-C349, C384
C398	C300-C349, C380-C388
C399	C300-C349, C384
C579	C510-C578, C589
C639	C600-C638
C689	C649-C688
C758	C379, C739-C749
C759	C379, C739-C749

(Where AA represents any two-digit number except 16, 53, 71; BB represents any two-digit number and x represents any one-digit number.)

*Table 2*

#### Paired Organs

C491	Connective, subcutaneous, and other soft tissues of upper limb and shoulder
C492	Connective, subcutaneous, and other soft tissues of lower limb and hip

#### **Instructions for Coding**

- Leave blank if the EDITS program does not generate an error message for this edit.
- Leave blank and correct any errors for the case if an item is discovered to be incorrect.
- Code 1 if review of all items in the error or warning message confirms that all are correct.

### **32390 - Override Surg/Dxconf (IF 46)**

Edits of the type, *RX Summ-Surg Prim Site, Diag Conf*, check that cases with a primary site surgical procedure coded 20-90 are histologically confirmed.

If the patient had a surgical procedure, most likely there was a microscopic examination of the cancer.

- Verify the surgery and diagnostic confirmation codes, and correct any errors.
- Sometimes there are valid reasons why no microscopic confirmation is achieved with the surgery, for example, the tissue removed may be inadequate for evaluation.

#### **Instructions for Coding**

- Leave blank if the EDITS program does not generate an error message for edits of the type, *RX Summ-Surg Prim Site, Diag Conf*.
- Leave blank and correct any errors for the case if an item is discovered to be incorrect.
- Code 1 if review of all items in the error or warning message confirms that all are correct.

**32400 - Override Site/Type (IF 25)**

There are multiple versions of edits of the type, *Primary Site, Morphology-Type*, which check for "usual" combinations of site and ICD-O-2 or ICD-O-3 histology. The SEER version of the edit is more restrictive than the CoC edit, and thus uses a different override flag. The CoC version of the edit will accept Override CoC-Site/Type or Override Site/Type as equivalent.

- The Site/Histology Validation List (available on the SEER website) contains those histologies commonly found in the specified primary site. Histologies that occur only rarely or never are not included. These edits require review of all combinations *not* listed.
- Since basal and squamous cell carcinomas of non-genital skin sites are not reportable to SEER, these site/histology combinations do not appear on the SEER validation list. For the CoC version of the edit, if *Primary Site* is in the range C440-C449 (skin), and the ICD-O-3 histology is in the range 8000-8005 (neoplasms, malignant, NOS), 8010-8046 (epithelial carcinomas), 8050-8084 (papillary and squamous cell carcinomas), or 8090-8110 (basal cell carcinomas), no further editing is done. No override is necessary for these cases in the CoC version of the edit.

Review of these cases requires investigating whether the combination is biologically implausible or there are cancer registry coding conventions that would dictate different codes for the diagnosis. Review of these rare combinations often results in changes to the primary site and/or morphology, rather than a decision that the combination is correct.

**Instructions for Coding**

- Leave blank if the EDITS program does not generate an error message for the edit Primary Site, Morphology-Type Check (SEER IF25) and/or the edit Primary Site, Morphology-Type ICDO3 (SEER IF25).
  - Leave blank and correct any errors for the case if an item is discovered to be incorrect.
  - Code 1 if review of all items in the error or warning message confirms that all are correct.

### **32410 - Override Histology/Behavior (IF 31/SEER MORPH)**

**I.** Edits of the type, *Diagnostic Confirmation, Behavior Code*, differ in the use of ICD-O-2 or ICD-O-3 and check that, for in situ cases (Behavior = 2), *Diagnostic Confirmation* specifies microscopic confirmation (1, 2 or 4). The distinction between in situ and invasive is very important to a registry, since prognosis is so different. Since the determination that a neoplasm has not invaded surrounding tissue, i.e. is in situ, is made microscopically, cases coded in situ in behavior should have a microscopic confirmation code. **Note:** Very rarely, a physician will designate a case noninvasive or in situ without microscopic evidence.

If an edit of the type, *Diagnostic Confirmation, Behavior Code*, gives an error message or warning, check that *Behavior Code* and *Diagnostic Confirmation* have been coded correctly. Check carefully for any cytologic or histologic evidence that may have been missed in coding.

**II.** Edits of the type, *Morphology-Type/Behavior*, perform the following overrideable check:

- Codes listed in ICD-O-2 or ICD-O-3 with behavior codes of only 0 or 1 are considered valid, since use of the behavior matrix of ICD-O-2 and ICD-O-3 allows for the elevation of the behavior of such histologies when the tumor is in situ or malignant. This edit forces review of these rare cases to verify that they are indeed in situ or malignant.

If a *Morphology-Type/Behavior* edit produces an error or warning message and the case is one in which the 4-digit morphology code is one that appears in ICD-O-2 or ICD-O-3 only with behavior codes of 0 or 1, verify the coding of morphology and that the behavior should be coded malignant or in situ. The registrar may need to consult a pathologist or medical advisor in problem cases.

**Exceptions to the above:** If year of *Date of Diagnosis* > 2000, then a behavior code of 1 is valid for the following ICDO-2 histologies and no override flag is needed: 8931, 9393, 9538, 9950, 9960-9962, 9980-9984, 9989. Similarly, the following ICD-O-3 histologies are valid with a behavior code of 1: 8442, 8451, 8462, 8472, and 8473.

**Note:** The Morphology-Type/Behavior edits are complex and perform several additional types of checks. No other aspects of their checks are subject to override.

#### **Instructions for Coding**

- Leave blank if the EDITS program does not generate an error message for the edits of the types Diagnostic Confirmation, Behavior Code or Morphology-Type/Behavior
- Leave blank and correct any errors for the case if an item is discovered to be incorrect.
- Code 1, 2 or 3 as indicated if review of all items in the error or warning message confirms that all are correct.

<b>Code</b>	<b>Definition</b>
(leave blank)	Not reviewed.
1	Reviewed; allow flag for edits of the type Morphology- Type/Behavior (SEER MORPH)
2	Reviewed; allow glad for edits of the type Diagnostic Confirmation, Behavior Code (IF 31)
3	Reviewed; conditions 1 and 2 above both apply

### **32420 - Override Type of Reporting Source/Sequence Number (IF 04)**

If the Type of Reporting Source specifies a death certificate only case (7) and Histology is not a lymphoma, leukemia, immunoproliferative or myeloproliferative disease (<9590), then ACoS Sequence Number must specify one primary only (00).

#### **Instructions for Coding**

- Leave blank if the EDITS program does not generate an error message for this edit.
- Leave blank and correct any errors for the case if an item is discovered to be incorrect.
- Code 1 if review of all items in the error or warning message confirms that all are correct.

**32430 - Override Sequence Number/Ill-defined Site (IF 22)**

This edit forces review of multiple primary cancers when one of the primaries is coded to a site-morphology combination that could indicate a metastatic site rather than a primary site.

**GENERAL**

It is important to verify that the suspect case is indeed a separate primary from any others that may have been reported for the patient. Correction of errors may require inspection of the abstracted text, either online or as recorded on a paper abstract. Review of the original medical record may be necessary. If the suspect case is accurate as coded, and the number of primaries is correct, set the Over-ride Ill-define site flag to 1 so that the case will not be considered in error when the edit is run again. It is not necessary to set the over-ride flag on the patient's other primary cancers.

If it turns out that the suspect cancer is considered a manifestation of one of the patient's other cancers, delete the former case, resequence remaining cases, and correct the coding on the latter case as necessary.

**SPECIFIC GUIDELINES**

1. Ill-defined sites (C76.0 - C76.8) or unknown primary (C80.9) and histology code less than 9590: Look for evidence that the unknown or ill-defined primary is a secondary site (extension or metastasis) from one of the patient's other cancers. For example, a clinical discharge diagnosis of "r;abdominal carcinomatosis" may be attributable to the patient's primary ovarian cystadenocarcinoma known to the registry, and should not be entered as a second primary.
2. Lymph nodes (C77.0 - C77.9) and histology code not in the range 9590-9714: Primary malignancies of lymph nodes are almost exclusively the lymphomas coded in the range 9590-9714. A carcinoma, sarcoma, leukemia, or other diagnosis outside that range in a lymph node is most likely a metastatic (secondary) lesion. Check whether the lymph node lesion could be a manifestation of one of the patient's other cancers. If the lesion in the lymph node is considered a separate primary, try to ascertain a more appropriate primary site than lymph nodes.
3. Hematopoietic and reticuloendothelial systems (C42.0 - C42.4) and histology not in the range 9590-9941: Primary cancers of the blood, bone marrow, spleen, etc. are almost exclusively lymphomas, leukemias, and related conditions coded in the range 9590-9941. A carcinoma, sarcoma, or other diagnosis outside that range in one of these sites is most likely a metastatic (secondary) lesion. Check whether the lesions could be a manifestation of one of the patient's other cancers. If the lesion is considered a separate primary, try to ascertain a more appropriate primary site other than those in the C42 group.
4. Other lymphoreticular neoplasms and mast cell tumors of any site (histologies 9720-9723 and 9740-9741): Verify that these diagnoses are coded correctly and are indeed separate primaries from the other reported ones.

**Instructions for Coding**

- Leave blank if the EDITS program does not generate an error message for this edit.
- Leave blank and correct any errors for the case if an item is discovered to be incorrect.
- Code 1 if review of all items in the error or warning message confirms that all are correct.

**32440 - Override Leukemia, Lymphoma (IF 48)**

Edits of the type, *Diagnostic Confirmation, Histol Type*, differ in use of ICD-O-2 or ICD-O-3 and check the following:

- Since lymphoma and leukemia are almost exclusively microscopic diagnoses, this edit forces review of any cases of lymphoma that have diagnostic confirmation of direct visualization or clinical, and any leukemia with a diagnostic confirmation of direct visualization.
- If histology is 9590-9717 for ICD-O-2 or 9590-9729 for ICD-O-3 (lymphoma), then *Diagnostic Confirmation* cannot be 6 (direct visualization) or 8 (clinical).
- If histology is 9720-9941 for ICD-O-2 or 9731-9948 for ICD-O-3 (leukemia and other), then *Diagnostic Confirmation* cannot be 6 (direct visualization).

In an edit of the type, *Diagnostic Confirmation, Histol Type*, produces an error or warning message, check that the *Histology* and *Diagnostic Confirmation* are correctly coded. Remember that positive hematologic findings and bone marrow specimens are included as histologic confirmation (code 1 in *Diagnostic Confirmation*) for leukemia.

**Instructions for Coding**

- Leave blank if the EDITS program does not generate an error message for the edits of the type *Diagnostic Confirmation, Histol Type*.
- Leave blank and correct any errors for the case if an item is discovered to be incorrect.
- Code 1 if review of all items in the error or warning message confirms that all are correct.

### **32450 - Override Site/Behavior (IF 39)**

Edits of the type, *Primary Site, Behavior Code*, require review of the following primary sites with a behavior of in situ (ICD-O-2 or ICD-O-3 behavior = 2):

C26.9	Gastrointestinal tract, NOS
C39.9	Ill-defined sites within respiratory system
C55.9	Uterus, NOS
C57.9	Female genital tract, NOS
C63.9	Male genital organs, NOS
C68.9	Urinary system, NOS
C72.9	Nervous system, NOS
C75.9	Endocrine gland, NOS
C76.0-C76.8	Ill-defined sites
C80.9	Unknown primary site

Since the designation of in situ is very specific and almost always requires microscopic confirmation, ordinarily specific information should also be available regarding the primary site. Conversely, if inadequate information is available to determine a specific primary site, it is unlikely that information about a cancer being in situ is reliable.

- If a specific in situ diagnosis is provided, try to obtain a more specific primary site. A primary site within an organ system can sometimes be identified based on the diagnostic procedure or treatment given or on the histologic type. If a more specific site cannot be determined, it is usually preferable to code a behavior code of 3. In the exceedingly rare situation in which it is certain that the behavior is in situ and no more specific-site code is applicable, set *Override Site/Behavior* to 1.

#### **Instructions for Coding**

- Leave blank if the EDITS program does not generate an error message for the edit *Primary Site, Behavior Code* (CoC) and/or the edit *Primary Site, Behavior Code ICD-O-3* (CoC).
- Leave blank and correct any errors for the case if an item is discovered to be incorrect.
- Code 1 if review of all items in the error or warning message confirms that all are correct.

**32460 - Override Site/EOD/Diagnosis Date (IF 40)**

The following cancers require review if reported with localized extent of disease:

C069	Mouth, NOS
C189	Colon, NOS not histology 8220 (adenocarcinoma in adenomatous polyposis coli)
C260-C269	Other and ill-defined digestive organs
C390-C399	Other and ill-defined respiratory or intrathoracic sites
C409, C419	Bone, NOS
C479	Peripheral nerves, NOS
C499	Connective tissue, NOS
C559	Uterus, NOS
C579	Female genital system, NOS
C639	Male genital organs, NOS
C760-C768	Other and ill-defined sites
C809	Unknown primary site

The definition of localized disease for each of the extent of disease coding systems is: 10-30.

**Instructions for Coding**

- Leave blank if the EDITS program does not generate an error message for this edit.
- Leave blank and correct any errors for the case if an item is discovered to be incorrect.
- Code 1 if review of all items in the error or warning message confirms that all are correct.

### **32470 - Override Site/Laterality/EOD (IF 41)**

The IF41 edit for paired organs does not allow EOD to be specified as in situ, localized, or regional by direct extension if laterality is coded as "bilateral, side unknown" or "laterality unknown." Review the source information and use code 3 - One side only, right or left origin unknown - if it applies. Use this override to indicate that the conflict has been reviewed.

#### **Instructions for Coding**

- Leave blank if the EDITS program does not generate an error message for this edit.
- Leave blank and correct any errors for the case if an item is discovered to be incorrect.
- Code 1 if review of all items in the error or warning message confirms that all are correct.

**32480 - Override Site/Lat/Morph (IF 42)**

Edits of the type, *Laterality*, *Primary Site*, *Morph*, differ in whether they produce a warning or an error message and in use of ICD-O-2 or ICD-O-3 morphology. This edit checks the following:

- If the *Primary Site* is a paired organ and *Behavior Code* is in situ (2), then *Laterality* must be 1, 2, or 3.
- If diagnosis year is less than 1988 and *Histology* is greater than or equal to 9590, then no further editing is performed. If diagnosis year is greater than 1987 and *Histology* equals 9140, 9700, 9701, 9590-9980, then no further editing is performed.

The intent of this edit is to force a review of in situ cases for which *Laterality* is coded 4 (bilateral) or 9 (unknown laterality) as to origin.

- In rare instances when the tumor is truly midline (9) or the rare combination is otherwise confirmed correct, enter code 1 for *Override Site/Lat/Morph*.

**Instructions for Coding**

- Leave blank if the EDITS program does not generate an error message for the edit *Laterality*, *Primary Site*, *Morphology* (SEER IF42) and/or the edit *Laterality*, *Primary Site*, *Morph* ICD-O-3 (SEER IF42).
- Leave blank and correct any errors for the case if an item is discovered to be incorrect.
- Code 1 if a review of all items in the error or warning message confirms that all are correct.

**70040 - Text Local Hospital ID**

Field Length: 10

This is a calculated field which identifies the facility(ies) which entered case text. A case in a multi-facility database may be associated with more than one facility, and thus may have text for each affiliated facility.

**70050-70140 - OPEN TEXT DOCUMENTATION**

Field Length: 3360 (x 10)

In accordance with new CDC/NPCR requirements, KCR began requiring text documentation on all new cases diagnosed January 1, 2001 and after. The documentation must include explanations regarding the history and physical, diagnostic procedures, surgeries performed surgical findings and place of diagnosis.

Text is needed to justify codes selected for specific data elements and to allow for the recording of information that is not coded at all. It is used by the central registry for quality control of the data and to assure that the data meets the standards of ACoS, NAACCR, NCDB, SEER, and NPCR.

It also is utilized to answer questions which arise during the editing and consolidation process performed at the central registry, thus improving the accuracy and timeliness of that process as well. The best code(s) from all sources can generally be selected when the supporting text is sufficient to help verify the decision.

Through more complete documentation in the text fields, it is expected that fewer cases will need to be returned to the hospital for further review and/or clarification and that error rates in data abstraction will be reduced.

**TEXT FIELDS**

- 70050 - History and Physical
- 70060 - X-rays/Scans/Ultrasounds
- 70070 - Scopes/Endoscopic Exams
- 70080 - Laboratory Tests/Markers
- 70090 - Operative Reports
- 70100 - Pathology Reports
- 70110 - Site Text
- 70120 - Histology Text
- 70130 - Staging: CS/Summary/TNM
- 70140 - Miscellaneous/General Remarks

**GENERAL INSTRUCTIONS**

1. Select the category from the previous page which is the most logical to you in recording the required information. Record the information only one time even though multiple categories may apply. As an alternative, all information may be documented in the Miscellaneous/General Text field. The information, however, will need to be labeled with the appropriate text field heading.
2. Be brief. Don't record in full sentences.
3. Use standard medical abbreviations (see [APPENDIX I](#)) when possible to save space, i.e., CXR-chest x-ray; LN-lymph node; LAD-lymphadenopathy.
4. Record text information on all analytic cases. For non-analytic cases, record all dates and cancer directed therapies regardless of where received at a minimum.
5. Record exact terminology from the source document to justify your codes. Be certain to include ambiguous terminology where pertinent to the information coded, i.e., "most likely" primary lung cancer.
6. Document both positive and negative findings, i.e., H & P: peau d'orange skin; CT: neg LAD.
7. Enter in chronological order the results of diagnostic examinations and cancer directed surgeries. Record the date first, then name of procedure, the results and pertinent information. (New in NAACCR)
8. Enter additional staging information in the Staging Text field that is not documented in the other text fields.
9. Record in the Miscellaneous/General Text fields information that is overflow from a more specific text field and other pertinent information for which there is no designated field. For overflow information, indicate the name of the field being extended and then the additional pertinent information.
10. Date the open text entries in the Miscellaneous/General Text field at the beginning of the entry, including the month and year only. Record your initials at the end of the entry.

**Specific Data Item Instructions**

Document the following information as indicated in an appropriate text field category.

1. Sequence Number

Note any history of a previous cancer with emphasis on the most specific site identified and the laterality when multiple primaries involve paired organs. Record date previous cancer diagnosed. Indicate if estimated.

2. Topography

- Document the exact anatomic location of the primary tumor including lobe, quadrant, etc. as well as laterality if a paired organ.
- Include any ambiguous terminology used to describe the primary site.
- Record statements that rule out specific sites when patient has multiple cases of cancer, one of which is an unknown primary.
- Note unusual topography/histology combinations (i.e., pathologist's diagnosis is endometrioid cancer of uterus - ICD-O-3 shows C56.9 ovary).

3. Histology and Grade

- Record the exact wording used in the Final Pathologic Diagnosis on the pathology report to support the histology code.
- If the final histologic diagnosis is an NOS term and a more definitive histology is found in the body of the report or in a special NOTE or COMMENT section, indicate from which section the histologic diagnosis was coded.
- When a more definitive diagnosis is obtained from a supplementary document such as an immunohistochemistry report or pathologic consultation, note the source document name which provides the final diagnosis.
- Specify the tumor grade exactly as recorded on the pathology report, i.e., II/III (new in NAACCR).

4. Diagnosis Date

- Document the date, place, source document, and exact wording of the first occurrence of a positive cancer diagnosis. Remember to include any ambiguous terms used in making the diagnosis.
- Record the age at diagnosis

5. Diagnostic Confirmation

- Explain when codes 6, 7 or 8 are utilized, i.e., patient refused further workup. Remember the confirmation field covers the entire history of the patient's cancer from diagnosis to death and should be updated to a lower code whenever appropriate.

6. Tumor Size

- Document source of the most definitive size. See *Collaborative Staging Manual and Coding Instructions* or EOD (for pre-2004 cases) for priority of documents to use in coding this element.
- Record all dimensions of the primary tumor; specify the unit of measure given including comparative descriptions such as "golf ball-sized" if applicable.
- Note such descriptions as diffuse, widespread, entire circumference.
- Document instances where a tumor contains both invasive and in-situ components and only the size of the entire lesion is noted.

7. Collaborative Staging items  
SEER Extent of Disease (for pre-2004 cases)  
TNM Classification & Grouping
  - Record date, name of exam and any positive or negative findings which support the extent of disease coded for each of the staging systems above. Enter details regarding direct extension to other organs or structures, presence of satellite lesions/nodules and location. Be sure to include any ambiguous terminology used to indicate a positive finding.
  - Note disagreement with TNM staging between registrar and physician.
  - Document abstracting "rules" when pertinent, i.e., TNM chapter does not include sarcomas.
  - Enter notation when staging supplied by another facility's registrar/doctor.
8. Regional Nodes Positive and Examined
  - List exact name(s) of lymph nodes and corresponding number removed from pathology report. Include information regarding laterality of nodes involved.
9. Surgery at Primary Site
  - Enter the exact wording of the operative procedure performed. Include names of all organs removed "en bloc" and specify as such.
10. Surgical Margins
  - Document the exact wording from the path report which supports the code selected. Indicate whether this represents a gross or microscopic description.
11. Scope of Regional Lymph Node Surgery
  - List date, exact name(s) of lymph nodes, corresponding number removed and laterality for each separate surgical procedure performed.
12. Surgery at Regional/Distant Sites
  - Record the specific organs/tissues removed (partial or total) during the surgical procedure.
13. Chemotherapy Code
  - Note the exact names of agents administered.
14. Other Therapy Codes
  - Describe in words the procedures performed and/or drugs utilized.
15. Date of Last Contact or Death
  - Document source of date of death, i.e., obituaries, expired at your facility, quarterly death list, Social Security Death Index (SSDI), KCR Vital Status Report, other health care facility.
16. General Remarks

- Note any and all changes requested by KCR, including the date of the request or the name and date of the document from KCR which requests the change.
- Explain any unusual circumstances which impacted the manner in which the case was coded, i.e., an unusual primary site for a particular histologic type verified by an outside institution, i.e., the Armed Forces Institute of Pathology (AFIP).
- Enter reason why no therapy administered if known.
- Should patient refuse further therapy, document therapy type and refusal.
- Specify any dates which are estimated.
- Record recommended treatment(s), that is, unknown if given.
- Indicate information which has been coded from a source other than the medical record and what the source was, i.e., verbal information from another registrar.

**70150 - LAST MODIFICATION BY**

Field Length: 8

The user name of the person who last edited the case text is recorded by the computer in this field.

**70160 - LAST MODIFICATION TIME**

Field Length: 19

The computer automatically records the date and time the case text was edited. This field is updated each time the text is edited.



## **Class Data**

### **40040 - Hospital Medical Record Number (Class)**

Field Length: 15

This field records the patient's medical record number at the reporting facility. It is stored with the patient's class history. A patient record which is associated with multiple facilities may thus have a unique medical record number corresponding to each facility.

**40050 - Class Local Hospital ID**

Field Length: 10

This is a unique code which represents the facility reporting the case. A case in a multi-facility database may be associated with more than one registry, and this field exists in the class history record for each affiliated facility. This field is automatically coded when a facility creates or associates itself with a case, and is filled in with the facility's FIN number.

**40060- 40070 - REGISTRY ACCESSION YEAR AND NUMBER (Class)**

Field Length: 9

This field provides a unique identifier for the patient and consists of the year in which the patient was first seen at the reporting facility and the consecutive order in which the case was abstracted.

The first four numbers specify the year and the last five numbers are the numeric order in which the patient was entered into the registry database. A patient's accession number is never reassigned.

A case in a multi-facility database may be associated with more than one facility, and this field exists in the class history record for each affiliated facility. When a facility creates or associates itself with a case, this field is automatically filled in with the facility's Registry Accession Year and Number ([items 30320 - 30330](#)).

## 40080 - Class of Case (Class)

Field Length: 1

This field divides cases into analytic cases which are included in reports on patient treatment and outcomes, and nonanalytic cases which are not included in such reports. This field specifies the class of case for an institution in the class history record.

### Codes

- 0 Diagnosis at the reporting facility and all of the first course of treatment was performed elsewhere or the decision not to treat was made at another facility
- 1 Diagnosis at the reporting facility, and all or part of the first course of treatment was performed at the reporting facility
- 2 Diagnosis elsewhere, and all or part of the first course of treatment was performed at the reporting facility
- 3 Diagnosis and all of the first course of treatment was performed elsewhere. Presents at your facility with recurrence or persistent disease
- 4 Diagnosis and/or first course of treatment were performed at the reporting facility prior to the reference date of the registry
- 5 Diagnosed at autopsy
- 6 Diagnosis and all of the first course of treatment were completed by the same staff physician in an office setting. "Staff physician" is any medical staff with admitting privileges at the reporting facility.
- 7 Pathology report only. Patient does not enter the reporting facility at any time for diagnosis or treatment. Excludes cases diagnosed at autopsy.
- 8 Diagnosis was established by death certificate only. *Used by central registries only.*
- 9 Unknown. Insufficient detail for determining class of case. *Used by central registries only.*

A case in a multi-facility database may be associated with more than one facility, and this field exists in the class history record for each affiliated facility. When a facility creates or associates itself with a case, this field is automatically filled in with the facility's Class of Case ([item 30140](#)).

**40081 - Date of First Contact (Class)**

Field Length: 8

This is the date the patient had initial contact with the facility as either an inpatient or outpatient for diagnosis and/or treatment of a reportable tumor. For autopsy-only or DCO cases, use the date of death. When a patient is diagnosed in a staff physician's office, the date of first contact is the date the patient was physically first seen at the reporting facility.

A case in a multi-facility database may be associated with more than one facility, and this field exists in the class history record for each affiliated facility. When a facility creates or associates itself with a case, this field is automatically filled in with the facility's Date of First Contact ([item 30150](#)).

**40082 - Institution Referred From (Class)**

Field Length: 10

This field identifies the facility that referred the patient to the reporting facility. Enter the FIN of the facility that referred the patient to your institution, or use one of the special codes below.

- 0000000000 The patient was not referred to the reporting facility from another facility  
9999999999 The patient was referred, but the referring facility's ID number is unknown

A case in a multi-facility database may be associated with more than one facility, and this field exists in the class history record for each affiliated facility. When a facility creates or associates itself with a case, this field is automatically filled in with the value from Institution Referred From ([item 31650](#)).

**40083 - Institution Referred To (Class)**

Field Length: 10

This field identifies the facility to which the patient was referred for further care after discharge from the reporting facility. Enter the FIN of the facility to which the patient was referred, or use one of the special codes below.

- 0000000000 The patient was not referred to another facility  
9999999999 The patient was referred to another facility, but the facility's ID number is unknown

A case in a multi-facility database may be associated with more than one facility, and this field exists in the class history record for each affiliated facility. When a facility creates or associates itself with a case, this field is automatically filled in with the value from Institution Referred To ([item 31660](#)).

#### **40084 - Palliative Procedure - This Facility (Class)**

Field Length: 1

This field allows reporting facilities to track care that is considered palliative rather than diagnostic or curative in intent. Palliative procedures are performed to relieve symptoms and may include surgery, radiation therapy, systemic therapy, and/or pain management therapy.

Surgical procedures, radiation therapy, or systemic therapy provided to prolong the patient's life by controlling symptoms, to alleviate pain, or to make the patient comfortable should be coded as palliative care and as first course therapy if that procedure removes or modifies malignant tissue.

#### **Codes**

- 0 No palliative care provided. Diagnosed at autopsy.
- 1 Surgery (which may involve a bypass procedure) to alleviate symptoms, but no attempt is made to diagnose, stage, or treat the primary tumor.
- 2 Radiation therapy to alleviate symptoms, but no attempt is made to diagnose, stage, or treat the primary tumor.
- 3 Chemotherapy, hormone therapy, or other systemic drugs to alleviate symptoms, but no attempt is made to diagnose, stage, or treat the primary tumor.
- 4 Patient received or was referred for pain management therapy with no other palliative care.
- 5 Any combination of codes 1, 2, and/or 3 without code 4.
- 6 Any combination of codes 1, 2, and/or 3 with code 4.
- 7 Palliative care was performed or referred, but no information on the type of procedure is available. Palliative care was provided that does not fit the descriptions for codes 1-6.
- 9 It is unknown if palliative care was performed or referred; not stated in patient record.

A case in a multi-facility database may be associated with more than one facility, and this field exists in the class history record for each affiliated facility. When a facility creates or associates itself with a case, this field is automatically filled in with the value from Palliative Procedure At This Facility ([item 31680](#)).

**40085 - Abstracted By (Class)**

Field Length: 3

The field records the initials or assigned code of the registrar who abstracted the case. A case in a multi-facility database may be associated with more than one facility, and this field exists in the class history record for each affiliated facility. When a facility creates or associates itself with a case, this field is automatically filled in with the value from Abstracted By ([item 31140](#)).

**40086 - Archive FIN (Class)**

Field Length: 10

This field identifies the CoC Facility Identification Number (FIN) of the facility at the time it originally accessioned the case.

When a CoC approved facility merges with another facility or joins a network, its unique FIN may change. Archive FIN preserves the identity of the facility at the time the case was initially accessioned so that records resubmitted subsequent to such a reorganization can be recognized as belonging to the same facility.

Archive FIN is automatically coded by CPDMS.net. This item never changes and must be included as part of the patient record when data are submitted to the NCDB. For facilities that have not merged, Archive FIN and FIN are the same.

A case in a multi-facility database may be associated with more than one facility, and this field exists in the class history record for each affiliated facility. When a facility creates or associates itself with a case, this field is automatically filled in with the value from Archive FIN ([item 31725](#)).

**40090 - Date Class History Completed**

Field Length: 8

This field records the date that the case was initially saved without errors by each facility affiliated with a case. It is automatically calculated.

**40100 - Date Class History Last Updated**

Field Length: 8

The field records the date the class history was last changed or updated. It is automatically calculated any time the class history is edited.

**40360 - LAST MODIFICATION BY (Class)**

Field Length: 8

This is a calculated field which records the user name of the last individual to modify class history data. It is updated each time the record is edited.

**40370 - LAST MODIFICATION TIME (Class)**

Field Length: 19

This field automatically records the date and time that class history data was last modified.

## Therapy Data

### THERAPY INFORMATION

Data items 50040-50400

Each type of definitive therapy (surgery, radiation, chemotherapy, etc.) that the patient received should be recorded in detail in data items 50040-50400. These items may be repeated as often as necessary in order to record every type of treatment administered to the patient. If the same type of treatment is given more than once during a course, it only needs to be recorded one time -- UNLESS the procedure code or treatment agents change. Then, items 50040-50400 would have to be repeated in order to record the differences in those item(s). For example, if a patient has both a lumpectomy and a mastectomy, you would have to complete items 50040-50400 for each instance of surgery because the procedure code is different. *See special note for radiation treatment below.*

**Coding Surgery:** The CPDMS software uses the same data fields (items 50040-50400) to record both definitive and non-definitive therapies. Non-definitive surgical procedures include incisional biopsies, bypass surgeries, etc., and the codes for these procedures are the same for all types of cancer. Coding non-definitive surgical procedures became required by the ACoS for approved facilities in 1996; however, it is optional for KCR.

The definitive surgical procedure codes are site specific and they are contained in [Appendix G](#). These surgery codes changed significantly in 1998 with the ACoS ROADS Manual, and again in 2003 with the FORDS Manual. Surgery codes collected prior to 1998 were converted to the 1998 ROADS definitions and are stored in data items 50240-50290. Surgeries coded for cancers diagnosed from 1998 to 2002 are also collected in items 50240-50290 and are defined by the ACoS ROADS Manual. Starting with cancers diagnosed in 2003, the site specific surgery codes are stored in data items 50100-50120 and are defined by the ACoS FORDS Manual. Both sets of codes are included in Appendix G. ***Be sure to use the correct table based on the diagnosis year of the cancer being abstracted.***

**Note on Coding Radiation Treatment:** (This is for ACoS approved hospitals and pertains to treatment given to patients diagnosed after January 1, 2003.) You should summarize the entire first course of radiation treatment on one radiation therapy segment. Code all eight new radiation fields implemented with FORDS.

If you learn of more radiation given after you have abstracted and entered this patient record, then EDIT the EXISTING radiation treatment segment instead of creating a new radiation therapy record segment. This is important for NCDB submissions. They require one summary record of first course radiation treatment. If there are more in your database, only the one with the earliest start date will be sent to NCDB.

If palliative radiation is also given, it must also be recorded in the radiation therapy fields. Each data element and the appropriate codes are further explained on the following pages. Follow-up

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information about subsequent therapies may be recorded in the same manner as the first course of therapy.

## **50040 - THERAPY TYPE**

Field Length: 1

Using the codes below, record the type of therapy the patient received, regardless of where it was given.

### THERAPY TYPES

- N - Non-definitive surgery
- S - Surgery
- R - Radiotherapy
- C - Chemotherapy
- H - Hormone therapy
- I - Immunotherapy
- T - Transplant or Endocrine procedures
- O - Other therapy

Other therapy includes: experimental, alternative, complementary, and any other types of therapy not elsewhere listed.

If no definitive therapy was administered to this patient, or you may leave items 50040-50400 blank and record an appropriate code in Reason No Therapy and [Date No First Therapy](#).

**50050 - COURSE OF THERAPY**

Field Length: 1

Enter the letter which indicates whether this therapy type was administered as part of the first course of therapy or was part of a subsequent course of therapy.

F = first course

S = subsequent

Refer to the [General Coding Principles](#) section of this manual for a discussion of the definition of first course of therapy.

**50060 - DATE THERAPY STARTED**

Field Length: 8

Enter the month, day, and year this treatment type was initiated for this case of cancer.

**50070 - Therapy Facility**

Field Length: 10

Enter the name or code of the facility where treatment was given. These codes are optional and defined by each institution, for its own use. The codes for many health care facilities in Kentucky listed in [Appendix F](#) may be used.

**50075 - THERAPY LOCAL HOSPITAL ID**

Field Length: 10

Select the appropriate code to indicate if this therapy was administered at your facility.  
Otherwise, enter '0' for No.

- |           |   |
|-----------|---|
| 0         | -Not administered by this facility        |
| <hosp ID> | -<HOSPITAL NAME>                          |
| 9         | -Valid only for diagnoses before 1/1/2003 |

## 50090 - Non-definitive Surgery

Field Length: 2

When therapy type = N, you may record surgical procedures that are NOT considered treatment in this field. The codes are the same for all sites:

- 01 Incisional biopsy of other than primary site leaving gross residual disease  
Needle biopsy of other than primary site  
Aspiration biopsy of other than primary site
- 02 Incisional biopsy of primary site leaving gross residual disease  
Needle biopsy of primary site  
Aspiration biopsy of primary site (must obtain tissue to be recorded)
- 03 Exploratory ONLY (no biopsy)
- 04 Bypass surgery (no biopsy); - ostomy ONLY (no biopsy)
- 05 Exploratory ONLY and incisional or needle biopsy of primary site or other sites
- 06 Bypass surgery and incisional or needle biopsy of primary site or other sites -  
ostomy ONLY and incisional or needle biopsy of primary site or other sites
- 07 Non-definitive surgery, NOS

- Record the type of procedure performed as part of the initial diagnosis and workup, whether this is done at your institution or another facility.
- If both an incisional biopsy of the primary site and an incisional biopsy of a metastatic site are done, use code 02 (Incisional biopsy of primary site).
- For lymphomas of lymph node primary site (C77.\_), you may code the excision of a lymph node in this item (code 02) if it is for diagnostic and/or staging purposes. The surgical removal of lymph nodes for eradication of the lymphoma would be coded in [Surgical Procedure of Primary Site](#).
- Do not code surgical procedures which aspirate, biopsy, or remove *regional lymph nodes* in an effort to diagnose and/or stage disease in this data item. Use the data item [Scope of Regional Lymph Node Surgery](#) to code these procedures.
- Do not code brushings, washings, cell aspiration, and hematologic findings (peripheral blood smears). These are not considered surgical procedures.
- Do not code excisional biopsies with clear or microscopic margins in this data item. Use the data item [Surgical Procedure of Primary Site](#).
- Do not code palliative surgical procedures in this data item. Use the data item [Palliative Procedure](#).
- Do not record biopsies that are negative for cancer.

**50100 - SURGICAL PROCEDURE OF PRIMARY SITE-FORDS**

Field Length: 2

Record the surgical procedure(s) performed to the primary site.

- Site-specific codes for this data item are found in [Appendix G-Surgery Codes-FORDS](#).
- For codes 00 through 79, the response positions are hierarchical. Last-listed responses take precedence over responses written above. Code 98 takes precedence over code 00. Use codes 80 and 90 only if more precise information about the surgery is unavailable.
- Biopsies that remove all of the tumor and/or leave only microscopic margins are to be coded in this item, even if documented as "r;incisional biopsy."
- Surgery to remove regional tissue or organs is coded in this item only if the tissue/organs are removed in continuity with the primary site, except where noted in [Appendix G-Surgery Codes-FORDS](#).
- If a previous surgical procedure to remove a portion of the primary site is followed by surgery to remove the remainder of the primary site, then code the total or final results.
- For all hematopoietic, reticuloendothelial, immunoproliferative, and myeloproliferative diseases, this code is 98. Any surgical procedures performed for these diagnoses are recorded in the data item [Surgical Procedure Other Site-FORDS](#).

**50110 - SCOPE OF REGIONAL LYMPH NODE SURGERY-FORDS**

Field Length: 1

Record the removal, biopsy, or aspiration of regional lymph node(s) at the time of surgery of the primary site or during a separate surgical event.

- The scope of regional lymph node surgery is collected for each surgical event even if surgery of the primary site was not performed.
- Record surgical procedures which aspirate, biopsy, or remove regional lymph nodes in an effort to diagnose or stage disease in this data item.
- Codes 0-7 are hierarchical. If only one procedure can be recorded, code the procedure that is numerically higher.
- For primaries of the meninges, brain, spinal cord, cranial nerves, and other parts of the central nervous system (C70.0-C70.9, C71.0-C71.9, C72.0-C72.9), code 9.
- For lymphomas (M-9590-9596, 9650-9719, 9727-9729) with a lymph node primary site (C77.0-C77.9), code 9.
- For an unknown or ill-defined primary (C76.0-C76.8, C80.9) or for hematopoietic, reticuloendothelial, immunoproliferative disease (C42.0, C42.1, C42.3, C42.4 or M-9750, 9760-9764, 9800-9820, 9826, 9831-9920, 9931-9964, 9980-9989), code 9.
- Do not code *distant* lymph nodes removed during surgery to the primary site for this data item. Distant nodes are coded in the data field [Surgical Procedure/Other Site](#).
- Refer to the current AJCC *Cancer Staging Manual* for site-specific identification of regional lymph nodes.
- If the operative report lists a lymph node dissection, but no nodes were found by the pathologist, code this field 0 (no lymph nodes removed).
- If the patient has two primaries with common regional lymph nodes, code the removal of regional nodes for both primaries.

<u>Code</u>	<u>Label</u>	<u>Definition</u>
0	None	No regional lymph node surgery. No lymph nodes found in the pathologic specimen. Diagnosed at autopsy
1	Biopsy or aspiration of regional lymph node, NOS	Biopsy or aspiration of regional lymph node(s) regardless of the extent of involvement of disease.
2	Sentinel lymph node biopsy	Biopsy of the first lymph node or nodes that drain a defined area of tissue within the body. Sentinel node(s) are identified by the injection of a dye or radio label at the site of the primary tumor.
3	Number of regional nodes removed unknown or	Sampling or dissection of regional lymph node(s) and the number of nodes removed is unknown or

	not stated; regional lymph nodes removed, NOS	not stated. The procedure is not specified as sentinel nodes node biopsy.
4	1-3 regional lymph nodes removed	Sampling or dissection of regional lymph node(s) with fewer than four lymph nodes found in the specimen. The procedure is not specified as sentinel node biopsy
5	4 or more regional lymph removed	Sampling or dissection of regional lymph nodes with at least four lymph nodes found in the specimen. The procedure is not specified as sentinel node biopsy.
6	Sentinel node biopsy and code 3, 4, or 5, at same time, or timing not stated	Code 2 was performed in a single surgical event with code 3, 4, or 5. Or, code 2 and 3, 4, or 5 were performed, but timing was not stated in patient record.
7	Sentinel node biopsy and code 3, 4, or 5 at different times	Code 2 was followed in a subsequent surgical event by procedures coded as 3, 4, or 5.
9	Unknown or not applicable	It is unknown whether regional lymph node surgery was performed; death certificate-only; for lymphomas with a lymph node primary site; an unknown or ill-defined primary; or for hematopoietic, reticuloendothelial, immunoproliferative, or myeloproliferative disease.

## **50120 - SURGICAL PROCEDURE/OTHER SITE-FORDS**

Field Length: 1

Record the surgical removal of *distant lymph nodes* or other tissue(s)/organ(s) beyond the primary site.

- Assign the highest numbered code that describes the surgical resection of *distant lymph node(s)* and/or regional/distant tissue or organs.
- Incidental removal of tissue or organs is not a "r;Surgical Procedure/Other Site."
- Code 1 if any surgery is performed to treat tumors of unknown or ill-defined primary sites (C76.0-C76.8, C80.9) or for hematopoietic, reticuloendothelial, immunoproliferative, or myeloproliferative disease (C42.0, C42.1, C42.3, C42.4 or M-9750, 9760-9764, 9800-9820, 9826, 9831-9920, 9931-9964, 9980-9989).

**Code    Definition**

- |   |  |
|---|--|
| 0 | No surgical procedure of nonprimary site was performed.  |
| 1 | Nonprimary surgical resection to other site(s), unknown if whether the site(s) is regional or distant. |
| 2 | Nonprimary surgical procedure to other regional sites  |
| 3 | Nonprimary surgical procedure to <i>distant lymph node(s)</i>  |
| 4 | Nonprimary surgical procedure to distant site  |
| 5 | Any combination of surgical procedures 2, 3, or 4.   |
| 9 | Unknown; death certificate only  |

**50130 - SURGICAL MARGINS**

Field Length: 1

This field describes the status of the surgical margins after resection of the primary tumor. The codes for surgical margins are not site specific and were converted for cancers diagnosed before 2003.

Microscopic involvement cannot be seen by the naked eye. The pathology report usually documents microscopic involvement in the final diagnosis or the microscopic portion of the report.

Macroscopic involvement is gross tumor which is visible to the naked eye. It may be documented in the operative report or in the gross portion of the pathology report.

The code is hierarchical; if two codes describe the margin status, use the numerically higher code.

Code the margin status for each individual surgical event.

- If no surgery of the primary site was performed, code 8.
- For lymphomas (M-9590-9596, 9650-9719, 9727-9729) with a lymph node primary site (C77.0-C77.9), code 9.
- For an unknown or ill-defined primary (C76.0-C76.8, C80.9) or for hematopoietic, reticuloendothelial, immunoproliferative, or myeloproliferative disease (C42.0, C42.1, C42.3, C42.4, or M-9750, 9760-9764, 9800-99820, 9826, 9831-9920, 9931-9964, 9980-9989), code 9.

<u>Code</u>	<u>Label</u>	<u>Definition</u>
0	No residual tumor	All margins are grossly and microscopically negative
1	Residual tumor, NOS	Involvement is indicated, but not otherwise specified.
2	Microscopic residual tumor	Cannot be seen by the naked eye.
3	Macroscopic residual tumor	Gross tumor of the primary site which is visible to the naked eye.
7	Margins not evaluable	Cannot be assessed (indeterminate).
8	No primary site surgery	No surgical procedure of the primary site. Diagnosed at autopsy.
9	Unknown or not applicable	Unknown whether a surgical procedure to the primary site was performed; DCO; for lymphomas with a lymph node primary site; an unknown or ill-defined primary; or for hematopoietic diseases.

## **50140 - RADIATION THERAPY CODE**

Field Length: 1

Code the type of radiation therapy that the patient received. This field will be calculated for ACoS approved facilities from items [50320](#) and [50340](#). Non-approved facilities MUST enter the radiation therapy code manually.

For all sites, the codes are:

- 1 - Beam radiation
- 2 - Radioactive implants
- 3 - Radioisotopes
- 4 - Combinations of beam radiation with radioactive implants or radioisotopes
- 5 - Radiation therapy, NOS

Code 1 (beam radiation) includes treatment given with X ray, cobalt, linear accelerator, neutron beam, and betatron, as well as spray radiation and stereotactic radiosurgery, such as gamma knife and proton beam, regardless of the source of the radiation.

Code 2 (radioactive implants) includes brachytherapy, radioembolization, interstitial implants, molds, seeds, needles, or intracavity applicators of radioactive materials, such as cesium, radium, radon, and radioactive gold.

Code 3 (radioisotopes) includes internal use of radioactive isotopes, such as iodine-131 or phosphorus-32, given orally or intracavarily, or by intravenous injection.

If the method or source is not given, code 5 (radiation therapy, NOS).

**50150-50170 - Radiation Sites**

Field Length: 2 (x3)

When the treatment type is R, record a two digit code for up to three sites to which radiotherapy was directed. Use the General Sites Dictionary in [Appendix E](#). When more than three sites are indicated, enter the code for the three most definitive sites, coding the primary site of the cancer in the first set of boxes.

Precede any single digit codes with a zero.

**50180 - Total Number of Rads**

Field Length: 5

Enter the total dosage of radiation, directed to the site specified in items [50150-50170](#), that was received by the patient for this particular type and course of radiation therapy.

**50190 - CHEMOTHERAPY CODE**

Field Length: 1

Code the type of chemotherapy that the patient received.

For all sites, the codes are:

- 1- Chemotherapy, NOS
- 2 - Chemotherapy, single agent
- 3 - Chemotherapy, multiple agents (combination regimens)

Record any chemical that is administered to treat cancer tissue that is not considered to achieve its effect through a change in the hormonal balance. Only the agent is coded, not the method of drug administration (i.e., chemoembolization). For your information, the following chemotherapy group classifications are listed below.

<b>GROUP</b>	<b>SUBGROUP(S)</b>	<b>EXAMPLES</b>
Alkylating agents	Nitrogen mustard	Mechlorethamine (Mustargen), phenylalanine mustard (Melphalan), chlorambucil (Leukeran), cyclophosphamide (Cytoxan)
	Ethylenimine derivatives	Triethylene-thiophosphoramide (Thio-TEPA)
	Alkyl sulfonates	Busulfan (Myleran)
	Nitrosoureas	Carmustine (Lomustine)
	Triazines	DTIC (Dacarbazine)
Antimetabolites	Folic acid analogues	Methotrexate (Amethopterin, MTX)
	Pyrimidine analogues	5-fluorouracil (5-FU)
	Purine analogues	6-mercaptopurine (6-MP)
Natural products	Antibiotics	Dactinomycin (Actinomycin D), doxorubicin (Adriamycin), daunorubicin (Daunomycin), bleomycin (Blenoxane), mitomycin C (Mutamycin)
	Plant alkaloids	Vinblastine (Velban, VBL), vincristine (Oncovin, VCR)
	Enzymes	L-asparaginase (Elspar)
Miscellaneous		Cis-diammine dichloroplatinum II (Cisplatin), hydroxyurea (Hydrea), procarbazine (Matulane)

One planned course of chemotherapy may be given in several segments. These segments are recorded as one course.

If the patient has an adverse reaction, the physician may change one of the drugs in a combination regimen. If the replacement drug belongs to the same group as the original drug, there is no change in the regimen. If the replacement drug is in a different group than the original drug, code the new regimen as subsequent therapy.

Two or more single agents given at separate times during the first course of cancer-directed therapy are considered a combination regimen and coded 3 (chemotherapy, multiple agents). If an agent in a combination regimen is a hormone (such as Prednisone in CHOP), code '3' here and record the hormonal agent again, under Hormone therapy. Do not record Prednisone as hormonal when it is administered for other reasons, such as:

- A patient has advanced lung cancer with multiple metastases to the brain. The physician orders Decadron to reduce the edema in the brain and relieve the neurological symptoms. Decadron is not coded as hormone therapy.
- A patient with advanced disease is given Prednisone to stimulate the appetite and improve nutritional status. Do not code the Prednisone as hormone therapy.

When chemotherapeutic agents are used as radiosensitizers or radioprotectants, they are given at a much lower dosage and do not affect the cancer. Radiosensitizers and radioprotectants are classified as ancillary drugs. Do not code as chemotherapy.

Effective with diagnoses in 2005 and later, use the SEER Rx program for a list of all cancer therapeutic agents (available from web site: [www.seer.cancer.gov/tools/seerrx/](http://www.seer.cancer.gov/tools/seerrx/).) For pre-2005 cases, refer to [Appendix H](#) and/or the [SEER Program Self-Instructional Manual for Tumor Registrars, Book 8, Antineoplastic Drugs Second Edition](#).

**50200 - HORMONE THERAPY**

Field Length: 1

Record '1' if hormone treatment agents were administered as first course treatment at this or any other facilities.

- Record prednisone as hormonal therapy when administered in combination with chemotherapy, such as MOPP (mechlorethamine, vincristine, procarbazine, prednisone) or COPP (cyclophosphamide, vincristine, procarbazine, prednisone).
- Do not code prednisone as hormone therapy when it is administered for reasons other than chemotherapeutic treatment.
- Some types of cancers are **slowed or suppressed by hormones**. These cancers are treated by administering hormones.

**Example 1:** Endometrial cancer may be treated with progesterone. Code all administration of progesterone to patients with endometrial cancer in this field. Even if the progesterone is given for menopausal symptoms, it has an effect on the growth or recurrence of endometrial cancer.

**Example 2:** Follicular and papillary cell cancers of the **thyroid** are often treated with thyroid hormone to suppress serum thyroid-stimulating hormone (TSH). If a patient with follicular cell-derived cancer of the thyroid (8260, 8330, 8331, 8332, 8335, 8340, or 8346) is given a thyroid hormone, code the treatment in this field.

- Tumor involvement or treatment may destroy hormone-producing tissue. Hormone replacement therapy will be given if the hormone is necessary to maintain normal metabolism and body function. Do not code hormone replacement therapy as part of first course therapy, except for thyroid replacement therapy, as described above.
- Use the SEER Rx program (available from web site: [www.seer.cancer.gov/tools/seerrx/](http://www.seer.cancer.gov/tools/seerrx/)) to identify hormonal agents. For pre-2005 diagnoses, refer to [Appendix H](#) and to the *Self-Instructional Manual for Tumor Registrars: Book 8 - Antineoplastic Drugs*, Third Edition.
- Code surgery or radiation given **for hormonal effect** under Transplant/Endocrine Procedures ([Item # 50220](#)).

## **50210 - IMMUNOTHERAPY**

Field Length: 1

Record '1' if immunotherapy was administered as first course treatment at this or any other facilities. Immunotherapy consists of biological or chemical agents that alter the immune system or change the host's response to tumor cells.

### **Types of immunotherapy**

**Cancer Vaccines:** Cancer vaccines are still in the experimental phase and are not coded in this data item. They may be coded in the field Other Therapy. Currently clinical trials use cancer vaccines for brain, breast, colon, kidney, lung, melanoma and ovary.

**Interferons:** Interferons belong to a group of proteins called cytokines. They are produced naturally by the white blood cells in the body. Interferon-alpha is able to slow tumor growth directly as well as activate the immune system. It is used for a number of cancers including multiple myeloma, chronic myelogenous leukemia (CML), hairy cell leukemia, and malignant melanoma.

**Interleukins (IL-2)** are often used to treat kidney cancer and melanoma.

**Monoclonal Antibodies:** Prior to 2005, monoclonal antibodies were coded as immunotherapy. Monoclonal antibodies are produced in a laboratory. The artificial antibodies are injected into the patient to seek out and disrupt cancer cell activities and to enhance the immune response against the cancer. For example, Rituximab (Rituxan) may be used for non-Hodgkin lymphoma, and trastuzumab (Herceptin) may be used for certain breast cancers.

With the introduction of SEER Rx in 2005 for coding systemic therapy, monoclonal antibodies are coded as chemotherapy if they act as cytostatic agents (such as Rituxan and Herceptin) or as radioisotopes if they deliver cytotoxic radioisotopes to the cells (such as Bexxar and Zevalin).

Effective with diagnoses in 2005 and later, use the SEER Rx program (available from web site: [www.seer.cancer.gov/tools/seerrx/](http://www.seer.cancer.gov/tools/seerrx/)) to identify immunotherapeutic agents. For pre-2005 cases, refer to [Appendix H](#) and to the *Self-Instructional Manual for Tumor Registrars: Book 8 - Antineoplastic Drugs*, Third Edition.

**50220 - HEMATOLOGIC TRANSPLANT AND ENDOCRINE PROCEDURES**

Field Length: 2

Record any systemic therapeutic *procedures* administered as part of the first course of treatment at this and all other facilities. These include bone marrow transplants, stem cell harvests, surgical and/or radiation endocrine therapy.

**Instructions for Coding**

- Bone marrow transplants should be coded as either autologous (bone marrow originally taken from the patient) or allogeneic (bone marrow donated by a person other than the patient). For cases in which the bone marrow transplant was syngeneic (transplanted marrow from an identical twin), the item is coded as allogeneic.
- Stem cell harvests involve the collection of immature blood cells from the patient and the reintroduction by transfusion of the harvested cells following chemotherapy or radiation therapy.
- Endocrine irradiation and/or endocrine surgery are procedures which suppress the naturally occurring hormonal activity of the patient and thus alter or effect the long-term control of the cancer's growth. These procedures must be bilateral to qualify as endocrine surgery or endocrine radiation. If only one gland is intact at the start of treatment, surgery and/or radiation to that remaining gland qualifies as endocrine surgery or endocrine radiation.

**Code    Definition**

- |    |  |
|----|--|
| 10 | A bone marrow transplant procedure was administered, but the type was not specified.   |
| 11 | Bone marrow transplant - autologous.   |
| 12 | Bone marrow transplant - allogeneic.   |
| 20 | Stem cell harvest (and infusion).  |
| 30 | Endocrine surgery and/or endocrine radiation therapy.  |
| 40 | Combination of endocrine surgery and/or radiation with a transplant procedure.<br>(Combination of codes 30 and 10, 11, 12, or 20.) |

**50230 - OTHER THERAPY CODE**

Field Length: 1

These codes are available for any 'other' treatment received by the patient-- other than surgery, chemotherapy, radiation therapy, hormone therapy, immunotherapy, transplants or endocrine procedures.

Code 0 indicates nonsurgical types of non-definitive treatment. These are optional and do not have to be recorded. Ancillary drugs such as allopurinol, growth stimulating factors, Neupogen, and EpoGen are examples of non-definitive therapy.

<u>Code</u>	<u>Label</u>	<u>Definition</u>
0	Non-cancer-directed treatment	OPTIONAL CODE - may be used to record ancillary drugs, supportive care, stent placement, etc.
1	Other treatment	Cancer treatment that cannot be appropriately assigned to specified treatment data items (surgery, radiation, systemic). Use this code for treatment unique to hematopoietic diseases (see Notes below), or for tumor embolization which does not involve a chemotherapy or radiotherapy agent (i.e., when alcohol is used as the embolizing agent in head and neck cancers).
2	Other - Experimental	This code is not defined. It may be used to record participation in institution-based clinical trials. Gene therapy is coded 2.
3	Other - Double Blind	A patient is involved in a double-blind clinical trial. Code the treatment actually administered when the double-blind trial code is broken.
5	Antibiotics	Used to treat MALT lymphoma
6	Other - Unproven	Unconventional therapies; alternative and complementary therapies (see below).

Treatment for reportable hematopoietic diseases can be supportive care, observation, or any treatment that does not meet the usual definition in which treatment "modifies, controls, removes, or destroys proliferating cancer tissue." Such treatments include phlebotomy, transfusions, and aspirin (see Notes below), and should be coded 1.

**Notes for Hematopoietic diseases:**

- The hematopoietic diseases for which transfusions may be coded as other therapy are comprised of the following histologies ONLY: 9733, 9742, 9751-9758, 9920, 9945-9946, 9948, 9950, 9960-9964, 9980, 9982-9987, and 9989. Do not code transfusions as therapy for leukemias, lymphomas, or other hematopoietic histologies.
  - Phlebotomy may be called blood removal, blood letting, or venisection.
- Transfusions may include whole blood, RBCs, platelets, plateletpheresis, fresh frozen plasma (FFP), plasmapheresis, and cryoprecipitate.

- Aspirin (also known as ASA, acetylsalicylic acid, or by a brand name) is used as a treatment for essential thrombocythemia. Record ONLY aspirin therapy to thin the blood for symptomatic control of thrombocythemia. To determine whether aspirin is administered for pain, cardiovascular protection, or thinning of platelets in the blood, use the following general guideline:
  - -Pain control is approximately 325-1000 mg every 3-4 hours.
  - -Cardiovascular protection starts at about 160 mg/day.
  - -Aspirin treatment for essential thrombocythemia is low dose, approximately 70-100 mg/day.

Use code 3 - Double blind for clinical trial before the code is broken. After the code is broken, review and re-code therapy as needed, according to the treatment actually administered.

Use code 6 - Unproven therapy - for **unconventional methods** whether they are given alone or in combination with other cancer directed treatments.

#### **Unconventional treatment agents are:**

Cancell, Carnivora, Glyoxylide, Iscador, Koch synthetic antitoxins, Krebiozen, Laetrile, Malonide, Parabenoquinone

Use code 6 - Unproven therapy - for **alternative and complementary therapies ONLY if they are NOT given in combination with other cancer directed treatments.**

#### **Alternative & Complementary Therapies are:**

##### Alternative Systems

Acupuncture  
Ayurveda  
Environmental medicine  
Homeopathic medicine  
Natural Products  
Native American, Latin American, or traditional Oriental medicine

##### Bioelectromagnetic Applications

Blue light treatment  
Electroacupuncture  
Magnetoresonance spectroscopy

##### Diet, Nutrition, Lifestyle

Changes in lifestyle  
Diet  
Gerson Therapy

Macrobiotics  
Megavitamins  
Nutritional Supplements

Herbal Medicine  
Ginger  
Ginkgo Biloba extract  
Ginseng root

Manual Healing  
Acupressure  
Biofield Therapeutics  
Massage therapy  
Reflexology  
Zone therapy

Mind/Body Control  
Biofeedback  
Humor therapy  
Meditation  
Relaxation techniques  
Yoga

Pharmacological and Biological Treatments  
Anti-oxidizing agents  
Cell treatment  
Metabolic therapy  
Oxidizing agents

**50240 - SURGICAL APPROACH (ROADS)**

Field Length: 1

This data field applies only to cancers diagnosed before 2003. Collection of this data item was discontinued as of 1/1/2003 with FORDS implementation.

"Surgical Approach" describes the method used to approach the organ of origin and/or primary tumor. Code the approach for surgical treatments of the primary site only. If no definitive surgical procedure at the primary site was done ("Surgery of Primary Site" is coded 00), "Surgical Approach" must be coded 0.

"Endoscopy, image guided" is a generic term for guidance provided by any imaging technique include, but not limited to, CT scans, MRI scans, ultrasound, or radiographic imaging.

"Open" is a generic term describing all non-scope approaches. Procedures for which "Surgical Approach" would be coded open include, but are not limited to, mastectomy; excision of a melanoma of the skin; glossectomy.

"Open, assisted by endoscopy" means that the scope is being used (present in the body) at the same time the primary tumor is resected. DO NOT CODE a procedure as assisted by endoscopy when the scope is used and removed prior to the resection or when it is inserted and used after the resection of the primary tumor.

Example: Patient with lung cancer is taken to the surgical suite. A bronchoscopy and mediastinoscopy are done to evaluate whether the lesion is resectable. The scopes are removed before the surgeon performs a wedge resection. Code "Surgical Approach" open, NOT assisted by endoscopy.

The codes for surgical approach when Therapy type = S are site specific and they are contained in [Appendix G](#) Surgical Codes-ROADS.

## **50250 - SURGERY AT PRIMARY SITE (ROADS)**

Field Length: 2

When therapy type = S, the Surgery at Primary Site code indicates a definitive surgical treatment for this cancer. Enter the two digit code to indicate the specific surgical procedure performed at the primary cancer site. These codes are listed in [Appendix G](#) - Surgery Codes - ROADS. They are site specific codes, as taken from the ACoS Registry Operations and Data Standards Manual, revised for 1998. This data item applies only to cancers diagnosed before 2003. (Surgeries performed on patients diagnosed after 1/1/2003 are recorded in data [item 50100](#).)

Use the following guidelines to complete this field:

Only record surgeries of the primary site. Surgery to remove regional tissue or organs is coded in this field only if the tissue/organs are removed with the primary site as part of a specified code definition or in an **en bloc** resection. An en bloc resection is the removal of organs in one piece at one time.

Example: When a patient has a modified radical mastectomy, since the breast and axillary contents are removed in one piece (en bloc), surgery of primary site is coded as a modified radical mastectomy (50) even if the pathology finds no nodes in the specimen.

The range of codes from 10-79 are hierarchical and supersede codes '80', '90', and '99'. If more than one code describes the procedure, use the numerically higher code. If surgery was previously done, code the total result of that surgery with the current surgery. Biopsies that remove all gross tumor or leave only microscopic margins should be coded as surgery to the primary site.

If there was no surgical procedure at the primary site, code 00.

**50260 - SCOPE OF REGIONAL LYMPH NODE SURGERY (ROADS)**

Field Length: 1

This data field applies only to cancers diagnosed before 2003. Collection of this data item was discontinued as of 1/1/2003 with FORDS implementation.

For the majority of sites, "Scope of Regional Lymph Node Surgery" defines the removal of regional lymph node(s). This refers to the farthest regional node removed regardless of involvement with disease. There is no minimum number of nodes that must be removed. If at least one regional lymph node was removed, the code for this field must be in the range of 1-5. If a regional lymph node was aspirated or biopsied, enter code '1'.

For head and neck sites, this field describes neck dissections. Codes 2-5 indicate only that a neck dissection procedure was done; they do not imply that nodes were found during the pathologic examination of the surgical specimen. Code the neck dissection even if no nodes were found in the specimen.

These codes are site specific and they are contained in [Appendix G](#) - Surgery Codes - ROADS. The codes are hierarchical; if more than one applies, record the highest code (except 9). A list identifies the regional lymph nodes for each site. Any other nodes are distant; code their removal in the data field "[Surgery of Other Regional Site\(s\), Distant Site\(s\) or Distant Lymph Node\(s\)](#)".  
**For unknown primaries, leukemias, lymphomas (except lymphomas of the spleen), hematopoietic diseases, and brain primaries code '9' in this field.**

If no regional lymph nodes were removed, code 0.

Nodes which are considered regional are those defined in the AJCC *Manual for Staging of Cancer* in each site specific chapter.

## **50270 - NUMBER OF REGIONAL LYMPH NODES REMOVED (ROADS)**

Field Length: 2

This data field applies only to cancers diagnosed before 2003. Collection of this data item was discontinued as of 1/1/2003 with FORDS implementation.

Record the number of regional lymph nodes microscopically examined in the pathology report **DURING THIS SURGICAL PROCEDURE ONLY. DO NOT** add numbers of nodes removed at different surgical events.

If no regional lymph nodes are identified in the pathology report, code 00 even if the surgical procedure includes a lymph node dissection (i.e., modified radical mastectomy) or even if the operative report documents removal of nodes.

Because this field is not cumulative and not affected by timing, it does not necessarily replace or duplicate the field "Regional Lymph Node Examined." Use the Surgical Codes in [Appendix G](#) to identify the regional lymph nodes for each site.

### **Codes:**

- 00 No regional lymph nodes removed
- 01 One regional lymph node removed
- 02 Two regional lymph nodes removed
- ..
- 90 Ninety or more regional lymph nodes removed
- 95 No regional lymph node(s) removed but aspiration of regional lymph node(s) was performed.
- 96 Regional lymph node removal documented as a sampling and number of lymph nodes unknown/not stated
- 97 Regional lymph node removal documented as dissection and number of lymph nodes unknown/not stated
- 98 Regional lymph nodes surgically removed but number of lymph nodes unknown/not stated and not documented as sampling or dissection.
- 99 Unknown; not stated; death certificate ONLY

Use code 95 for a lymph node aspiration when the cytology or histology is positive for malignant cells.

Use code 99 if information about regional lymph nodes is unknown, or if the field is not applicable for that site or histology, i.e., unknown primaries (C80.9).

**50280 - SURGERY OF OTHER REGIONAL SITES(S), DISTANT SITE(S) OR DISTANT LYMPH NODE(S)-ROADS**

Field Length: 1

This data field applies only to cancers diagnosed before 2003. Collection of this data item was discontinued as of 1/1/2003 with FORDS implementation.

"Surgery of Other Regional Site(s), Distant Site(s) or Distant Lymph Node(s)" describes the removal of tissue(s) or organ(s) other than the primary tumor or organ of origin. This field is for all procedures that do not meet the definitions of [Surgery of Primary Site](#) or [Scope of Regional Lymph Node Surgery](#).

Example: A patient has an excisional biopsy of a hard palate lesion removed from the floor of the mouth and a resection of a metastatic lung nodule during the same surgical event. Code the resection of the lung nodule as 6 (distant site).

Code the removal of non-primary tissue which was removed because the surgeon suspected it was involved with malignancy even if the pathology is negative.

**DO NOT CODE** the incidental removal of tissue. Incidental is defined as tissue removed for reasons other than the malignancy. For example: During a colon resection, the surgeon noted that the patient had cholelithiasis and removed the gall bladder. Do not code removal of the gall bladder.

These codes are site specific and are contained in [Appendix G](#), Surgical Codes-ROADS.

## **50290 - RECONSTRUCTION /RESTORATION - ROADS**

Field Length: 1

This data field applies only to cancers diagnosed for 2003. Collection of this data item was discontinued as of 1/1/2003 with FORDS implementation. Only breast reconstruction continues to be recorded and this is captured in the Surgery at Primary Site-FORDS code.

"Reconstruction/Restoration" is a surgical procedure that improves the shape and appearance or function of body structures that are missing, defective, damaged, or misshapen by cancer or cancer-directed therapies. It must be a restoration of primary site or organ.

"Reconstruction/Restoration - First Course" is limited to procedures started during the first course of therapy. Some reconstructive/restorative procedures involve several surgical events. Code as "Reconstructive/Restoration - First Course" if the first event occurred during the first course of treatment.

Each site-specific surgery code scheme in [Appendix G](#) - Surgery Codes-ROADS has either a list of reconstructive/restorative procedures or codes that define specific procedures. Code only those procedures listed under each site.

Reconstructive/restorative procedures may be performed after first course of therapy is complete. Code these procedures in this field with therapy course is "S" for subsequent therapy.

**50300 - Location of Radiation Treatment**

Field Length: 1

**Description**

Identifies the location of the facility where radiation therapy was administered during the first course of treatment. It is an optional field and it is only required for data entry to ACoS flagged hospitals.

**Rationale**

This data item provides information useful to understanding the referral patterns for radiation therapy services and for assessing the quality and outcome of radiation therapy by delivery site.

**Instructions for Coding**

<u>Code</u>	<u>Definition</u>
1	All radiation therapy was administered at the reporting facility. Diagnosed at autopsy.
2	Regional treatment was administered at the reporting facility; a boost dose was administered elsewhere.
3	Regional treatment was administered elsewhere; a boost dose was administered at the reporting facility.
4	All radiation therapy was administered elsewhere.
8	Radiation therapy was administered, but the pattern does not fit the above categories.
9	Radiation therapy was administered, but the location of the treatment facility is unknown or not stated in patient record; it is unknown whether radiation therapy was administered.

**Examples:**

<u>Code</u>	<u>Reason</u>
2	A patient received radiation therapy to the entire head and neck region at the reporting facility and is then referred to another facility for a high-dose-rate (HDR) intracavitary boost.
3	A patient was diagnosed with breast cancer at another facility and received surgery and regional radiation therapy at that facility before being referred to the reporting facility for boost dose therapy.
8	Regional treatment was initiated at another facility and midway through treatment the patient was transferred to the reporting facility to complete the treatment regime.
9	Patient is known to have received radiation therapy, but records do not define the facility or facility(s) where the treatment was administered.

**50310 - Radiation Treatment Volume**

Field Length: 2

**Description**

Identifies the volume or anatomic target of the most clinically significant regional radiation therapy delivered to the patient during the first course of treatment. It is an optional field and it is only required for data entry to ACoS flagged hospitals.

**Rationale**

This data item provides information describing the anatomical structures targeted by the regional radiation therapy and can be used to determine whether the site of the primary disease was treated with radiation or if other regional or distant sites were targeted. This information is useful in evaluating the patterns of care within a facility (local analysis of physician practices) and on a regional or national basis.

**Instructions for Coding**

Radiation treatment volume will typically be found in the radiation oncologist's summary letter for the first course of treatment. Determination of the exact treatment volume may require assistance from the radiation oncologist for consistent coding.

<u>Code</u>	<u>Label</u>	<u>Definition</u>
01	Eye/orbit	The radiation therapy target volume is limited to the eye and/or orbit.
02	Pituitary	The target volume is restricted to the pituitary gland and all adjacent volumes are irradiated incidentally.
03	Brain (NOS)	Treatment is directed at tumors lying within the substance of the brain, or its meninges.
04	Brain (limited)	The treatment volume encompasses less than the total brain, or less than all of meninges.
05	Head and Neck (NOS)	The treatment volume is directed at a primary tumor o the oropharyngeal complex, usually encompassing regional lymph nodes.
06	Head and Neck (limited)	Limited volume treatment of a head and neck primary with the exception of glottis (code 8), sinuses (code 9), or parotid (code 10).
07	Glottis	Treatment is limited to a volume in the immediate neighborhood of the vocal cords.
08	Sinuses	The primary target is one or both of the maxillary sinuses or the ethmoidal frontal sinuses. In some cases, the adjacent lymph node regions may be irradiated.
09	Parotid	The primary target is one of the parotid glands. There may be secondary regional lymph node irradiation as well.

10	Chest/lung (NOS)	Radiation therapy is directed to some combination of hilar, mediastinal, and/or supraclavicular lymph nodes, and/or peripheral lung structures.
11	Lung (limited)	Radiation therapy is directed at one region of the lung without nodal irradiation.
12	Esophagus	The primary target is some portion of the esophagus. Regional lymph nodes may or may not be included in the treatment. Include tumors of the gastroesophageal junction.
13	Stomach	The primary malignancy is in the stomach. Radiation is directed to the stomach and possibly adjacent lymph nodes.
14	Liver	The primary target is all or a portion of the liver, for either primary or metastatic disease.
15	Pancreas	The primary tumor is in the pancreas. The treatment field encompasses the pancreas and possibly adjacent lymph node regions.
16	Kidney	The target is primary or metastatic disease in the kidney or the kidney bed after resection of a primary kidney tumor. Adjacent lymph node regions may be included in the field. Include all treatment of abdominal contents that do not fit codes 12-16.
17	Abdomen (NOS)	The primary target is the intact breast and no attempt has been made to irradiate the regional lymph nodes.
18	Breast	A deliberate attempt has been made to include regional lymph nodes in the treatment of an intact breast.
19	Breast/lymph nodes	Treatment encompasses the chest wall (following mastectomy).
20	Chest wall	Treatment encompasses the chest wall (following mastectomy) plus fields directed at regional lymph nodes.
21	Chest wall/lymph nodes	Treatment consists of a large radiation field designed to encompass all of the regional lymph nodes above the diaphragm, including cervical, supraclavicular axillary, mediastinal, and hilar nodes (mantel), or most of them (mini-mantle).
22	Mantle, Mini-mantle	This code is used exclusively for patients with Hodgkin's or non-Hodgkin's lymphoma.
23	Lower extended field	The target zone includes lymph nodes below the diaphragm along the paraaortic chain. It may include extension to one side of the pelvis.
		This code includes the 'hockey stick' field utilized to treat seminomas.
24	Spine	The primary target relates to the bones of the spine, including the sacrum.
25	Skull	Spinal cord malignancies should be coded 40 (Spinal cord). Treatment is directed at the bones of the skull. Any brain irradiation is a secondary consequence.

26	Ribs	Treatment is directed toward metastatic disease in one or more ribs. Fields may be tangential or direct.
27	Hip	The target includes the proximal femur for metastatic disease. In many cases there may be acetabular disease as well.
28	Pelvic bones	The target includes structures of the bones of the pelvis other than the hip or sacrum.
29	Pelvis (NOS)	Irradiation is directed at soft tissues within the pelvic region and codes 34-36 do not apply.
30	Skin	The primary malignancy originates in the skin and the skin is the primary target. So-called skin metastasis are usually subcutaneous and should be coded 31 (soft tissue).
31	Soft tissue	All treatment of primary or metastatic soft tissue malignancies not fitting other categories.
32	Hemibody	A single treatment volume encompassing either all structures above the diaphragm, or all structures below the diaphragm.  This is almost always administered for palliation of widespread bone metastasis in patients with prostate or breast cancer.
33	Whole body	Entire body included in a single treatment.
34	Bladder and pelvis	The primary malignancy originated in the bladder, all or most of the pelvis is treated as part of the plan, typically with a boost to the bladder.
35	Prostate and pelvis	The primary malignancy originated in the prostate, all or most of the pelvis is treated as part of the plan, typically with a boost to the prostate.
36	Uterus and cervix	Treatment is confined to the uterus and cervix or vaginal cuff, usually by intracavitary or interstitial technique. If entire pelvis is included in a portion of the treatment, then code 29 (Pelvis, NOS).
37	Shoulder	Treatment is directed to the proximal humerus, scapula, clavicle, or other components of the shoulder complex. This is usually administered for control of symptoms for metastasis.
38	Extremity bone, NOS	Bones of the arms or legs. This excludes the proximal femur, code 27 (Hip).
39	Inverted Y	This excludes the proximal humerus, code 37 (Shoulder). Treatment has been given to a field that encompasses the paraaortic and bilateral inguinal or inguinofemoral lymph nodes in a single port.
40	Spinal Cord	Treatment is directed at the spinal cord or its meninges.
41	Prostate	Treatment is directed at the prostate with or without the seminal vesicles, without regional lymph node treatment.

50	Thyroid	Treatment is directed at the thyroid gland.
60	Lymph node region, NOS	The target is a group of lymph nodes not listed above. Examples include isolated treatment of a cervical, supraclavicular, or inguinofemoral region.
98	Other	Radiation therapy administered, treatment volume other than those previously categorized.
99	Unknown	Radiation therapy administered, treatment volume unknown or not stated in patient record; it is unknown if radiation therapy was administered.

**Examples:**Code    Reason

- 01    Lymphoma of the orbit treated with 4 cm x 4 cm portals.
- 02    Pituitary adenomas receiving small opposed field or rotational treatment.
- 03    The entire brain is treated for metastatic disease.

**50320 - Regional Treatment Modality**

Field Length: 2

**Description**

Records the dominant modality of radiation therapy used to deliver the most clinically significant regional dose to the primary volume of interest during the first course of treatment. It is an optional field and it is only required for data entry to ACoS flagged hospitals.

**Rationale**

Radiation treatment is frequently delivered in two or more phases which can be summarized as "regional" and "boost" treatments. To evaluate patterns of radiation oncology care, it is necessary to know which radiation resources were employed in the delivery of therapy. For outcomes analysis, the modalities used for each of these phases can be very important.

**Instructions for Coding**

- Radiation treatment modality will typically be found in the radiation oncologist's summary letter for the first course of treatment. Segregation of treatment components into regional and boost and determination of the respective treatment modality may require assistance from the radiation oncologist to ensure consistent coding.
- In the event multiple radiation therapy modalities were employed in the treatment of the patient, record only the dominant modality.
- Note that in some circumstances the boost treatment may precede the regional treatment.
- For purposes of this data item, photons and x-rays are equivalent.

<u>Code</u>	<u>Label</u>	<u>Definition</u>
20	External beam, NOS	The treatment is known to be by external beam, but there is insufficient information to determine the specific modality.
21	Orthovoltage	External beam therapy administered using equipment with a maximum energy of less than one (1) million volts (MV). Orthovoltage energies are typically expressed in units of kilovolts (kV).
22	Cobalt-60, Cesium-137	External beam therapy using a machine containing either a Cobalt-60 or Cesium-137 source.
23	Photons (2-5 MV)	Intracavitary use of these sources is coded either 50 or 51. External beam therapy using a photon producing machine with a beam energy in the range of 2-5 MV.
24	Photons (6-10 MV)	External beam therapy using a photon producing machine with a beam energy in the range of 6-10 MV.
25	Photons (11-19 MV)	External beam therapy using a photon producing machine with a beam energy in the range of 11-19 MV.
26	Photons (>19 MV)	External beam therapy using a photon producing machine with a beam energy of more than 19 MV.

27	Photons (mixed energies)	External beam therapy using more than one energy over the course of treatment.
28	Electrons	Treatment delivered by electron beam.
29	Photons and electrons mixed	Treatment delivered using a combination of photon and electron beams.
30	Neutrons, with or without photons/electrons	Treatment delivered using neutron beam.
31	IMRT	Intensity modulated radiation therapy, an external beam technique that should be clearly stated in patient record.
32	Conformal or 3-D therapy	An external beam technique using multiple, fixed portals shaped to conform to a defined target volume. Should be clearly described as conformal or 3-D therapy in patient record.
40	Protons	Treatment delivered using proton therapy.
41	Stereotactic radiosurgery, NOS	Treatment delivered using stereotactic radiosurgery, type not specified in patient record.
42	Linac radiosurgery	Treatment categorized as using stereotactic technique delivered with a linear accelerator.
43	Gamma Knife	Treatment categorized as using stereotactic technique delivered using a Gamma Knife machine.
50	Brachytherapy, NOS	Brachytherapy, interstitial implants, molds, seeds, needles, or intracavitary applicators of radioactive materials not otherwise specified. Includes radioembolization.
51	Brachytherapy, Intracavitary, LDR	Intracavitary (no direct insertion into tissues) radio-isotope treatment using low dose rate applicators and isotopes (Cesium-137, Fletcher applicator).
52	Brachytherapy, Intracavitary, HDR	Intracavitary (no direct insertion into tissues) radio-isotope treatment using high dose rate after-loading applicators and isotopes.
53	Brachytherapy, Interstitial, LDR	Interstitial (direct insertion into tissues) radioisotope treatment using low dose rate sources.
54	Brachytherapy, Interstitial, HDR	Interstitial (direct insertion into tissues) radioisotope treatment using high dose rate sources.
55	Radium	Infrequently used for low dose rate (LDR) interstitial and intracavitary therapy.
60	Radioisotopes, NOD	Iodine-131, Phosphorus-32, etc.
61	Strontium-89	Treatment primarily by intravenous routes for bone metastases.
62	Strontium-90	Radiation therapy administered, but the treatment modality is not specified or is unknown.
98	Other, NOS	
99	Unknown	It is unknown whether radiation therapy was administered.

## **50330 - Regional Dose: cGy**

Field Length: 5

### **Description**

Records the dominant or most clinically significant total dose of regional radiation therapy delivered to the patient during the first course of treatment. The unit of measure is centigray (cGy). It is an optional field and it is only required for data entry to ACoS flagged hospitals.

### **Rationale**

To evaluate patterns of radiation oncology care, it is necessary to capture information describing the prescribed regional radiation dose. Outcomes are strongly related to the dose delivered.

### **Instructions for Coding**

- The International Council for Radiation Protection (ICRP) recommends recording doses at the axis point where applicable (opposed fields, four field box, wedged pair, and so on). For maximum consistency in this data item, the ICRP recommendations should be followed whenever possible. Where there is no clear axis point, record the dose as indicated in the summary chart. Determining the exact dose may be highly subjective and require assistance from the radiation oncologist for consistent coding.
- Regional dose will typically be found in the radiation oncologist's summary letter for the first course of treatment. Determination of the total dose of regional therapy may require assistance from the radiation oncologist for consistent coding.
- Do not include the boost dose, if one was administered.
- Code 88888 when brachytherapy or radioisotopes - codes 50-62 for Regional Treatment Modality - were administered to the patient.
- Note that dose is still occasionally specified in "rads." One rad is equivalent to one centigray (cGy).

<u>Code</u>	<u>Definition</u>
(fill spaces)	Record the actual regional dose delivered.
88888	Not applicable, brachytherapy or radioisotopes administered to the patient.
99999	Regional radiation therapy was administered, but the dose is unknown; it is unknown whether radiation therapy was administered.

## **50340 - Boost Treatment Modality**

Field Length: 2

### **Description**

Records the dominant modality of radiation therapy used to deliver the most clinically significant boost dose to the primary volume of interest during the first course of treatment. This is accomplished with external beam fields of reduced size (relative to the regional treatment fields), implants, stereotactic radiosurgery, conformal therapy, or IMRT. External beam boosts may consist of two or more successive phases with progressively smaller fields generally coded as a single entity. It is an optional field and it is only required for data entry to ACoS flagged hospitals.

### **Rationale**

Radiation treatment is frequently delivered in two or more phases which can be summarized as "regional" and "boost" treatments. To evaluate patterns of radiation oncology care, it is necessary to know which radiation resources were employed in the delivery of therapy. For outcomes analysis, the modalities used for each of these phases can be very important.

### **Instructions for Coding**

- Radiation boost treatment modalities will typically be found in the radiation oncologist's summary letter for the first course of treatment. Segregation of treatment components into regional and boost and determination of the respective treatment modality may require assistance from the radiation oncologist to ensure consistent coding.
- In the event that multiple radiation therapy boost modalities were employed during the treatment of the patient, record only the dominant modality.
- Note that in some circumstances, the boost treatment may precede the regional treatment.
- For purposes of this field, photons and x-rays are equivalent.

<u>Code</u>	<u>Label</u>	<u>Definition</u>
00	No boost treatment	A boost dose was not administered to the patient.
20	External beam, NOS	The treatment is known to be by external beam, but there is insufficient information to determine the specific modality.
21	Orthovoltage	External beam therapy administered using equipment with a maximum energy of less than one (1) million volts (MV). Orthovoltage energies are typically expressed in units of kilovolts (kV).
22	Cobalt-60, Cesium-137	External beam therapy using a machine containing either a Cobalt-60 or Cesium-137 source. Intracavitary use of these sources is coded either 50 or 51.
23	Photons (2-5 MV)	External beam therapy using a photon producing machine with a beam energy in the range of 2-5 MV.
24	Photons (6-10 MV)	External beam therapy using a photon producing machine with a beam energy in the range of 6-10 MV.

25	Photons (11-19 MV)	External beam therapy using a photon producing machine with a beam energy in the range of 11-19 MV.
26	Photons (>19 MV)	External beam therapy using a photon producing machine with a beam energy of more than 19 MV.
27	Photons (mixed energies)	External beam therapy using more than one energy over the course of treatment.
28	Electrons	Treatment delivered by electron beam.
29	Photons and electrons mixed	Treatment delivered using a combination of photon and electron beams.
30	Neutrons, with or without photons/electrons	Treatment delivered using neutron beam.
31	IMRT	Intensity modulated radiation therapy, an external beam technique that should be clearly stated in patient record.
32	Conformal or 3-D therapy	An external beam technique using multiple, fixed portals shaped to conform to a defined target volume. Should be clearly described as conformal or 3-D therapy in patient record.
40	Protons	Treatment delivered using proton therapy.
41	Stereotactic radiosurgery, NOS	Treatment delivered using stereotactic radiosurgery, type not specified in patient record.
42	LINAC radiosurgery	Treatment categorized as using stereotactic technique delivered with a linear accelerator.
43	Gamma Knife	Treatment categorized as using stereotactic technique delivered using a Gamma Knife machine.
50	Brachytherapy, NOS	Brachytherapy, interstitial implants, molds, seeds, needles, or intracavitary applicators of radioactive materials not otherwise specified.
51	Brachytherapy, Intracavitary, LDR	Intracavitary (no direct insertion into tissues) radioisotope treatment using low dose rate applicators and isotopes (Cesium-137, Fletcher applicator).
52	Brachytherapy, Intracavitary, HDR	Intracavitary (no direct insertion into tissues) radioisotope treatment using high dose rate after-loading applicators and isotopes.
53	Brachytherapy, Interstitial, LDR	Interstitial (direct insertion into tissues) radioisotope treatment using low dose rate sources.
54	Brachytherapy, Interstitial, HDR	Interstitial (direct insertion into tissues) radioisotope treatment using high dose rate sources.
55	Radium Infrequently used for low dose rate (LDR) interstitial and intracavitary therapy.	
60	Radioisotopes, NOD	Iodine-131, Phosphorus-32, etc.
61	Strontium-89	Treatment primarily by intravenous routes for bone metastases.
62	Strontium-90	
98	Other	
98	Other, NOS	Radiation therapy administered, but the treatment modality is not specified or is unknown.

99      Unknown

It is unknown whether radiation therapy was administered.

## **50350 - Boost Dose: cGy**

Field Length: 5

### **Description**

Records the additional dose delivered to that part of the treatment volume encompassed by the boost fields or devices. The unit of measure is centiGray (cGy). It is an optional field and it is only required for data entry to ACoS flagged hospitals.

### **Rationale**

To evaluate patterns of radiation oncology care, it is necessary to capture information describing the prescribed boost radiation dose. Outcomes are strongly related to the dose delivered.

### **Instructions for Coding**

- The International Council for Radiation (ICRP) recommends recording doses at the axis point where applicable (opposed fields, four field box, wedged pair, and so on). For maximum consistency in this data item, the ICRP recommendations should be followed whenever possible. Where there is no clear axis point, record the dose as indicated in the summary chart. Consult the radiation oncologist for the exact dose, if necessary.
- Radiation boost treatment will typically be found in the radiation oncologist's summary letter for the first course of treatment. Determination of the additional boost dose of radiation therapy may require assistance from the radiation oncologist for consistent coding.
- Do not include the regional dose. In general, the boost dose will be calculated as the difference between the maximum prescribed dose and the regional dose. Many patients will not have a boost.
- Code 88888 when brachytherapy or radioisotopes - codes 50-62 for Boost Treatment Modality - were administered to the patient.
- Note that dose is still occasionally specified in "rads" One rad is equivalent to one centiGray (cGy).

<u>Code</u>	<u>Definition</u>
(fill spaces)	Record the actual boost dose delivered.
00000	Boost dose therapy was not administered.
88888	Not applicable, brachytherapy or radioisotopes administered to the patient.
99999	Boost radiation therapy was administered, but the dose is unknown.

**50360 - Number of Treatments To This Volume**

Field Length: 2

**Description**

Records the total number of treatment sessions (fractions) administered during the first course of treatment. It is an optional field and it is only required for data entry to ACoS flagged hospitals.

**Rationale**

This data item is used to evaluate patterns of radiation therapy and the treatment schedules.

**Instructions for Coding**

- The number of treatments or fractions will typically be found in the radiation oncologist's summary letter for the first course of treatment. Determination of the exact number of treatments or fractions delivered to the patient may require assistance from the radiation oncologist for consistent coding.
- Although a treatment session may include several treatment portals delivered within relatively confined period of time - usually a few minutes - it is still considered one session.
- The total number of treatment sessions (fractions) is the sum of the number of fractions of regional treatment and the number of fractions of boost treatment.

<u>Code</u>	<u>Label</u>	<u>Definition</u>
01-98	Number of treatments	Total number of treatment sessions administered to the patient.
99	Unknown	Radiation therapy was administered, but the number of treatments is unknown. Or, it is unknown whether radiation therapy was administered.

**Examples:**

<u>Code</u>	<u>Reason</u>
25	A patient with breast carcinoma had treatment sessions in which treatment was delivered to the chest wall and separately to the ipsilateral supraclavicular region for a total of three treatment portals. Twenty-five treatment sessions were given. Record 25 treatments.
35	A patient with Stage IIIB bronchogenic carcinoma received 25 treatments to the left hilum and mediastinum, given in 25 daily treatments over five weeks. A left hilar boost was then given in 10 additional treatments. Record 35 treatments.
50	A patient with advanced head and neck cancer was treated using "r;hyperfractionation." Three fields were delivered in each session, two sessions were given each day, six hours apart, with each session delivering a total dose of 150 cGy. Treatment was given for a total of 25 days. Record 50 treatments.

## **50370 - Date Radiation Ended**

Field Length: 8

### **Description**

The date on which the patient completes or received the last radiation treatment at any facility. It is an optional field and it is only required for data entry to ACoS flagged hospitals.

### **Rationale**

The length of time over which radiation therapy is administered to a patient is a factor in tumor control and treatment morbidity. It is useful to evaluate the quality of care and the success of patient support programs designed to maintain continuity of treatment.

### **Instructions for Coding**

The date when treatment ended will typically be found in the radiation oncologist's summary letter for the first course of treatment.

<u>Code</u>	<u>Definition</u>
MMDDCCYY	The month, day, and year (MMDDCCYY) radiation therapy ended at any facility. The first two digits are the month, the third and fourth digits are the day, and the last four digits are the year.
88888888	When radiation was administered and was still ongoing at the time of most recent follow-up. The date should be revised at the next follow-up.
99999999	When it is unknown whether any radiation therapy was administered, the date is unknown, or the case was identified by death certificate only.

**50380 - Treatment Notes/Agents**

Field Length: 3360

This field is available with each of the therapy types: surgery, radiation, chemotherapy, etc. It is an optional text field in which you may wish to record notes about a specific therapeutic occurrence or regimen. For chemotherapy, hormone and immunotherapy, enter the names or abbreviations (separated by a comma) of the treatment agents used. A list of names and accepted abbreviations is available in SEER Rx and [Appendix H](#). A list of common abbreviations for combination regimens of therapy is also included in SEER Rx and Appendix H.

Use this field to code 'PALL' for palliative surgery, radiation, or chemotherapy.

**50390 - LAST MODIFICATION BY**

Field Length: 8

The user name of the last individual to modify therapy data is automatically recorded in this field and is updated each time the record is edited.

**50400 - LAST MODIFICATION TIME**

Field Length: 19

The date and time that therapy data was last modified is automatically recorded in this field and is updated each time the record is edited.



## **NAACCR Tx**

### **60030 - Rx Hosp--Surg Prim Site**

Field Length: 2

This is a calculated field which records the most invasive surgical procedure at the primary site which was performed at the reporting facility.

#### **Codes**

- 00 No surgical procedure of primary site. Autopsy only.
- 10-19 Site-specific codes. Tumor destruction; no pathologic specimen produced.
- 20-80 Site-specific codes. Resection. Path specimen produced.
- 90 Surgery, NOS.
- 98 Site-specific codes. Special
- 99 Unknown. Death certificate only.

**60040 - Rx Hosp--Scope Reg LN Sur**

Field Length: 1

Calculated field which records the removal, biopsy, or aspiration of regional lymph node(s) at the reporting facility. If multiple lymph node procedures were performed, the highest code predominates.

**Codes**

- 0 No regional lymph nodes removed
- 1 Biopsy or aspiration of regional lymph node, NOS
- 2 Sentinel lymph node biopsy
- 3 Number of regional lymph nodes removed unknown, not stated; regional lymph nodes removed, NOS
- 4 1 to 3 regional lymph nodes removed
- 5 4 or more regional lymph nodes removed
- 6 Sentinel node biopsy and code 3, 4, or 5 at the same time or time not stated
- 7 Sentinel node biopsy and code 3, 4, or 5 at different times
- 9 Unknown or not applicable

**60050 - Rx Hosp--Surg Oth Reg/Dis**

Field Length: 1

This calculated field records the surgical removal of distant lymph nodes or other tissue(s)/organ(s) at the reporting facility. If multiple procedures to other sites were performed, the highest code (excluding 9) is recorded.

**Codes**

- 0     None. Diagnosed at autopsy.
- 1     Non-primary surgical resection to other site(s), unknown if regional or distant.
- 2     Resection of regional site.
- 3     Resection of distant lymph node(s).
- 4     Resection of distant site.
- 5     Any combination of codes 2, 3, or 4
- 9     Unknown or death certificate only.

**60060 - Rx Hosp--Reg LN Removed**

Field Length: 2

This field only applies to cases diagnosed prior to January 1, 2003. It is a calculated field which describes the number of regional lymph nodes removed as part of first course treatment at the reporting facility.

**Codes**

- 00 No regional lymph nodes removed
- 01-89 One to 89 regional lymph nodes removed
- 90 Ninety or more regional lymph nodes removed
- 95 No regional lymph node(s) removed, but aspiration of regional lymph node(s) was performed
- 96 Regional lymph node removal documented as sampling and number of lymph nodes unknown/not stated
- 97 Regional lymph node removal documented as a dissection and number of lymph nodes unknown/not stated
- 98 Regional lymph nodes surgically removed, but number of lymph nodes unknown/not stated and not documented as sampling or dissection
- 99 Unknown; not stated; death certificate only

**60070 - Rx Hosp--Radiation**

Field Length: 1

This field only applies to cases diagnosed prior to January 1, 2003. It is a calculated field which specifies the type of radiation therapy the patient received as part of the initial treatment at the reporting facility.

**Codes**

- |   |   |
|---|---|
| 0 | None                                      |
| 1 | Beam Radiation                            |
| 2 | Radioactive implants                      |
| 3 | Radioisotopes                             |
| 4 | Combination of 1 with 2 or 3              |
| 5 | Radiation, NOS                            |
| 9 | Unknown if radiation therapy administered |

**60080 - Rx Hosp--Chemo**

Field Length: 2

This is a calculated field which specifies the type of chemotherapy the patient received as part of their initial treatment at the reporting facility. If chemotherapy was not administered, this item records the reason.

**Codes**

- 00 None, chemotherapy was not part of the planned first course of therapy. Diagnosed at autopsy.
- 01 Chemotherapy administered as part of first course therapy, but the type and number of agents is not documented.
- 02 Single-agent chemotherapy administered as first course therapy.
- 03 Multi-agent chemotherapy administered as first course therapy.
- 82 Chemotherapy was not recommended/administered because it was contraindicated due to patient risk factors.
- 85 Chemotherapy was not administered because the patient died prior to planned or recommended therapy.
- 86 Chemotherapy was not administered. It was recommended by the patient's physician, but was not administered as part of the first course of therapy. No reason was stated in the patient record.
- 87 Chemotherapy was not administered. It was recommended by the patient's physician, but this treatment was refused by the patient, a patient's family member, or the patient's guardian. The refusal was noted in the patient record.
- 88 Chemotherapy was recommended, but it is unknown if it was administered.
- 99 It is unknown whether chemotherapy was recommended or administered because it is not stated in the patient record. Death certificate only.

**60090 - Rx Hosp--Hormone**

Field Length: 2

This is a calculated field which records whether systemic hormonal agents were administered as first course treatment at the reporting facility, or records the reason they were not given.

**Codes**

- 00 None, hormone therapy was not administered as part of first course treatment.  
Diagnosed at autopsy.
- 01 Hormone therapy was given as first course therapy.
- 82 Hormone therapy was not recommended/administered because it was contraindicated due to patient risk factors.
- 85 Hormone therapy was not administered because the patient died prior to planned or recommended therapy.
- 86 Hormone therapy was not administered. It was recommended by the patient's physician, but was not administered as part of first course therapy. No reason was stated in the patient record.
- 87 Hormone therapy was not administered. It was recommended by the patient's physician, but treatment was refused by the patient, a patient's family member, or the patient's guardian. The refusal was noted in the patient record.
- 88 Hormone therapy was recommended, but it is unknown if it was administered.
- 99 It is unknown whether hormone therapy was recommended or administered because it is not stated in the patient record. Death certificate only.

**60100 - Rx Hosp--BRM**

Field Length: 2

This is a calculated field which records whether immunotherapeutic agents (biologic response modifiers) were administered as first course treatment at the reporting facility, or records the reason they were not given.

**Codes**

- 00     None, immunotherapy was not administered as part of first course treatment.  
          Diagnosed at autopsy.
- 01     Immunotherapy was given as first course therapy.
- 82     Immunotherapy was not recommended/administered because it was contraindicated due to patient risk factors.
- 85     Immunotherapy was not administered because the patient died prior to planned or recommended therapy.
- 86     Immunotherapy was not administered. It was recommended by the patient's physician, but was not administered as part of first course therapy. No reason was stated in the patient record.
- 87     Immunotherapy was not administered. It was recommended by the patient's physician, but treatment was refused by the patient, a patient's family member, or the patient's guardian. The refusal was noted in the patient record.
- 88     Immunotherapy was recommended, but it is unknown if it was administered.
- 99     It is unknown whether immunotherapy was recommended or administered because it is not stated in the patient record. Death certificate only.

**60110 - Rx Hosp--Other**

Field Length: 1

This is a calculated field which identifies other treatment given at the reporting facility that cannot be defined as surgery, radiation, or systemic therapy, or records the reason it was not given.

**Codes**

- 0 None. All cancer treatment was coded in other treatment fields. Diagnosed at autopsy.
- 1 Cancer treatment that cannot be assigned to other fields was given. Use this code for treatment unique to hematopoietic diseases.
- 2 Patient received treatment as part of an institution based clinical trial.
- 3 Patient received treatment as part of a double-blind clinical trial. Code the treatment actually administered when the double-blind code is broken.
- 6 Cancer treatments administered by nonmedical personnel.
- 7 Other treatment was not administered. It was recommended by the patient's physician, but was refused by the patient, a patient's family member, or the patient's guardian. The refusal was noted in the patient record.
- 8 Other treatment was recommended, but it is unknown whether it was administered.
- 9 It is unknown whether other treatment was recommended or administered because it is not stated in the patient record. Death certificate only.

**60120 - Rx Hosp--Dx/Stg Proc**

Field Length: 2

This is a calculated field which identifies surgical procedure(s) performed at the reporting facility in order to diagnose and/or stage disease.

**Codes**

- 00 No surgical diagnostic or staging procedure was performed.
- 01 A biopsy (incisional, needle, or aspiration) was done to a site other than the primary site.  
No exploratory procedure was done.
- 02 A biopsy (incisional, needle, or aspiration) was done to the primary site; or biopsy or removal of a lymph node to diagnose or stage lymphoma.
- 03 A surgical exploration only. The patient was not biopsied or treated.
- 04 A surgical procedure with a bypass was performed, but no biopsy was done.
- 05 An exploratory procedure was performed, and a biopsy of either the primary site or another site was done.
- 06 A bypass procedure was performed, and a biopsy of either the primary site or another site was done.
- 07 A procedure was done, but the type of procedure is unknown.
- 09 No information regarding whether a diagnostic or staging procedure was performed.

**60130 - Rx Hosp--Palliative Proc**

Field Length: 1

This is a calculated field which identifies care provided at the reporting facility in an effort to palliate or alleviate symptoms. Palliative procedures are performed to relieve symptoms and may include surgery, radiation therapy, systemic therapy, and/or pain management therapy.

**Codes**

- 0 No palliative care provided. Diagnosed at autopsy.
- 1 Surgery (which may involve a bypass procedure) to alleviate symptoms, but no attempt to diagnose, stage, or treat the primary tumor is made.
- 2 Radiation therapy to alleviate symptoms, but no attempt to diagnose, stage, or treat the primary tumor is made.
- 3 Chemotherapy, hormone therapy, or other systemic drugs to alleviate symptoms, but no attempt to diagnose, stage, or treat the primary tumor is made.
- 4 Patient received or was referred for pain management therapy with no other palliative care.
- 5 Any combination of codes 1, 2, and/or 3 without code 4.
- 6 Any combination of codes 1, 2, and/or 3 with code 4.
- 7 Palliative care was performed or referred, but no information on the type of procedure is available in patient record. Palliative care was provided that does not fit the descriptions in codes 1-6.
- 9 It is unknown if palliative care was performed or referred; not stated in patient record.

**60140 - Rx Hosp--Surg Site 98-02**

Field Length: 2

This field only applies to cases diagnosed prior to January 1, 2003. It is a calculated field which describes the most invasive surgical procedure to the primary site performed at the reporting facility. Site specific surgery codes are taken from the ROADS Manual.

**Special codes**

- 00 No cancer directed surgery performed
- 99 Unknown if cancer directed surgery performed

**60150 - Rx Hosp--Scope Reg 98-02**

Field Length: 1

This field only applies to cases diagnosed prior to January 1, 2003. It is a calculated field which describes the removal, biopsy, or aspiration of regional lymph nodes(s) at the reporting facility. Site specific surgery codes are taken from the ROADS Manual.

**60160 - Rx Hosp--Surg Oth 98-02**

Field Length: 1

This field only applies to cases diagnosed prior to January 1, 2003. It is a calculated field which records the surgical removal of distant lymph nodes or other tissue(s)/organ(s) beyond the primary site at the reporting facility. Site specific surgery codes are taken from the ROADS Manual.

**60170 - Rx Date--Surgery**

Field Length: 8

This is a calculated field which records the date the first surgery described in Surgery of Primary Site, Scope of Regional Lymph Node Surgery, or Surgery of Other Regional/Distant Sites was performed.

**Special Codes**

- 00000000 No surgical procedures performed; autopsy only  
99999999 Unknown if any surgical procedures were performed, date of surgical procedure is unknown, or death certificate only

**60180 - Rx Date--Most Defin Surg**

Field Length: 8

This is a calculated field which records the date of the most definitive surgical resection of the primary site as part of the first course of treatment.

**Special codes**

00000000 No surgical resection of the primary site. Diagnosed at autopsy.

99999999 Unknown if any surgical procedure of primary site was performed, or date of surgery at primary site is unknown. Death certificate only.

**60190 - Rx Date--Surgical Disch**

Field Length: 8

This is a calculated field which records the date the patient was discharged following the most definitive primary site surgery.

**Special codes**

00000000 No surgical treatment of the primary site was performed. Diagnosed at autopsy.  
99999999 Unknown whether surgical treatment was performed, date of surgery unknown, or death certificate only.

**60200 - Rx Date--Radiation**

Field Length: 8

This is a calculated field which records the date on which radiation therapy began at any facility as part of the first course of treatment.

**Special Codes**

00000000 No radiation therapy administered; autopsy only cases.

88888888 Radiation therapy was planned as part of the first course of therapy, but has not yet been administered.

99999999 Unknown if radiation therapy was administered; date of radiation unknown; death certificate only cases.

**60210 - Rx Date--Radiation Ended**

Field Length: 8

This is a calculated field which records the date on which the patient completes or receives the last radiation treatment at any facility.

**Special Codes**

00000000 Radiation therapy was not administered; autopsy only

88888888 Radiation was administered and is ongoing

99999999 Unknown if radiation therapy was administered, or the date radiation ended is unknown. Death certificate only.

**60220 - Rx Date--Systemic**

Field Length: 8

This is a calculated field which records the date of initiation of systemic therapy as part of the first course of treatment. Systemic therapy includes the administration of chemotherapy agents, hormone agents, biologic response modifiers, bone marrow transplants, stem cell harvests, and surgical and/or radiation endocrine therapy.

**Special Codes**

00000000 No systemic therapy administered; autopsy only cases.

88888888 Systemic therapy was planned as part of the first course of therapy, but has not yet been administered.

99999999 Unknown if systemic therapy was administered; date of systemic therapy unknown; death certificate only cases.

**60230 - Rx Date--Chemo**

Field Length: 8

This is a calculated field which records the date of initiation of chemotherapy at any facility as part of the first course of treatment.

**Special Codes**

00000000 No chemotherapy administered; autopsy only cases.

99999999 Unknown if chemotherapy was administered; date of chemotherapy unknown; death certificate only cases.

**60240 - Rx Date--Hormone**

Field Length: 8

This is a calculated field which records the date of initiation of hormone therapy at any facility as part of the first course of treatment.

**Special Codes**

00000000 No hormone therapy administered; autopsy only cases.

99999999 Unknown if hormone therapy was administered; date of hormone therapy unknown; death certificate only cases.

**60250 - Rx Date--BRM**

Field Length: 8

This is a calculated field which records the date of initiation of immunotherapy at any facility as part of the first course of treatment.

**Special Codes**

00000000 No immunotherapy administered; autopsy only cases.

99999999 Unknown if immunotherapy was administered; date of immunotherapy unknown; death certificate only cases.

**60260 - Rx Date--Other**

Field Length: 8

This is a calculated field which records the date of initiation of other treatment at any facility as part of the first course of treatment.

**Special Codes**

00000000 No other treatment administered; autopsy only cases.

99999999 Unknown if other treatment was administered; date of other treatment unknown; death certificate only cases.

**60270 - Rx Date--Date of Initial Rx SEER**

Field Length: 8

This is a calculated field which records the initiation of the first course of therapy. This is the start date of any type of treatment for cancer. Treatment may be given in a hospital or non-hospital setting. The third and fourth digits (day) are re-coded to 99 when the data are transmitted to SEER.

**Special Codes**

00000000 No cancer-directed therapy

99999999 Unknown if therapy administered, or unknown date of therapy

**60280 - Rx Date--Date of 1st Crs Rx COC**

Field Length: 8

This is a calculated field which records the date on which treatment began at any facility, using the CoC definition of first course. The date of first treatment includes the date a decision was made not to treat the patient.

**Special Codes**

00000000 Diagnosed at autopsy

99999999 Unknown if any treatment was administered, treatment date unknown, or death certificate only

**60290 - Rx Date--Dx/Stg Proc**

Field Length: 8

This is a calculated field which records the date on which the first surgical diagnostic and/or staging procedure was performed at any facility.

**Special codes**

00000000 No diagnostic or staging procedure performed; autopsy only cases

99999999 Unknown if diagnostic or staging procedure performed, or date of procedure unknown; death certificate only

**60300 - Rx Summ--Surg Prim Site**

Field Length: 2

This is a calculated field which records the code for the most definitive site specific surgery performed as first course of treatment at any facility.

**Codes**

- 00 No surgical procedure of primary site. Diagnosed at autopsy.
- 10-19 Tumor destruction, no pathologic specimen produced.
- 20-80 Tumor resection.
- 90 Surgery, NOS
- 98 Special code.
- 99 Unknown if surgery at primary site. Death certificate only.

**60310 - Rx Summ--Scope Reg LN Sur**

Field Length: 1

This is a calculated field which describes the removal, biopsy, or aspiration of regional lymph nodes(s) at any facility. These codes are hierarchical and the numerically highest code (excluding 9) is recorded.

**Codes**

- 0 No regional lymph nodes removed
- 1 Biopsy or aspiration of regional lymph node, NOS
- 2 Sentinel lymph node biopsy
- 3 Number of regional lymph nodes removed unknown, not stated; regional lymph nodes removed, NOS
- 4 1 to 3 regional lymph nodes removed
- 5 4 or more regional lymph nodes removed
- 6 Sentinel node biopsy and code 3, 4, or 5 at the same time or time not stated
- 7 Sentinel node biopsy and code 3, 4, or 5 at different times
- 9 Unknown or not applicable

**60320 - Rx Summ--Surg Oth Reg/Dis**

Field Length: 1

This is a calculated field which records the surgical removal of distant lymph nodes or other tissue(s)/organ(s) beyond the primary site performed at any facility. These codes are hierarchical; if multiple procedures to distant lymph nodes or sites were performed, the highest code (excluding 9) predominates.

**Codes**

- 0      None. Diagnosed at autopsy.
- 1      Non-primary surgical resection to other site(s), unknown if regional or distant.
- 2      Resection of regional site.
- 3      Resection of distant lymph node(s).
- 4      Resection of distant site.
- 5      Any combination of codes 2, 3, or 4
- 9      Unknown or death certificate only.

**60330 - Rx Summ--Reg LN Examined**

Field Length: 2

This field applies to cases diagnosed prior to January 1, 2003. This is a calculated code which indicates the number of lymph nodes surgically examined.

**Codes**

- 00 No regional lymph nodes removed
- 01-89 One to 89 regional lymph nodes removed
- 90 Ninety or more regional lymph nodes removed
- 95 No regional lymph node(s) removed, but aspiration of regional lymph node(s) was performed
- 96 Regional lymph node removal documented as sampling and number of lymph nodes unknown/not stated
- 97 Regional lymph node removal documented as a dissection and number of lymph nodes unknown/not stated
- 98 Regional lymph nodes surgically removed, but number of lymph nodes unknown/not stated and not documented as sampling or dissection
- 99 Unknown; not stated; death certificate only

**60340 - Rx Summ--Surgical Approach**

Field Length: 1

This field only applies to cases diagnosed prior to January 1, 2003. This is a calculated field which records the method used to approach the surgical field for the primary site. These codes are site-specific and may be found in the ROADS Manual.

**60350 - Rx Summ--Surgical Margins**

Field Length: 1

This field only applies to cases diagnosed prior to January 1, 2003. This is a calculated field which records the final status of the surgical margins after resection of the primary tumor.

**Codes**

- 0 All margins are grossly and microscopically negative.
- 1 Involvement is indicated, but not otherwise specified.
- 2 Microscopic residual tumor.
- 3 Macroscopic residual tumor.
- 7 Cannot be assessed.
- 8 No surgical procedure of the primary site; diagnosed at autopsy.
- 9 Unknown or not applicable.

**60360 - Rx Summ--Reconstruct 1st**

Field Length: 1

This field only applies to cases diagnosed prior to January 1, 2003. It is a calculated field which records surgical procedures done to reconstruct, restore, or improve the shape and appearance or function of body structures that are missing, defective, damaged, or misshapen by cancer or cancer-directed therapies. These codes are site-specific and may be found in the ROADS Manual.

**60370 - Reason No Surg**

Field Length: 1

This is a calculated field which records the reason that no surgery was performed on the primary site.

**Codes**

- 0 Surgery of the primary site was performed.
- 1 Surgery of the primary site was not performed because it was not part of the planned first course treatment
- 2 Surgery of the primary site was not recommended/Performed because it was contraindicated due to patient risk factors
- 5 Surgery of the primary site was not performed because the patient died prior to planned or recommended surgery.
- 6 Surgery was recommended by the patient's physician, but was not performed. No reason was noted in the patient's record.
- 7 Surgery was recommended by the patient's physician, but was refused by the patient, patient's family member, or guardian. Refusal was noted in the patient record.
- 8 Surgery was recommended, but it is unknown if it was performed.
- 9 It is unknown if surgery was recommended or performed. Death certificate only cases.

**60380 - Rx Summ--Dx/Stg Proc**

Field length: 2

This is a calculated field which identifies the surgical procedure(s) performed at any facility in an effort to diagnose and/or stage disease.

**Codes**

- 00 No surgical diagnostic or staging procedure was performed.
- 01 A biopsy (incisional, needle, or aspiration) was done to a site other than the primary site.  
No exploratory procedure was done.
- 02 A biopsy (incisional, needle, or aspiration) was done to the primary site; or biopsy or removal of a lymph node to diagnose or stage lymphoma.
- 03 A surgical exploration only. The patient was not biopsied or treated.
- 04 A surgical procedure with a bypass was performed, but no biopsy was done.
- 05 An exploratory procedure was performed, and a biopsy of either the primary site or another site was done.
- 06 A bypass procedure was performed, and a biopsy of either the primary site or another site was done.
- 07 A procedure was done, but the type of procedure is unknown.
- 09 No information regarding whether a diagnostic or staging procedure was performed.

**60390 - Rx Summ--Palliative Proc**

Field Length: 1

This is a calculated field which identifies care provided at any facility in an effort to palliate or alleviate symptoms.

**Codes**

- 0 No palliative care provided. Diagnosed at autopsy.
- 1 Surgery (which may involve a bypass procedure) to alleviate symptoms, but no attempt to diagnose, stage, or treat the primary tumor is made.
- 2 Radiation therapy to alleviate symptoms, but no attempt to diagnose, stage, or treat the primary tumor is made.
- 3 Chemotherapy, hormone therapy, or other systemic drugs to alleviate symptoms, but no attempt to diagnose, stage, or treat the primary tumor is made.
- 4 Patient received or was referred for pain management therapy with no other palliative care.
- 5 Any combination of codes 1, 2, and/or 3 without code 4.
- 6 Any combination of codes 1, 2, and/or 3 with code 4.
- 7 Palliative care was performed or referred, but no information on the type of procedure is available in patient record. Palliative care was provided that does not fit the descriptions in codes 1-6.
- 9 It is unknown if palliative care was performed or referred; not stated in patient record.

**60400 - Rx Summ--Radiation**

Field Length: 1

This is a calculated field which records the type of radiation therapy given at any facility as part of the first course of treatment.

**Codes**

- 0 None
- 1 Beam radiation
- 2 Radioactive implants
- 3 Radioisotopes
- 4 Combination of 1 with 2 or 3
- 5 Radiation, NOS- method or source not specified
- 6 Historic cases (pre-1996)
- 7 Patient or patient's guardian refused
- 8 Radiation recommended, unknown if administered
- 9 Unknown if radiation therapy administered

**60410 - Rx Summ--Rad to CNS**

Field Length: 1

This field only applies to lung and leukemia cases diagnosed prior to 1996. It is a calculated field which records radiation given to the brain or central nervous system.

**Codes**

- 0     No radiation to the brain and/or CNS
- 1     Radiation
- 7     Patient or patient's guardian refused
- 8     Radiation recommended, unknown if administered
- 9     Unknown or not applicable

**60420 - Rx Summ--Surg/Rad Seq**

Field Length: 1

This is a calculated field which records the sequencing of radiation and surgery performed as part of first course of treatment. Surgery may be to the primary site, regional lymph nodes, or other site(s).

**Codes**

- 0     No radiation and/or no cancer-directed surgery
- 2     Radiation before surgery
- 3     Radiation after surgery
- 4     Radiation both before and after surgery
- 5     Intraoperative radiation
- 6     Intraoperative radiation with other radiation given before or after surgery
- 9     Both surgery and radiation given, but sequence unknown

**60430 - Rx Summ--Transplnt/Endocr**

Field Length: 2

This is a calculated field which identifies transplant and endocrine surgeries/radiation administered at any facility as part of the first course of treatment.

**Codes**

- 00 None; diagnosed at autopsy
- 10 Bone marrow transplant, type not specified
- 11 Bone marrow transplant, autologous
- 12 Bone marrow transplant, allogeneic
- 20 Stem cell harvest and infusion
- 30 Endocrine surgery and/or endocrine radiation therapy
- 40 Combination of endocrine surgery and/or radiation with a transplant procedure (code 30 plus 10, 11, 12, or 20)
- 82 Transplant and/or endocrine surgery/radiation not recommended/administered because it was contraindicated due to patient risk factors
- 85 Transplant and/or endocrine surgery/radiation not administered because the patient died prior to planned or recommended therapy
- 86 Transplant and/or endocrine surgery/radiation recommended by the patient's physician, but was not administered; no reason was stated in the patient record
- 87 Transplant and/or endocrine surgery/radiation recommended by the patient's physician, but refused by the patient, patient's family, or guardian; refusal noted in patient record
- 88 Transplant and/or endocrine surgery/radiation recommended, but it is unknown if it was administered
- 99 It is unknown whether transplant and/or endocrine surgery/radiation was recommended or administered; death certificate only cases

**60440 - Rx Summ--Chemo**

Field Length: 2

This is a calculated field which records chemotherapy given at any facility as part of the first course of treatment, or the reason chemotherapy was not given.

**Codes**

- 00 None, chemotherapy was not part of the planned first course of therapy. Diagnosed at autopsy.
- 01 Chemotherapy administered as part of first course therapy, but the type and number of agents is not documented.
- 02 Single-agent chemotherapy administered as first course therapy.
- 03 Multi-agent chemotherapy administered as first course therapy.
- 82 Chemotherapy was not recommended/administered because it was contraindicated due to patient risk factors.
- 85 Chemotherapy was not administered because the patient died prior to planned or recommended therapy.
- 86 Chemotherapy was not administered. It was recommended by the patient's physician, but was not administered as part of the first course of therapy. No reason was stated in the patient record.
- 87 Chemotherapy was not administered. It was recommended by the patient's physician, but this treatment was refused by the patient, a patient's family member, or the patient's guardian. The refusal was noted in the patient record.
- 88 Chemotherapy was recommended, but it is unknown if it was administered.
- 99 It is unknown whether chemotherapy was recommended or administered because it is not stated in the patient record. Death certificate only.

**60450 - Rx Summ--Hormone**

Field Length: 2

This is a calculated field which records whether systemic hormonal agents were administered at any facility as first course treatment, or the reason they were not given.

**Codes**

- 00 None, hormone therapy was not administered as part of first course treatment.  
Diagnosed at autopsy.
- 01 Hormone therapy was given as first course therapy.
- 82 Hormone therapy was not recommended/administered because it was contraindicated due to patient risk factors.
- 85 Hormone therapy was not administered because the patient died prior to planned or recommended therapy.
- 86 Hormone therapy was not administered. It was recommended by the patient's physician, but was not administered as part of first course therapy. No reason was stated in the patient record.
- 87 Hormone therapy was not administered. It was recommended by the patient's physician, but treatment was refused by the patient, a patient's family member, or the patient's guardian. The refusal was noted in the patient record.
- 88 Hormone therapy was recommended, but it is unknown if it was administered.
- 99 It is unknown whether hormone therapy was recommended or administered because it is not stated in the patient record. Death certificate only.

**60460 - Rx Summ--BRM**

Field Length: 2

This is a calculated field which records whether immunotherapeutic (biologic response modifiers) were administered at any facility as part of first course treatment, or the reason they were not given.

**Codes**

- 00     None, immunotherapy was not administered as part of first course treatment.  
          Diagnosed at autopsy.
- 01     Immunotherapy was given as first course therapy.
- 82     Immunotherapy was not recommended/administered because it was contraindicated due to patient risk factors.
- 85     Immunotherapy was not administered because the patient died prior to planned or recommended therapy.
- 86     Immunotherapy was not administered. It was recommended by the patient's physician, but was not administered as part of first course therapy. No reason was stated in the patient record.
- 87     Immunotherapy was not administered. It was recommended by the patient's physician, but treatment was refused by the patient, a patient's family member, or the patient's guardian. The refusal was noted in the patient record.
- 88     Immunotherapy was recommended, but it is unknown if it was administered.
- 99     It is unknown whether immunotherapy was recommended or administered because it is not stated in the patient record. Death certificate only.

**60470 - Rx Summ--Other**

Field Length: 1

This is a calculated field which identifies other treatment given at any facility that cannot be defined as surgery, radiation, or systemic therapy, or the reason such treatment was not administered.

**Codes**

- 0 None. All cancer treatment was coded in other treatment fields. Diagnosed at autopsy.
- 1 Cancer treatment that cannot be assigned to other fields was given. Use this code for treatment unique to hematopoietic diseases.
- 2 Patient received treatment as part of an institution based clinical trial.
- 3 Patient received treatment as part of a double-blind clinical trial. Code the treatment actually administered when the double-blind code is broken.
- 6 Cancer treatments administered by nonmedical personnel.
- 7 Other treatment was not administered. It was recommended by the patient's physician, but was refused by the patient, a patient's family member, or the patient's guardian. The refusal was noted in the patient record.
- 8 Other treatment was recommended, but it is unknown whether it was administered.
- 9 It is unknown whether other treatment was recommended or administered because it is not stated in the patient record. Death certificate only.

### **60480 - Reason No Radiation**

Field Length: 1

This is a calculated field which records the reason the patient did not receive radiation therapy as part of the first course of treatment.

#### **Codes**

- 0 Radiation therapy was administered.
- 1 Radiation therapy not administered because it was not part of the planned first course treatment.
- 2 Radiation therapy was not recommended/administered because it was contraindicated due to patient risk factors.
- 5 Radiation therapy was not administered because the patient died prior to planned or recommended treatment.
- 6 Radiation therapy was recommended by the patient's physician, but was not administered. No reason was noted in the patient's record.
- 7 Radiation therapy was recommended by the patient's physician, but was refused by the patient, patient's family member, or guardian. Refusal was noted in the patient record.
- 8 Radiation therapy was recommended, but it is unknown if it was administered.
- 9 It is unknown if radiation therapy was recommended or performed. Death certificate only cases.

**60490 - Rad--Regional Dose: CGY**

Field Length: 5

This is a calculated field which records the dominant or most clinically significant total dose of regional radiation therapy delivered to the patient during the first course of treatment. The unit of measure is centiGray (cGy).

**Special codes**

- 00000 Radiation therapy was not administered
- 88888 Brachytherapy or radioisotopes
- 99999 Radiation therapy administered, but dose unknown

**60500 - Rad--No of Treatment Vol**

Field Length: 2

This is a calculated field which records the actual number of treatment sessions (fractions) administered during the first course of therapy.

**Codes**

00	None
01-98	Number of treatments
99	Unknown

**60510 - Rad--Treatment Volume**

Field Length: 2

This is a calculated field which identifies the volume or anatomic target of the most clinically significant regional radiation therapy delivered to the patient during the first course of therapy.

**Codes**

- 00 Radiation therapy not given
- 01 Eye/orbit
- 02 Pituitary
- 03 Brain (NOS)
- 04 Brain (limited)
- 05 Head and neck (NOS)
- 06 Head and neck (limited)
- 07 Glottis
- 08 Sinuses
- 09 Parotid
- 10 Chest/lung (NOS)
- 11 Lung (limited)
- 12 Esophagus
- 13 Stomach
- 14 Liver
- 15 Pancreas
- 16 Kidney
- 17 Abdomen (NOS)
- 18 Breast
- 19 Breast/lymph nodes
- 20 Chest wall
- 21 Chest wall/lymph nodes
- 22 Mantle, mini-mantle
- 23 Lower extended field
- 24 Spine
- 25 Skull
- 26 Ribs
- 27 Hip
- 28 Pelvic bones
- 29 Pelvis (NOS)
- 30 Skin
- 31 Soft tissue
- 32 Hemibody
- 33 Whole body

- 34 Bladder and pelvis
- 35 Prostate and pelvis
- 36 Uterus and cervix
- 37 Shoulder
- 38 Extremities bone, NOS
- 39 Inverted Y
- 40 Spinal cord
- 41 Prostate
- 50 Thyroid
- 60 Lymph node region, NOS
- 98 Other volume
- 99 Unknown volume; unknown if radiation therapy given

**60520 - Rad--Location of Rx**

Field Length: 1

This is a calculated field which identifies the location of the facility where radiation treatment was administered during first course of treatment.

**Codes**

- 0 No radiation therapy; autopsy only
- 1 All radiation therapy at this facility
- 2 Regional treatment at this facility, boost elsewhere
- 3 Boost at this facility, regional elsewhere
- 4 All radiation therapy elsewhere
- 8 Other, NOS
- 9 Unknown

## **60530 - Rad--Regional Rx Modality**

Field Length: 2

This is a calculated field which records the dominant modality of radiation therapy used to deliver the clinically most significant regional dose to the primary volume of interest during the first course of treatment.

### **Codes**

- 00 No radiation therapy given
- 20 External beam, NOS
- 21 Orthovoltage
- 22 Cobalt-60, Cesium-137
- 23 Photons (2-5 MV)
- 24 Photons (6-10 MV)
- 25 Photons (11-19 MV)
- 26 Photons (>19 MV)
- 27 Photons (mixed energies)
- 28 Electrons
- 29 Photons and electrons mixed
- 30 Neutrons, with or w/o photons/electrons
- 31 IMRT
- 32 Conformational or 3-D therapy
- 40 Protons
- 41 Stereotactic radiosurgery, NOS
- 42 Linac radiosurgery
- 43 Gamma knife
- 50 Brachytherapy, NOS
- 51 Brachytherapy, intracavitary, low dose rate (LDR)
- 52 Brachytherapy, intracavitary, high dose rate (HDR)
- 53 Brachytherapy, interstitial, low dose rate (LDR)
- 54 Brachytherapy, interstitial, high does rate (HDR)
- 55 Radium
- 60 Radioisotopes, NOS
- 61 Strontium-89
- 62 Strontium-90
- 80 Combination modality, specified
- 85 Combination modality, NOS
- 98 Other, NOS
- 99 Unknown

**60540 - Rad--Boost Rx Modality**

Field Length: 2

This is a calculated field which records the dominant modality of radiation therapy used to deliver the most clinically significant boost dose to the primary volume of interest during the first course of treatment.

**Codes**

- 00 No boost treatment given
- 20 External beam, NOS
- 21 Orthovoltage
- 22 Cobalt-60, Cesium-137
- 23 Photons (2-5 MV)
- 24 Photons (6-10 MV)
- 25 Photons (11-19 MV)
- 26 Photons (>19 MV)
- 27 Photons (mixed energies)
- 28 Electrons
- 29 Photons and electrons mixed
- 30 Neutrons, with or w/o photons/electrons
- 31 IMRT
- 32 Conformational or 3-D therapy
- 40 Protons
- 41 Stereotactic radiosurgery, NOS
- 42 Linac radiosurgery
- 43 Gamma knife
- 50 Brachytherapy, NOS
- 51 Brachytherapy, intracavitary, low dose rate (LDR)
- 52 Brachytherapy, intracavitary, high dose rate (HDR)
- 53 Brachytherapy, interstitial, low dose rate (LDR)
- 54 Brachytherapy, interstitial, high does rate (HDR)
- 55 Radium
- 60 Radioisotopes, NOS
- 61 Strontium-89
- 62 Strontium-90
- 98 Other, NOS
- 99 Unknown

**60550 - Rad--Boost Dose CGY**

Field Length: 5

This is a calculated field which records the additional dose delivered to that part of the treatment volume encompassed by the boost fields or devices. The unit of measure is centiGray (cGy).

**Special codes**

- 00000 Boost radiation was not administered
- 88888 Brachytherapy or radioisotopes administered
- 99999 Boost radiation administered, dose unknown

**60560 - Rx Summ--Systemic Surg Seq**

Field Length: 1

This is a calculated field which records the sequencing of systemic therapy and surgical procedures given as part of the first course of treatment. Surgery may be to the primary site, regional lymph nodes, or other site(s).

**Codes**

- 0 No systemic therapy and/or no surgical procedure
- 2 Systemic therapy before surgery
- 3 Systemic therapy after surgery
- 4 Systemic therapy both before and after surgery
- 5 Intraoperative systemic therapy
- 6 Intraoperative systemic therapy with other therapy given before or after surgery
- 9 Both surgery and systemic therapy given, but sequence unknown

**60570 - Rx Summ--Surgery Type**

Field Length: 2

This is a calculated field which records site specific surgery codes for cases diagnosed prior to 1996.

**60580 - Readm Same Hosp 30 Days**

Field Length: 1

This is a calculated field which records a readmission to the same hospital within 30 days of discharge following hospitalization for surgical resection of the primary site.

**Codes**

- 0     No surgical procedure of the primary site was performed, or the patient was not readmitted to the same hospital within 30 days of discharge.
- 1     A patient was surgically treated and was readmitted to the same hospital within 30 days of being discharged. This readmission was unplanned.
- 2     A patient was surgically treated and was then readmitted to the same hospital within 30 days of being discharged. This readmission was planned (chemotherapy port insertion, revision of colostomy, etc.)
- 3     A patient was surgically treated and, within 30 days of being discharged, the patient had both a planned and an unplanned readmission to the same hospital.
- 9     It is unknown whether surgery of the primary site was recommended or performed. It is unknown whether the patient was readmitted to the same hospital within 30 days of discharge. Death certificate only.

**60590 - Rx Summ--Surg Site 98-02**

Field Length: 2

This field only applies to cases diagnosed prior to January 1, 2003. This is a calculated field which records the site-specific surgery code for the type of surgery to the primary site performed as part of the first course of treatment.

**Special codes**

- 00 No surgery to the primary site
- 99 Unknown if surgery performed

**60600 - Rx Summ--Scope Reg 98-02**

Field Length: 1

This field only applies to cases diagnosed prior to January 1, 2003. It is a calculated field which records the removal, biopsy, or aspiration of regional lymph node(s). See the ROADS Manual for site-specific codes.

**60610 - Rx Summ-- Surg Oth 98-02**

Field Length: 1

This field only applies to cases diagnosed prior to January 1, 2003. It is a calculated field which records the surgical removal of distant lymph node(s) or other tissue(s)/organ(s) beyond the primary site as part of the first course of treatment. See the ROADS Manual for site-specific codes.

## **Appendices**

### **APPENDIX A - MULTIPLE PRIMARY RULES FOR HEMATOLOGIC MALIGNANCIES**

**For the Multiple Primary Determination tables for hematologic malignancies diagnosed on or after January 1, 2001, click on the link below to go to the SEER web site:**

**[http://seer.cancer.gov/icd-o-3/hematopoietic\\_primaries.d03152001.pdf](http://seer.cancer.gov/icd-o-3/hematopoietic_primaries.d03152001.pdf)**

**For the Multiple Primary Determination tables for hematologic malignancies diagnosed before January 1, 2001, go to:**

**<http://www.seer.cancer.gov/manuals/codeman.pdf> and go to page 22.**

## APPENDIX B - ABBREVIATIONS FOR STATES AND PROVINCES OF CANADA

### **STATES**

AK Alaska  
AL Alabama  
AZ Arizona  
AR Arkansas  
CA California  
CO Colorado  
CT Connecticut  
DE Delaware  
DC District of Columbia  
FL Florida  
GA Georgia  
HI Hawaii  
ID Idaho  
IL Illinois  
IN Indiana  
IA Iowa  
KS Kansas  
KY Kentucky  
LA Louisiana  
ME Maine  
MD Maryland  
MA Massachusetts  
MI Michigan  
MN Minnesota  
MS Mississippi  
MO Missouri  
MT Montana  
NE Nebraska  
NV Nevada  
NH New Hampshire  
NJ New Jersey  
NM New Mexico  
NY New York

NC North Carolina

ND North Dakota

OH Ohio

OK Oklahoma

OR Oregon

PA Pennsylvania

RI Rhode Island

SC South Carolina

SD South Dakota

TN Tennessee

TX Texas

UT Utah

VT Vermont

VA Virginia

WA Washington

WV West Virginia

WI Wisconsin

WY Wyoming

### **U.S. TERRITORIES**

GU Guam

PR Puerto Rico

SA Samoa

VI Virgin Islands

### **PROVINCES OF CANADA**

AB Alberta

BC British Columbia

LB Labrador

MB Manitoba

NB New Brunswick

NF New Foundland

NT NW Territories

NS Nova Scotia

ON Ontario

## 2009 Abstractor's Manual

PE Prince Edward Isl.

PQ Quebec

SK Saskatchewan

YT Yukon

YY Unknown country outside

U.S., Canada, and

U.S. Territories

XX Known country outside

U.S., Canada, and

U.S. Territories

CD Canada, province unknown

US United States, state unknown

ZZ Unknown residence

**APPENDIX C - SITE GROUPS AND CORRESPONDING ICD-O CODES**

#	Site Group Name	Valid ICD-O Topography Codes	Valid ICD-O-3 Morphology Codes	Valid ICD-O-3 Behavior Codes
01	Lip	C00.0 - C00.9	any valid code EXCEPT lymphomas and melanomas & plasma cell tumors	2, 3
02	Tongue	C01.9 - C02.9	"	2, 3
03	Salivary Glands	C07.9, C08.0 - C08.9	"	2, 3
04	Gum & Hard Palate	C03.0 - C03.9, C05.0 C05.8, C05.9, C06.2	"	2, 3
05	Floor of Mouth	C04.0 - C04.9	"	2, 3
06	Buccal Mucosa	C06.0, C06.1, C06.8 C06.9	"	2, 3
07	Oropharynx	C05.1, C05.2, C09.0 - C09.9 C10.0 - C10.9	"	2, 3
08	Nasopharynx	C11.0 - C11.9	"	2, 3
09	Hypopharynx	C12.9, C13.0 - C13.9	"	2, 3
10	Other Oral Cavity	C14.0 - C14.8	"	2, 3
11	Esophagus	C15.0 - C15.9	"	2, 3
12	Stomach	C16.0 - C16.9	"	2, 3
13	Small Intestine	C17.0 - C17.9	"	2, 3

14	Colon	C18.0 - C18.9	"	2, 3
15	Rectum/Anus	C19.9, C20.9, C21.0 - C21.8	"	2, 3
16	Liver	C22.0 - C22.1	"	2, 3
17	Gallbladder	C23.9 - C24.9	"	2, 3
18	Pancreas	C25.0 - C25.9	"	2, 3
19	Other Digestive Tract	C48.0 - C48.8 C26.0 - C26.9	Any valid code except lymphoma, melanoma, and plasma cell tumors	2, 3
20	Nasal Cavities, Sinuses & Ear	C30.0 - C30.1 C31.0 - C31.9	any valid code EXCEPT lymphomas and melanomas and plasma cell tumors	2, 3
21	Larynx	C32.0 - C32.9	"	2, 3
22	Trachea, Bronchus and Lung - Small Cell	C33.9, C34.0 - C34.9	8041/3, 8042/3, 8043/3, 8044/3, 8045/3, 8073/3	2, 3
23	Trachea, Bronchus and Lung - Non-Small Cell	C33.9, C34.0 - C34.9,	any valid code EXCEPT small cell carcinoma lymphomas, melanomas, and plasma cell tumors	2, 3
24	Other Respiratory Sites	C38.0 - C38.8 C37.9, C39.0 - C39.9	any valid code EXCEPT melanomas, lymphomas, and plasma cell tumors	2, 3

25	Bone	C40.0 - C40.9 C41.0 - C41.9	any valid code except lymphomas, plasma cell tumors	2, 3
26	Connective & Soft Tissue	C47.0 - C47.9 C49.0 - C49.9 C42.2	Any valid code except lymphomas, melanomas, plasma cell tumors	2, 3
27	Malignant Melanoma	C44.0 - C44.9 or any other valid site, i.e., C51.0 - C51.2, C60.0, C60.9, C69.0 - C69.9, etc.	8720 - 8790	2, 3
28	Other Skin	C44.0 - C44.9	any valid code except lymphomas, melanomas, and plasma cell tumors	2, 3
29	Breast (Male & Female)	C50.0 - C50.9	any valid code EXCEPT lymphomas and melanomas and plasma cell tumors	2, 3
30	Cervix	C53.0 - C53.9	"	3
31	Endometrium (Corpus Uteri)	C54.0 - C54.9	"	2, 3
32	Ovary	C56.9	"	2, 3
33	Other Female Genital Organs	C52.9, C55.9, C58.9, C57.0 - C57.9, C51.0 - C51.9	"	2, 3
34	Prostate	C61.9	"	2, 3

35	Testis	C62.0 - C62.9	"	2, 3
36	Other Male Genital Organs	C60.0 - C60.9 C63.0 - C63.9	"	2, 3
37	Bladder	C67.0 - C67.9	"	2, 3
38	Kidney	C64.9	"	2, 3
39	Other Urinary Organs	C65.9, C66.9, C68.0 - C68.9	"	2, 3
40	Eye	C69.0 - C69.9	"	2, 3
41	Brain	C71.0 - C71.9	"	2, 3
42	Other CNS	C70.0 - C70.9 C72.0 - C72.9	"	2, 3
43	Thyroid	C73.9	"	2, 3
44	Other Endocrine	C74.0 - C74.9 C75.0 - C75.9	"	2, 3
45	Hodgkin's	C77.0 - C77.9 or any valid extranodal site	9650/3-9667/3	3
46	Non-Hodgkin's Lymphomas	C77.0 - C77.9 or any valid code	9590/3-9596/3, 9670/3-9699/3, 9702/3-9719/3, 9727/3-9729/3, and 9827/3 unless w/C42.____	3
47	Plasma Cell Tumors	C42.0 - C42.4 or any valid code	9731/3-9734/3	3
48	Lymphoid Leukemias	C42.0 - C42.4	9820/3-9826/3, 9832/3-9837/3, 9827/3, if w/C42.____	3
49	Myeloid Leukemias	C42.0 - C42.4	9840/3-9931/3	3

50	Other Leukemias	C42.0 - C42.4	9742/3, 9800/3-9805/3, 9940/3-9948/3	3
51	Myleoproliferative, Myelodysplastic Diseases	C42.0 - C42.4	9950/3-9989/3	3
52	Other Hematopoietic Diseases	C42.0 - C42.4, C44.0 - C44.9 for mycosis fungoides, C17.0 - C17.9 for Mediterranean lymphoma	9700/3, 9701/3, 9740/3, 9741/3, 9750/3- 9758/3, 9760/3- 9769/3	3
53	Other and Ill- Defined Sites	C76.0 - C76.8	any valid code EXCEPT lymphomas and melanomas and plasma cell tumors	2, 3
54	Unknown Primary	C80.9	"	3
55	Cannot determine site group from information available. (Use only when recording other primaries.)			
60	Benign & borderline intracranial tumors	C70.0 - C72.9, C75.1 - C75.3	any valid code	0, 1

**CPDMS SITE GROUP CODE ASSIGNMENT**  
**By Topography and Histology**  
**(revised May 2004)**

Melanomas (Group 27) 8720-8790	Leukemias 9800-9827 9831-9920 9931-9948	Plasma cell tumors (Group 47) 9731-9734
Hodgkin's Lymphomas (Group 45) 9650-9667		Other Hematopoietic Dz (Grp 52) 9700-9701 9750-9758 9740-9741 9760-9769
NonHodgkin's Lymphomas (Group 46) 9590-9596 9727-9729 9670-9699 9827 unless with C42 9702-9719		
<b>IF TOPOGRAPHY=</b>	<b>AND HISTOLOGY=</b>	<b>THEN SITE GROUP CODE=</b>
C00.0 - C00.9	8720-8790	Group 27
	9731-9734	Group 47
	Lymphoma	Group 45, 46, or 52
	Leukemia	Not valid
	9930	Group 49
	else	Group 01
C01.9 - C02.9	8720-8790	Group 27
	9731-9734	Group 47
	Lymphoma	Group 45, 46, or 52
	Leukemia	Not valid
	9930	Group 49
	else	Group 02
C07.9 - C08.9	8720-8790	Group 27
	9731-9734	Group 47
	Lymphoma	Group 45, 46, or 52
	Leukemia	Not valid
	9930	Group 49
	else	Group 03
C03.0 - C03.9 C05.0, C05.8,	8720-8790	Group 27
	9731-9734	Group 47

C05.9, C06.2	Lymphoma	Group 45, 46, or 52
	Leukemia	Not valid
	9930	Group 49
	else	Group 04
C04.0 - C04.9	8720-8790	Group 27
	9731-9734	Group 47
	Lymphoma	Group 45, 46, or 52
	Leukemia	Not valid
	9930	Group 49
	else	Group 05
C06.0 - C06.1 C06.8 - C06.9	8720-8790	Group 27
	9731-9734	Group 47
	Lymphoma	Group 45, 46, or 52
	Leukemia	Not valid
	9930	Group 49
	else	Group 06
C05.1 - C05.2, C09.0 - C09.9, C10.0 - C10.9	8720-8790	Group 27
	9731-9734	Group 47
	Lymphoma	Group 45, 46, or 52
	Leukemia	Not valid
	9930	Group 49
	else	Group 07
C11.0 - C11.9	8720-8790	Group 27
	9731-9734	Group 47
	Lymphoma	Group 45, 46, or 52
	Leukemia	Not valid
	9930	Group 49
	else	Group 08
C12.9 - C13.9	8720-8790	Group 27
	9731-9734	Group 47
	Lymphoma	Group 45, 46, or 52
	Leukemia	Not valid
	9930	Group 49
	else	Group 09
C14.0 - C14.8	8720-8790	Group 27

	9731-9734	Group 47
	Lymphoma	Group 45, 46, or 52
	Leukemia	Not valid
	9930	Group 49
	else	Group 10
C15.0 - C15.9	8720-8790	Group 27
	9731-9734	Group 47
	Lymphoma	Group 45, 46, or 52
	Leukemia	Not valid
	9930	Group 49
	else	Group 11
C16.0 - C16.9	8720-8790	Group 27
	9731-9734	Group 47
	Lymphoma	Group 45, 46, or 52
	Leukemia	Not valid
	9930	Group 49
	else	Group 12
C17.0 - C17.9	8720-8790	Group 27
	9731-9734	Group 47
	Lymphoma	Group 45, 46, or 52
	Leukemia	Not valid
	9930	Group 49
	else	Group 13
C18.0 - C18.9	8090-8098	Not valid
	8720-8790	Group 27
	9731-9734	Group 47
	Lymphoma	Group 45, 46, or 52
	Leukemia	Not valid
	9930	Group 49
	else	Group 14
C19.9 - C21.8	8720-8790	Group 27
	9731-9734	Group 47
	Lymphoma	Group 45, 46, or 52
	Leukemia	Not valid
	9930	Group 49

	else	Group 15
C22.0 - C22.1	8720-8790	Group 27
	9731-9734	Group 47
	Lymphoma	Group 45, 46, or 52
	Leukemia	Not valid
	9930	Group 49
	else	Group 16
C23.9 - C24.9	8720-8790	Group 27
	9731-9734	Group 47
	Lymphoma	Group 45, 46, or 52
	Leukemia	Not valid
	9930	Group 49
	else	Group 17
C25.0 - C25.9	8720-8790	Group 27
	9731-9734	Group 47
	Lymphoma	Group 45, 46, or 52
	Leukemia	Not valid
	9930	Group 49
	else	Group 18
C26.0 - C26.9	8720-8790	Group 27
	9731-9734	Group 47
	Lymphoma	Group 45, 46, or 52
	Leukemia	Not valid
	9930	Group 49
	else	Group 19
C30.0 - C31.9	9250-9342	Not valid
	8720-8790	Group 27
	9731-9734	Group 47
	Lymphoma	Group 45, 46, or 52
	Leukemia	Not valid
	9930	Group 49
	else	Group 20
C32.0 - C32.9	9250-9342	Not valid
	8720-8790	Group 27
	9731-9734	Group 47

	Lymphoma	Group 45, 46, or 52
	Leukemia	Not valid
	9930	Group 49
	else	Group 21
C33.9 - C34.9	8720-8790	Group 27
	9731-9734	Group 47
	Lymphoma	Group 45, 46, or 52
	8041-8045, 8073	Group 22
	Leukemia	Not valid
	9930	Group 49
	else	Group 23
C37.9	8720-8790	Group 27
	9731-9734	Group 47
	Lymphoma	Group 45, 46, or 52
	Leukemia	Not valid
	9930	Group 49
	else	Group 24
C38.0 - C38.8	8010-8671	Not valid
	8940-8941	Not valid
	8720-8790	Not valid
	9731-9734	Group 47
	Lymphoma	Group 45, 46, or 52
	Leukemia	Not valid
	9930	Group 49
	else	Group 24
C39.0 - C39.9	8720-8790	Group 27
	9731-9734	Group 47
	Lymphoma	Group 45, 46, or 52
	Leukemia	Not valid
	9930	Group 49
	else	Group 24
C40.0 - C41.9	8010-8050	Not valid
	8052-8060	Not valid
	8075-8671	Not valid
	8720-8790	Not valid

	8940-8941	Not valid
	9731-9734	Group 47
	Lymphoma	Group 45, 46, or 52
	Leukemia	Not valid
	9930	Group 49
	else	Group 25
C42.0 - C42.4	8801, 9120, 9133	Group 26
	9731-9734	Group 47
	9820-9827	Group 48
	9831-9837	Group 48
	9840-9931	Group 49
	9742, 9800-9805	Group 50
	9940-9948	Group 50
	9950-9989	Group 51
	Lymphoma	Group 45, 46, or 52
	else	Not valid
C44.0 - C44.9	8720-8790	Group 27
	9731-9734	Group 47
	Lymphoma	Group 45, 46, or 52
	Leukemia	Not valid
	9930	Group 49
	else	Group 28
C47.0 - C47.9	8010-8671	Not valid
	8940-8941	Not valid
	9731-9734	Group 47
	8720-8790	Not valid
	Lymphoma	Group 45, 46, or 52
	Leukemia	Not valid
	9930	Group 49
	else	Group 26
C49.0 - C49.9	9731-9734	Group 47
	8720-8790	Not valid
	Lymphoma	Group 45, 46, or 52
	Leukemia	Not valid
	9930	Group 49

	else	Group 26
C48.0 - C48.8	Lymphoma	Group 45, 46, or 52
	8720-8790	Not valid
	9731-9734	Group 47
	Leukemia	Not valid
	9930	Group 49
	else	Group 19
C50.0 - C50.9	8720-8790	Group 27
	9731-9734	Group 47
	Lymphoma	Group 45, 46, or 52
	Leukemia	Not valid
	9930	Group 49
	else	Group 29
C53.0 - C53.9	8720-8790	Group 27
	9731-9734	Group 47
	Lymphoma	Group 45, 46, or 52
	Leukemia	Not valid
	9930	Group 49
	else	Group 30
C54.0 - C54.9	8720-8790	Group 27
	9731-9734	Group 47
	Lymphoma	Group 45, 46, or 52
	Leukemia	Not valid
	9930	Group 49
	else	Group 31
C56.9	8720-8790	Group 27
	9731-9734	Group 47
	Lymphoma	Group 45, 46, or 52
	Leukemia	Not valid
	9930	Group 49
	else	Group 32
C51.0 - C51.9 C52.9, C55.9, C58.9 C57.0 - C57.9	8720-8790	Group 27
	9731-9734	Group 47
	Lymphoma	Group 45, 46, or 52
	Leukemia	Not valid

	9930	Group 49
	else	Group 33
C61.9	8720-8790	Group 27
	9731-9734	Group 47
	Lymphoma	Group 45, 46, or 52
	Leukemia	Not valid
	9930	Group 49
	else	Group 34
C62.0 - C62.9	8720-8790	Group 27
	9731-9734	Group 47
	Lymphoma	Group 45, 46, or 52
	Leukemia	Not valid
	9930	Group 49
	else	Group 35
C60.0 - C60.9 C63.0 - C63.9	8720-8790	Group 27
	9731-9734	Group 47
	Lymphoma	Group 45, 46, or 52
	Leukemia	Not valid
	9930	Group 49
	else	Group 36
C67.0 - C67.9	8720-8790	Group 27
	9731-9734	Group 47
	Lymphoma	Group 45, 46, or 52
	Leukemia	Not valid
	9930	Group 49
	else	Group 37
C64.9	8720-8790	Group 27
	9731-9734	Group 47
	Lymphoma	Group 45, 46, or 52
	Leukemia	Not valid
	9930	Group 49
	else	Group 38
C65.9, C66.9 C68.0 - C68.9	8720-8790	Group 27
	9731-9734	Group 47
	Lymphoma	Group 45, 46, or 52

	Leukemia	Not valid
	9930	Group 49
	else	Group 39
C69.0 - C69.9	8720-8790	Group 27
	9731-9734	Group 47
	Lymphoma	Group 45, 46, or 52
	Leukemia	Not valid
	9930	Group 49
	else	Group 40
C71.0 - C71.9	8940-8941	Not valid
	8010-8671	Not valid
	8720-8790	Group 27
	9731-9734	Group 47
	Lymphoma	Group 45, 46, or 52
	Leukemia	Not valid
	9930	Group 49
	with behavior = 0, 1	Group 60
	else	Group 41
C70.0 - C70.9 C72.0 - C72.9	8940-8941	Not valid
	8010-8671	Not valid
	8720-8790	Group 27
	9731-9734	Group 47
	Lymphoma	Group 45, 46, or 52
	Leukemia	Not valid
	9930	Group 49
	with behavior = 0, 1	Group 60
	else	Group 42
C73.9	8720-8790	Group 27
	9731-9734	Group 47
	Lymphoma	Group 45, 46, or 52
	Leukemia	Not valid
	9930	Group 49
	else	Group 43
C74.0 - C74.9 C75.0 - C75.9	8720-8790	Group 27
	9731-9734	Group 47

	Lymphoma	Group 45, 46, or 52
	Leukemia	Not valid
	9930	Group 49
	if behavior = 0,1	Group 60
	else	Group 44
C77.0 - C77.9	Lymphoma	Group 45, 46, or 52
	9731-9734	Group 47
	Leukemia	Not valid
	9930	Group 49
	else	Not valid
C76.0 - C76.8	8800-8833	Not valid
	8840-8921	Not valid
	9040-9044	Not valid
	8990-8991	Not valid
	8940-8941	Not valid
	9120-9175	Not valid
	9240-9252	Not valid
	9540-9560	Not valid
	9580-9582	Not valid
	8720-8790	Not valid
	Lymphoma	Group 45, 46, or 52
	9731-9734	Group 47
	Leukemia	Not valid
	9930	Group 49
	else	Group 53
C80.9	8720-8790	Not valid
	9731-9734	Group 47
	Lymphoma	Group 45, 46, or 52
	Leukemia	Not valid
	9930	Not valid
	else	Group 54

## APPENDIX D - CITIES, ZIP CODES, AND COUNTIES

The U.S. Postal Service web site has a search feature which allows users to search for ZIP codes by address or by city, and to list all cities within a particular ZIP code. The URL is <http://zip4.usps.com/zip4/welcome.jsp>. To determine what county a particular address is in, use the "Search By Address" tool. Enter the street address, city, and state, and then click "Submit." Once the results are displayed, click on the link to the right labeled "Mailing Industry Information" to see the county.

<u>County FIPS</u>	<u>County Name</u>	<u>ADD</u>	<u>Urban/Rural</u>	<u>Beale Code</u>	<u>App/non-App</u>
21001	Adair	Lake Cumberland	Rural	7	Appalachia
21003	Allen	Barren River	Rural	6	Non-Appalachia
21005	Anderson	Bluegrass	Rural	6	Non-Appalachia
21007	Ballard	Purchase	Rural	9	Non-Appalachia
21009	Barren	Barren River	Rural	6	Non-Appalachia
21011	Bath	Gateway	Rural	8	Appalachia
21013	Bell	Cumberland Valley	Rural	7	Appalachia
21015	Boone	Northern Kentucky	Urban	1	Non-Appalachia
21017	Bourbon	Bluegrass	Urban	2	Non-Appalachia
21019	Boyd	Fivco	Urban	2	Appalachia
21021	Boyle	Bluegrass	Rural	7	Non-Appalachia
21023	Bracken	Buffalo Trace	Urban	1	Non-Appalachia
21025	Breathitt	Kentucky River	Rural	7	Appalachia
21027	Breckinridge	Lincoln Trail	Rural	8	Non-Appalachia
21029	Bullitt	Kipda	Urban	1	Non-Appalachia
21031	Butler	Barren River	Rural	8	Non-Appalachia
21033	Caldwell	Pennyrile	Rural	6	Non-Appalachia
21035	Calloway	Purchase	Rural	7	Non-Appalachia
21037	Campbell	Northern Kentucky	Urban	1	Non-Appalachia
21039	Carlisle	Purchase	Rural	9	Non-Appalachia
21041	Carroll	Northern Kentucky	Rural	6	Non-Appalachia
21043	Carter	Fivco	Rural	6	Appalachia
21045	Casey	Lake Cumberland	Rural	9	Appalachia
21047	Christian	Pennyrile	Urban	3	Non-Appalachia
21049	Clark	Bluegrass	Urban	2	Appalachia
21051	Clay	Cumberland Valley	Rural	7	Appalachia
21053	Clinton	Lake Cumberland	Rural	9	Appalachia
21055	Crittenden	Pennyrile	Rural	6	Non-Appalachia
21057	Cumberland	Lake Cumberland	Rural	9	Appalachia
21059	Daviess	Green River	Urban	3	Non-Appalachia

21061	Edmonson	Barren River	Urban	3	Appalachia
21063	Elliott	Fivco	Rural	9	Appalachia
21065	Estill	Bluegrass	Rural	6	Appalachia
21067	Fayette	Bluegrass	Urban	2	Non-Appalachia
21069	Fleming	Buffalo Trace	Rural	7	Appalachia
21071	Floyd	Big Sandy	Rural	7	Appalachia
21073	Franklin	Bluegrass	Rural	4	Non-Appalachia
21075	Fulton	Purchase	Rural	7	Non-Appalachia
21077	Gallatin	Northern Kentucky	Urban	1	Non-Appalachia
21079	Garrard	Bluegrass	Rural	6	Appalachia
21081	Grant	Northern Kentucky	Urban	1	Non-Appalachia
21083	Graves	Purchase	Rural	7	Non-Appalachia
21085	Grayson	Lincoln Trail	Rural	6	Non-Appalachia
21087	Green	Lake Cumberland	Rural	8	Appalachia
21089	Greenup	Fivco	Urban	2	Appalachia
21091	Hancock	Green River	Urban	3	Non-Appalachia
21093	Hardin	Lincoln Trail	Urban	3	Non-Appalachia
21095	Harlan	Cumberland Valley	Rural	7	Appalachia
21097	Harrison	Bluegrass	Rural	6	Non-Appalachia
21099	Hart	Barren River	Rural	8	Appalachia
21101	Henderson	Green River	Urban	2	Non-Appalachia
21103	Henry	Kipda	Urban	1	Non-Appalachia
21105	Hickman	Purchase	Rural	9	Non-Appalachia
21107	Hopkins	Pennyrlie	Rural	4	Non-Appalachia
21109	Jackson	Cumberland Valley	Rural	9	Appalachia
21111	Jefferson	Kipda	Urban	1	Non-Appalachia
21113	Jessamine	Bluegrass	Urban	2	Non-Appalachia
21115	Johnson	Big Sandy	Rural	7	Appalachia
21117	Kenton	Northern Kentucky	Urban	1	Non-Appalachia
21119	Knott	Kentucky River	Rural	9	Appalachia
21121	Knox	Cumberland Valley	Rural	7	Appalachia
21123	Larue	Lincoln Trail	Urban	3	Non-Appalachia
21125	Laurel	Cumberland Valley	Rural	7	Appalachia
21127	Lawrence	Fivco	Rural	6	Appalachia
21129	Lee	Kentucky River	Rural	9	Appalachia
21131	Leslie	Kentucky River	Rural	9	Appalachia
21133	Letcher	Kentucky River	Rural	9	Appalachia
21135	Lewis	Buffalo Trace	Rural	8	Appalachia
21137	Lincoln	Bluegrass	Rural	7	Appalachia
21139	Livingston	Pennyrlie	Rural	9	Non-Appalachia
21141	Logan	Barren River	Rural	6	Non-Appalachia

21143	Lyon	Pennyrile	Rural	8	Non-Appalachia
21145	McCracken	Purchase	Rural	5	Non-Appalachia
21147	McCreary	Lake Cumberland	Rural	9	Appalachia
21149	McLean	Green River	Urban	3	Non-Appalachia
21151	Madison	Bluegrass	Rural	4	Appalachia
21153	Magoffin	Big Sandy	Rural	9	Appalachia
21155	Marion	Lincoln Trail	Rural	6	Non-Appalachia
21157	Marshall	Purchase	Rural	7	Non-Appalachia
21159	Martin	Big Sandy	Rural	8	Appalachia
21161	Mason	Buffalo Trace	Rural	6	Non-Appalachia
21163	Meade	Lincoln Trail	Urban	1	Non-Appalachia
21165	Menifee	Gateway	Rural	9	Appalachia
21167	Mercer	Bluegrass	Rural	6	Non-Appalachia
21169	Metcalfe	Barren River	Rural	9	Appalachia
21171	Monroe	Barren River	Rural	9	Appalachia
21173	Montgomery	Gateway	Rural	6	Appalachia
21175	Morgan	Gateway	Rural	7	Appalachia
21177	Muhlenberg	Pennyrile	Rural	6	Non-Appalachia
21179	Nelson	Lincoln Trail	Urban	1	Non-Appalachia
21181	Nicholas	Bluegrass	Rural	8	Appalachia
21183	Ohio	Green River	Rural	6	Non-Appalachia
21185	Oldham	Kipda	Urban	1	Non-Appalachia
21187	Owen	Northern Kentucky	Rural	8	Non-Appalachia
21189	Owsley	Kentucky River	Rural	9	Appalachia
21191	Pendleton	Northern Kentucky	Urban	1	Non-Appalachia
21193	Perry	Kentucky River	Rural	7	Appalachia
21195	Pike	Big Sandy	Rural	7	Appalachia
21197	Powell	Bluegrass	Rural	6	Appalachia
21199	Pulaski	Lake Cumberland	Rural	5	Appalachia
21201	Robertson	Buffalo Trace	Rural	8	Appalachia
21203	Rockcastle	Cumberland Valley	Rural	7	Appalachia
21205	Rowan	Gateway	Rural	7	Appalachia
21207	Russell	Lake Cumberland	Rural	9	Appalachia
21209	Scott	Bluegrass	Urban	2	Non-Appalachia
21211	Shelby	Kipda	Urban	1	Non-Appalachia
21213	Simpson	Barren River	Rural	6	Non-Appalachia
21215	Spencer	Kipda	Urban	1	Non-Appalachia
21217	Taylor	Lake Cumberland	Rural	7	Non-Appalachia
21219	Todd	Pennyrile	Rural	8	Non-Appalachia
21221	Trigg	Pennyrile	Urban	3	Non-Appalachia
21223	Trimble	Kipda	Urban	1	Non-Appalachia

21225	Union	Green River	Rural	6	Non-Appalachia
21227	Warren	Barren River	Urban	3	Non-Appalachia
21229	Washington	Lincoln Trail	Rural	8	Non-Appalachia
21231	Wayne	Lake Cumberland	Rural	7	Appalachia
21233	Webster	Green River	Urban	2	Non-Appalachia
21235	Whitley	Cumberland Valley	Rural	7	Appalachia
21237	Wolfe	Kentucky River	Rural	9	Appalachia
21239	Woodford	Bluegrass	Urban	2	Non-Appalachia

**CODES FOR COUNTIES IN THE STATES BORDERING KENTUCKY****ILLINOIS 17****CODE COUNTY NAME**

001	Adams
003	Alexander
005	Bond
007	Boone
009	Brown
011	Bureau
013	Calhoun
015	Carroll
017	Cass
019	Champaign
021	Christian
023	Clark
025	Clay
027	Clinton
029	Coles
031	Cook
033	Crawford
035	Cumberland
037	DeKalb
039	De Witt
041	Douglas
043	DuPage
045	Edgar
047	Edwards
049	Effingham
051	Fayette
053	Ford
055	Franklin
057	Fulton
059	Gallatin

061	Greene
063	Grundy
065	Hamilton
067	Hancock
069	Hardin
071	Henderson
073	Henry
075	Iroquois
077	Jackson
079	Jasper
081	Jefferson
083	Jersey
085	Jo Daviess
087	Johnson
089	Kane
091	Kankakee
093	Kendall
095	Knox
097	Lake
099	La Salle
101	Lawrence
103	Lee
105	Livingston
107	Logan
109	McDonough
111	McHenry
113	McLean
115	Macon
117	Macoupin
119	Madison
121	Marion
123	Marshall
125	Mason
127	Massac
129	Menard
131	Mercer
133	Monroe
135	Montgomery
137	Morgan
139	Moultrie
141	Ogle
143	Peoria
145	Perry
147	Piatt
149	Pike
151	Pope

153	Pulaski
155	Putnam
157	Randolph
159	Richland
161	Rock Island
163	St. Clair
165	Saline
167	Sangamon
169	Schuylerville
171	Scott
173	Shelby
175	Stark
177	Stephenson
179	Tazewell
181	Union
183	Vermilion
185	Wabash
187	Warren
189	Washington
191	Wayne
193	White
195	Whiteside
197	Will
199	Williamson
201	Winnebago
203	Woodford

**INDIANA 18****CODE COUNTY NAME**

001	Adams
003	Allen
005	Bartholomew
007	Benton
009	Blackford
011	Boone
013	Brown
015	Carroll
017	Cass
019	Clark
021	Clay
023	Clinton
025	Crawford
027	Daviess
029	Dearborn
031	Decatur
033	DeKalb

035	Delaware
037	Dubois
039	Elkhart
041	Fayette
043	Floyd
045	Fountain
047	Franklin
049	Fulton
051	Gibson
053	Grant
055	Greene
057	Hamilton
059	Hancock
061	Harrison
063	Hendricks
065	Henry
067	Howard
069	Huntington
071	Jackson
073	Jasper
075	Jay
077	Jefferson
079	Jennings
081	Johnson
083	Knox
085	Kosciusko
087	Lagrange
089	Lake
091	LaPorte
093	Lawrence
095	Madison
097	Marion
099	Marshall
101	Martin
103	Miami
105	Monroe
107	Montgomery
109	Morgan
111	Newton
113	Noble
115	Ohio
117	Orange
119	Owen
121	Parke
123	Perry
125	Pike

127	Porter
129	Posey
131	Pulaski
133	Putnam
135	Randolph
137	Ripley
139	Rush
141	St. Joseph
143	Scott
145	Shelby
147	Spencer
149	Starke
151	Steuben
153	Sullivan
155	Switzerland
157	Tippecanoe
159	Tipton
161	Union
163	Vanderburgh
165	Vermillion
167	Vigo
169	Wabash
171	Warren
173	Warrick
175	Washington
177	Wayne
179	Wells
181	White
183	Whitley

**MISSOURI 29****CODE COUNTY NAME**

001	Adair
003	Andrew
005	Atchison
007	Audrain
009	Barry
011	Barton
013	Bates
015	Benton
017	Bollinger
019	Boone
021	Buchanan
023	Butler
025	Caldwell
027	Callaway

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029	Camden
031	Cape Girardeau
033	Carroll
035	Carter
037	Cass
039	Cedar
041	Chariton
043	Christian
045	Clark
047	Clay
049	Clinton
051	Cole
053	Cooper
055	Crawford
057	Dade
059	Dallas
061	Daviess
063	DeKalb
065	Dent
067	Douglas
069	Dunklin
071	Franklin
073	Gasconade
075	Gentry
077	Greene
079	Grundy
081	Harrison
083	Henry
085	Hickory
087	Holt
089	Howard
091	Howell
093	Iron
095	Jackson
097	Jasper
099	Jefferson
101	Johnson
103	Knox
105	Laclede
107	Lafayette
109	Lawrence
111	Lewis
113	Lincoln
115	Linn
117	Livingston
119	McDonald

121	Macon
123	Madison
125	Maries
127	Marion
129	Mercer
131	Miller
133	Mississippi
135	Moniteau
137	Monroe
139	Montgomery
141	Morgan
143	New Madrid
145	Newton
147	Nodaway
149	Oregon
151	Osage
153	Ozark
155	Pemiscot
157	Perry
159	Pettis
161	Phelps
163	Pike
165	Platte
167	Polk
169	Pulaski
171	Putnam
173	Ralls
175	Randolph
177	Ray
179	Reynolds
181	Ripley
183	St. Charles
185	St. Clair
186	St. Genevieve
187	St. Francois
189	St. Louis County
195	Saline
197	Schuylerville
199	Scotland
201	Scott
203	Shannon
205	Shebly
207	Stoddard
209	Stone
211	Sullivan
213	Taney

215	Texas
217	Vernon
219	Warren
221	Washington
223	Wayne
225	Webster
227	Worth
229	Wright

**OHIO 39  
CODE COUNTY NAME**

001	Adams
003	Allen
005	Ashland
007	Ashtabula
009	Athens
011	Auglaize
013	Belmont
015	Brown
017	Butler
019	Carroll
021	Champaign
023	Clark
025	Clermont
027	Clinton
029	Columbiana
031	Coshocton
033	Crawford
035	Cuyahoga
037	Darke
039	Defiance
041	Delaware
043	Erie
045	Fairfield
047	Fayette
049	Franklin
051	Fulton
053	Gallia
055	Geauga
057	Greene
059	Guernsey
061	Hamilton
063	Hancock
065	Hardin
067	Harrison
069	Henry

071	Highland
073	Hocking
075	Holmes
077	Huron
079	Jackson
081	Jefferson
083	Knox
085	Lake
087	Lawrence
089	Licking
091	Logan
093	Lorain
095	Lucas
097	Madison
099	Mahoning
101	Marion
103	Medina
105	Meigs
107	Mercer
109	Miami
111	Monroe
113	Montgomery
115	Morgan
117	Morrow
119	Muskingum
121	Noble
123	Ottawa
125	Paulding
127	Perry
129	Pickaway
131	Pike
133	Portage
135	Preble
137	Putnam
139	Richland
141	Ross
143	Sandusky
145	Scioto
147	Seneca
149	Shelby
151	Stark
153	Summit
155	Trumbull
157	Tuscarawas
159	Union
161	Van Wert

163	Vinton
165	Warren
167	Washington
169	Wayne
171	Williams
173	Wood
175	Wyandot

**TENNESSEE 47**

**CODE COUNTY NAME**

001	Anderson
003	Bedford
005	Benton
007	Bledsoe
009	Blount
011	Bradley
013	Campbell
015	Cannon
017	Carroll
019	Carter
021	Cheatham
023	Chester
025	Claiborne
027	Clay
029	Cocke
031	Coffee
033	Crockett
035	Cumberland
037	Davidson
039	Decatur
041	DeKalb
043	Dickson
045	Dyer
047	Fayette
049	Fentress
051	Franklin
053	Gibson
055	Giles
057	Grainger
059	Greene
061	Grundy
063	Hamblen
065	Hamilton
067	Hancock
069	Hardeman
071	Hardin

073	Hawkins
075	Haywood
077	Henderson
079	Henry
081	Hickman
083	Houston
085	Humphreys
087	Jackson
089	Jefferson
091	Johnson
093	Knox
095	Lake
097	Lauderdale
099	Lawrence
101	Lewis
103	Lincoln
105	Loudon
107	McMinn
109	McNairy
111	Macon
113	Madison
115	Marion
117	Marshall
119	Maury
121	Meigs
123	Monroe
125	Montgomery
127	Moore
129	Morgan
131	Obion
133	Overton
135	Perry
137	Pickett
139	Polk
141	Putnam
143	Rhea
145	Roane
147	Robertson
149	Rutherford
151	Scott
153	Sequatchie
155	Sevier
157	Shelby
159	Smith
161	Stewart
163	Sullivan

165	Sumner
167	Tipton
169	Trousdale
171	Unicoi
173	Union
175	Van Buren
177	Warren
179	Washington
181	Wayne
183	Weakley
185	White
187	Williamson
189	Wilson

**VIRGINIA 51**

**CODE COUNTY NAME**

001	Accomack
003	Albermarle
005	Alleghany
007	Amelia
009	Amherst
011	Appomattox
013	Arlington
015	Augusta
017	Bath
019	Bedford
021	Bland
023	Botetourt
025	Brunswick
027	Buchanan
029	Buckingham
031	Campbell
033	Caroline
035	Carroll
036	Charles City
037	Charlotte
041	Chesterfield
043	Clarke
045	Craig
047	Culpeper
049	Cumberland
051	Dickenson
053	Dinwiddie
057	Essex
059	Fairfax
061	Fauquier

063	Floyd
065	Fluvanna
067	Franklin
069	Frederick
071	Giles
073	Gloucester
075	Goochland
077	Grayson
079	Greene
081	Greenville
083	Halifax
085	Hanover
087	Henrico
089	Henry
091	Highland
093	Isle of Wight
095	James City
097	King And Queen
099	King George
101	King William
103	Lancaster
105	Lee
107	Loudoun
109	Louisa
111	Lunenburg
113	Madison
115	Mathews
117	Mecklenburg
119	Middlesex
121	Montgomery
125	Nelson
127	New Kent
131	Northampton
133	Northumberland
135	Nottoway
137	Orange
139	Page
141	Patrick
143	Pittsylvania
145	Powhatan
147	Prince Edward
149	Prince George
153	Prince William
155	Pulaski
157	Rappahannock
159	Richmond

161	Roanoke
163	Rockbridge
165	Rockingham
167	Russell
169	Scott
171	Shenandoah
173	Smyth
175	Southampton
177	Spotsylvania
179	Stafford
181	Surry
183	Sussex
185	Tazewell
187	Warren
191	Washington
193	Westmoreland
195	Wise
197	Wythe
199	York

**WEST VIRGINIA 54**

**CODE COUNTY NAME**

001	Barbour
003	Berkeley
005	Boone
007	Braxton
009	Brooke
011	Cabell
013	Calhoun
015	Clay
017	Doddridge
019	Fayette
021	Gilmer
023	Grant
025	Greenbrier
027	Hampshire
029	Hancock
031	Hardy
033	Harrison
035	Jackson
037	Jefferson
039	Kanawha
041	Lewis
043	Lincoln
045	Logan
047	McDowell

049	Marion
051	Marshall
053	Mason
055	Mercer
057	Mineral
059	Mingo
061	Monongalia
063	Monroe
065	Morgan
067	Nicholas
069	Ohio
071	Pendleton
073	Pleasants
075	Pocahontas
077	Preston
079	Putnam
081	Raleigh
083	Randolph
085	Ritchie
087	Roane
089	Summers
091	Taylor
093	Tucker
095	Tyler
097	Upshur
099	Wayne
101	Webster
103	Wetzel
105	Wirt
107	Wood
109	Wyoming

**OTHER STATES 00**

998 - Known County

999 - Unknown County

**APPENDIX E - GENERAL SITES DICTIONARY**

The General Site Codes are used for coding several data items: [sites of metastases](#), [sites of radiation therapy](#), and [sites of recurrence](#). The first 44 codes are essentially the same as the first 44 site group codes found in [Appendix C](#), which are based on the ICD-O topography and morphology classifications. General Site Codes from 67 to 99 are additional names of parts of the body that may be useful in coding metastatic or radiation sites.

CODE SITE NAME

01	Lip
02	Tongue
03	Salivary Glands
04	Gum/Hard Palate
05	Floor of Mouth
06	Buccal Mucosa
07	Oropharynx
08	Nasopharynx
09	Hypopharynx
10	Other Oral Cavity
11	Esophagus
12	Stomach
13	Small Intestine
14	Colon
15	Rectum/Anus
16	Liver
17	Gallbladder
18	Pancreas
19	Other Digestive Tract
20	Nasal Cavities/Ear
21	Larynx
22	Lung
24	Other Respiratory
25	Bone
26	Connective/Soft Tissue
29	Breast
30	Cervix Uteri
31	Corpus Uteri
32	Ovary
33	Other Female Genital
34	Prostate
35	Testis
36	Other Male Genital
37	Bladder
38	Kidney - Renal Parenchyma
39	Other Urinary Organs
40	Eye
41	Brain
42	Other CNS
43	Thyroid
44	Other Endocrine
66	Skin, NOS
67	Head

68	Neck\Face
69	Mediastinum
71	Arm
72	Axilla
73	Peritoneum
74	Flank
75	Abdomen
76	Pelvis
77	Perineum
78	Bone Marrow
79	Hand
80	Leg
81	Foot
82	Back
83	Mantle - includes cervical, supraclavicular, axillary, hilar, mediastinal LN radiation
84	Yoke - Bilateral supraclavicular
85	Lymph nodes
86	Blood
87	Spleen
88	Omentum
89	Retroperitoneum
90	Chest Wall
91	Shoulder
92	Spine
97	Total Body
98	Other Ill-Defined
99	Unknown

**APPENDIX F - HEALTHCARE FACILITIES AND IDENTIFICATION NUMBERS****HOSPITALS**

Code	Name	City
510375	BAPTIST HOSPITAL EAST	LOUISVILLE
510373	BAPTIST HOSPITAL NORTHEAST	LAGRANGE
510088	BAPTIST REGIONAL MEDICAL CTR	CORBIN
510050	ST JOSEPH BREA HOSPITAL	BEREA
510175	BLANCHFIELD ARMY COMM. HOSP	FORT CAMPBELL
510956	BLUEGRASS COMMUNITY HOSPITAL	VERSAILLES
510266	BRECKINRIDGE MEMORIAL HOSPITAL	HARDINSBURG
510874	CALDWELL COUNTY HOSPITAL	PRINCETON
510081	CARROLL CNTY MEMORIAL HOSPITAL	CARROLLTON
510473	CASEY COUNTY WAR MEMORIAL HOSP	LIBERTY
519055	CAVERNA MEMORIAL HOSPITAL	HORSE CAVE
510407	CENTRAL BAPTIST HOSPITAL	LEXINGTON
510970	CLARK COUNTY REG MEDICAL CNTR	WINCHESTER
519001	CLINTON CNTY WAR MEMORIAL HOSP	ALBANY
510915	COLUMBIA LOGAN MEMORIAL HOSP.	RUSSELLVILLE
510680	CRITTENDEN COUNTY HOSPITAL	MARION
519020	CUMBERLAND COUNTY HOSPITAL	BURKESVILLE
510140	EPHRAIM McDOWELL REGIONAL MC	DANVILLE
510048	FLAGET MEMORIAL HOSPITAL	BARDSTOWN
510172	FLEMING COUNTY HOSPITAL	FLEMINGSBURG
510938	FORT LOGAN HOSPITAL	STANFORD
510195	FRANKFORT REGIONAL MED CENTER	FRANKFORT
510203	FRANKLIN-SIMPSON MEMORIAL HOSP	FRANKLIN
510395	GARRARD COUNTY MEMORIAL HOSP	LANCASTER
510230	GEORGETOWN COMMUNITY HOSPITAL	GEORGETOWN
510969	GRANT COUNTY HOSPITAL	WILLIAMSTOWN
510065	GREENVIEW REGIONAL HOSP, HCA	BOWLING GREEN
510165	HARDIN MEMORIAL HOSPITAL	ELIZABETHTOWN
510275	HARLAN APPALACHIAN REG HOSP	HARLAN
510130	HARRISON MEMORIAL HOSPITAL	CYNTHIANA
510287	HAZARD APPALACHIAN REG MED CTR	HAZARD
510873	HIGHLANDS REGIONAL MED CTR	PRESTONSBURG
510695	JACKSON PURCHASE MEDICAL CTR	MAYFIELD
510280	JAMES B HAGGIN MEMORIAL HOSP	HARRODSBURG
510255	JANE TODD CRAWFORD MEM HOSP	GREENSBURG
510358	JENKINS COMMUNITY HOSPITAL	JENKINS
510330	JENNIE STUART MEDICAL CENTER	HOPKINSVILLE
510510	JEWISH HOSPITAL	LOUISVILLE

510920	JEWISH HOSPITAL SHELBYVILLE	SHELBYVILLE
510082	JOHNSON MATHERS HEALTHCARE	CARLISLE
510359	KENTUCKY RIVER MEDICAL CENTER	JACKSON
510040	KING'S DAUGHTERS' MEDICAL CNTR	ASHLAND
510044	KNOX COUNTY GENERAL HOSPITAL	BARBOURVILLE
510485	KOSAIR CHILDREN'S HOSPITAL	LOUISVILLE
510940	LAKE CUMBERLAND REGIONAL HOSP.	SOMERSET
519070	LIVINGSTON COUNTY HOSPITAL	SALEM
510810	LOURDES HOSPITAL	PADUCAH
510355	MARCUM & WALLACE MEMORIAL HOSP	IRVINE
510049	MARSHALL COUNTY HOSPITAL	BENTON
510350	MARY BRECKINRIDGE HOSPITAL	HYDEN
510740	ST JOSEPH MT STERLING	MOUNT STERLING
510475	MARYMOUNT HOSPITAL	LONDON
510712	MCDOWELL APPALACHIAN REGIONAL	MCDOWELL
519025	MCLEAN COUNTY GENERAL HOSPITAL	CALHOUN
510710	MEADOWVIEW HOSPITAL	MAYSVILLE
510070	MED CENTER AT BOWLING GREEN	BOWLING GREEN
510916	MEDICAL CENTER AT SCOTTSVILLE	SCOTTSVILLE
519065	MEMORIAL HOSPITAL	MANCHESTER
510320	METHODIST HOSPITAL	HENDERSON
510715	MIDDLESBORO APPALACHIAN REG	MIDDLESBORO
510947	MONROE COUNTY MEDICAL CENTER	TOMPKINSVILLE
510960	MORGAN COUNTY APP REG HOSP	WEST LIBERTY
510260	MUHLENBERG COMMUNITY HOSPITAL	GREENVILLE
510750	MURRAY-CALLOWAY COUNTY HOSP	MURRAY
510795	NEW HORIZON MEDICAL CENTER	OWENTON
510610	NORTON AUDUBON HOSPITAL	LOUISVILLE
510488	NORTON HOSPITAL	LOUISVILLE
510575	NORTON SOUTHWEST HOSPITAL	LOUISVILLE
510615	NORTON SUBURBAN HOSPITAL	LOUISVILLE
510283	OHIO COUNTY HOSPITAL	HARTFORD
510042	OUR LADY OF BELLEVILLE HOSP	ASHLAND
510685	ST JOSEPH MARTIN HOSPITAL	MARTIN
510790	OWENSBORO MEDICAL HEALTH SYS	OWENSBORO
510834	PARIS COMMUNITY HOSPITAL	PARIS
510220	PARKWAY REGIONAL HOSPITAL	FULTON
510900	PATTIE A CLAY HOSPITAL	RICHMOND
510830	PAUL B HALL REGIONAL MED CTR	PAINTSVILLE
510860	PIKEVILLE MEDICAL CENTER	PIKEVILLE
510870	PINEVILLE COMMUNITY HOSPITAL	PINEVILLE
510670	REGIONAL MED CTR HOPKINS CTY	MADISONVILLE
510745	ROCKCASTLE COUNTY HOSPITAL	MOUNT VERNON

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511000	RUSSELL COUNTY HOSPITAL	RUSSELL SPRINGS
510420	SAMARITAN HOSPITAL	LEXINGTON
510400	SPRINGVIEW HOSPITAL	LEBANON
510717	ST CLAIRE MEDICAL CENTER	MOREHEAD
510110	ST ELIZABETH MEDICAL CENTER	COVINGTON
10000080	ST LUKE EAST/WEST SINGLE	FORT THOMAS
510184	ST LUKE HOSPITAL - EAST	FORT THOMAS
510120	ST LUKE HOSPITAL - WEST	FLORENCE
510440	ST. JOSEPH HOSPITAL	LEXINGTON
510435	ST. JOSEPH HOSPITAL EAST	LEXINGTON
510620	STS MARY & ELIZABETH HOSPITAL	LOUISVILLE
510240	T J SAMSON COMMUNITY HOSPITAL	GLASGOW
510076	TAYLOR COUNTY HOSPITAL	CAMPBELLSVILLE
510477	THREE RIVERS MEDICAL CENTER	LOUISA
510073	TRIGG COUNTY HOSPITAL	CADIZ
510403	TWIN LAKES REGIONAL MED CENTER	LEITCHFIELD
510732	UNION COUNTY METHODIST	MORGANFIELD
510455	UNIVERSITY OF KENTUCKY HOSP	LEXINGTON
510550	UNIVERSITY OF LOUISVILLE HOSP	LOUISVILLE
510180	US IRELAND ARMY COMMUNITY HOSP	FORT KNOX
510470	VA MEDICAL CENTER - LEXINGTON	LEXINGTON
510570	VA MEDICAL CENTER - LOUISVILLE	LOUISVILLE
510708	WAYNE COUNTY HOSPITAL	MONTICELLO
510815	WESTERN BAPTIST HOSPITAL	PADUCAH
510086	WESTLAKE CUMBERLAND HOSPITAL	COLUMBIA
510967	WHITESBURG APP REG HOSP	WHITESBURG
510935	WILLIAMSON APP REG HOSP	S WILLIAMSON

### COMBINED IDS

Code	Name	City
513012	BOWLING GREEN COMBINED	BOWLING GREEN
513014	JEWISH ST MARYS COMBINED	LOUISVILLE
513001	NORTON HEALTHCARE	LOUISVILLE
513009	OWENSBORO MEDICAL HEALTH SYSTEMS	OWENSBORO

### NON-HOSPITAL FACILITIES

Code	Name	City
518120	ARH CUMBERLAND VALLEY PCC	LYNCH
518096	ASHLAND BELLEVONTE CANCER CTR	ASHLAND
518128	BEREA CANCER TREATMENT CENTER	BEREA
518110	BLUE GRASS HEMATOLOGY ONCOLOGY	LEXINGTON
518098	BLUEGRASS CANCER CENTER	FRANKFORT
518026	BLUEGRASS RADIATION ONCOLOGY	CAMPBELLSVILLE

518097	BOWLING GREEN RX ONC ASSOC	BOWLING GREEN
518067	BRANDENBURG PC	BRANDENBURG
518044	CENTER FOR SURGICAL CARE	FORT THOMAS
518109	COMMONWEALTH HEMATOLOGY/ONCOL	FRANKFORT
518127	CONSULTANTS IN BLOOD DISORDERS	LOUISVILLE
518099	DANVILLE RADIATION TX CENTER	DANVILLE
518027	DIXIE HEALTH CENTER	LOUISVILLE
518028	DOWNTOWN RADIOLOGY	MIDDLESBORO
518119	DR CATHERINE HELTSLEY	BOWLING GREEN
518108	DRS. WINKLER & GINN OFFICE	PADUCAH
518029	DUPONT SURGERY CENTER	LOUISVILLE
518030	E. BERNSTADT OP SURG. CENTER	EAST BERNSTADT
518021	E. C. GREEN CANCER CENTER	HOPKINSVILLE
518031	E. KY. HEALTH SERVICES	HINDMAN
518032	E. KY. HEALTH SERVICES	WAYLORD
518033	E. KY. UNIV. CHILD/FAM. CLINIC	RICHMOND
518121	EAST TN ONCOLOGY HEMATOLOGY	MIDDLESBORO
518034	EDMONTON PCC	EDMONTON
518035	ELLIOTT CO. MEDICAL CLINIC	SANDY HOOK
518036	EMW WOMEN'S SURG. CENTER	LOUISVILLE
518122	E-TOWN ONCOLOGY HEMATOLOGY	ELIZABETHTOWN
518037	FAMILY CARE CENTER	LEXINGTON
518042	FAMILY HLTH CARE CENTER	SCOTTSVILLE
518038	FAMILY HLTH CTR/FAIRDALE	LOUISVILLE
518040	FAMILY HLTH CTR/IROQUOIS	LOUISVILLE
518039	FAMILY HLTH CTR/PORTLAND	LOUISVILLE
518041	FAMILY HLTH CTR/SHELBY	LOUISVILLE
518043	GARDENVIEW WOMENS HLTH SERV.	MANCHESTER
518018	GEORGETOWN CANCER TREATMENT CT	GEORGETOWN
518100	GLASGOW RX TX CENTER	GLASGOW
518101	GRAVES GILBERT CLINIC	BOWLING GREEN
518025	HEMATOLOGY & ONCOLOGY CENTER	SOMERSET
518047	HENDERSON CANCER CENTER	HENDERSON
518046	HENDERSON LAB AND X RAY	HENDERSON
518019	HIGHLANDS CANCER CENTER	PRESTONBURG
518048	HILLVIEW MEDICAL CLINIC	FULTON
518045	HLTH. HELP WHITE HOUSE CLINIC	MCKEE
518049	HOPE FAMILY MED. CENTER	SALYERSVILLE
518050	HYDEN CLINIC	HYDEN
518051	IROQUOIS SURGICAL CENTER	LOUISVILLE
518129	JACKSON ONCOLOGY SERVICES	JACKSON
518126	JAMES GOULD, MD	PADUCAH
518117	JAMES GRAHAM BROWN - NHF	LOUISVILLE

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518001	JAMES GRAHAM BROWN CANCER CNTR	LOUISVILLE
518102	JAMES GRAHAM BROWN CLIN/DENTAL	LOUISVILLE
518052	JEFFERSON E. INTENSIVE OP SVC	LOUISVILLE
518053	JUNE BUCHANAN PCC	HINDMAN
518054	KATE IRELAND WOMENS HLTHCARE	HYDEN
518023	KENTUCKIANA CANCER INSTITUTE	LOUISVILLE
518104	KENTUCKY CANCER CLINIC	HAZARD
518103	KINDRED RADIATION CENTER	LOUISVILLE
518056	KNOX FAMILY MEDICINE	BARBOURVILLE
518055	KY. DIAGNOSTIC CENTER	EDGEWOOD
518017	LAKE CUMBERLAND AMB SG CENTER	SOMERSET
518057	LEATHERWOOD/BLACKEY MED. CTR	CORNETTSVILLE
518058	LEWIS COUNTY PCC	VANCEBURG
518061	LEXINGTON CLINIC	LEXINGTON
518059	LEXINGTON DIAGNOSTIC CENTER	LEXINGTON
518111	LEXINGTON ONCOLOGY ASSOCIATES	LEXINGTON
518060	LEXINGTON SURGERY CENTER	LEXINGTON
518062	LEXINGTON/FAYETTE HEALTH DEPT.	LEXINGTON
518130	LOUISVILLE ONCOLOGY	LOUISVILLE
518107	LOUISVILLE RADIATION ONCOLOGY	LOUISVILLE
518063	LOUISVILLE SURGERY CENTER	LOUISVILLE
518123	M AZEEM NIAZI, MD	MANCHESTER
518064	MAGNETIC RESONANCE IMAGING	LOUISVILLE
518065	MARTIN COUNTY RADIOLOGY	INEZ
518112	MAYSVILLE CANCER TREATMENT CTR	MAYSVILLE
518066	MCROBERTS MED. CLINIC RHC	MCROBERTS
518068	MEDICAL ASSESSMENT CLINIC	LOUISVILLE
518069	MEDICAL HEIGHTS SURG CENTER	LEXINGTON
518070	MENIFEE MEDICAL CENTER	FRENCHBURG
518020	MONTGOMERY CANCER CENTER	MOUNT STERLING
518016	MOREHEAD CANCER TREATMENT CTR	MOREHEAD
518071	MOREHEAD CLINIC	MOREHEAD
518072	MRI ASSOCIATES	LEXINGTON
518022	MT STERLING CANCER TRTMNT CTR	MOUNT STERLING
518073	MUD CREEK CLINIC	GRETHERL
518074	NEWBURG PRIMARY CARE CENTER	LOUISVILLE
518024	NORTON BROWNSBORO HOSPITAL	LOUISVILLE
518106	ONCOLOGY HEMATOLOGY CARE	CRESTVIEW HILLS
518075	OWENSBORO AMBULATORY SURG	OWENSBORO
518076	OWSLEY CO. MEDICAL CLINIC	BOONEVILLE
518078	PADUCAH AREA PHYSICIANS	PADUCAH
518077	PADUCAH MRI	PADUCAH
518079	PARK DUVALLE COMM. HLTH CTR	LOUISVILLE

518080	PARKWAY MEDICAL CLINIC	MANCHESTER
518081	PINE MOUNTAIN CLINIC	BLEDSOE
518082	RED BIRD MOUNTAIN MED. CTR.	BEVERLY
518113	RICHMOND REGIONAL ONCOLOGY CTR	RICHMOND
518083	SALYERSVILLE HEALTH CARE CTR	SALYERSVILLE
518084	SOMERSET SURGERY CENTER	SOMERSET
518086	SOUTHEASTERN KY. DIAGNOSTIC	CORBIN
518085	SOUTHEASTERN KY. RX ONCOLOGY	CORBIN
518015	SOUTHERN KY HEMATOLOGY & ONC	SOMERSET
518087	SPENCER COUNTY RHC	TAYLORSVILLE
518088	ST. JOHNS HEALTH CLINIC	LOUISVILLE
518089	SURGECENTER OF LOUISVILLE	LOUISVILLE
518090	SURGICAL CTR OF ELIZABETHTOWN	ELIZABETHTOWN
518115	SURGICARE CENTER	PADUCAH
518114	THE CANCER CTR AT LEXINGTON CL	LEXINGTON
518092	THE EYE SURG CTR OF PADUCAH	PADUCAH
518091	THE MCPEAK SURGERY CENTER	GLASGOW
518094	TRI STATE REGIONAL CANCER CTR	ASHLAND
518105	U OF L PC CLINICS	LOUISVILLE
518005	UK CLINICS-BREAST	LEXINGTON
518003	UK CLINICS-DERMATOLOGY	LEXINGTON
518013	UK CLINICS-ENT	LEXINGTON
518004	UK CLINICS-GYNECOLOGY\ONCOLOGY	LEXINGTON
518009	UK CLINICS-INTERNAL MEDICINE	LEXINGTON
518010	UK CLINICS-KY CLINIC SOUTH	LEXINGTON
518012	UK CLINICS-NEUROSURGERY	LEXINGTON
518014	UK CLINICS-OPTHALMOLOGY	LEXINGTON
518008	UK CLINICS-PEDIATRICS	LEXINGTON
518011	UK CLINICS-PLASTICS	LEXINGTON
518007	UK CLINICS-SURGERY	LEXINGTON
518006	UK CLINICS-UROLOGY	LEXINGTON
518002	UNITED RADIATION ONCOLOGY	LEXINGTON
518118	UNIVERSITY OB-GYN	LOUISVILLE
518116	UROLOGISTS	STATEWIDE
518124	VINAY VERMANI, MD	ASHLAND
518125	WESTERN KY HEMATOLOGY/ONC GRP	PADUCAH
518095	WOOTON RURAL HEALTH CLINIC	WOOTON

## FREESTANDING PATHOLOGY LABORATORIES

Code	Name	City
517022	AMERIPATH KENTUCKY	LEXINGTON
517003	ASSOCIATED PATHOLOGY LABS	LEXINGTON
517005	CLINICAL PATH ASSOC	LOUISVILLE

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517006	CORBIN PATHOLOGY	CORBIN
517007	CUMBERLAND MEDICAL LABS	SOMERSET
517008	DERMATOLOGISTS	STATEWIDE
517010	FINCASTLE MEDICAL GROUP, PSC	LOUISVILLE
517012	HENDERSON LAB & XRAY	HENDERSON
517013	KY CABINET FOR HUM RES LABS	FRANKFORT
517018	LABCORP, INC.	LOUISVILLE
517032	LABORATORY PHYSICIANS	LOUISVILLE
517014	LABORATORY PHYSICIANS, PSC	LOUISVILLE
517033	LEXINGTON CLINIC PATH LAB	LEXINGTON
517015	LOUISVILLE JEFF CO PUBLIC HLTH	LOUISVILLE
517016	MEDICAL LAB OF HOPKINSVILLE	HOPKINSVILLE
517017	MEDICAL LAB SERVICES	OWENSBORO
517009	MEDICAL LABORATORY CONSULTANTS	LOUISVILLE
517019	OFFICE PARK DX SERVICES	LEXINGTON
517031	OUT OF STATE LABS	OUTSIDE KY
517020	OWENSBORO MED CTR LAB	OWENSBORO
517021	P&C LABS	LEXINGTON
517023	PATHOLOGY LAB	ERLANGER
517001	QUEST DIAGNOSTICS	LEXINGTON
517024	ROCHE BIOMEDICAL LAB	PADUCAH
517025	ROCHE BIOMEDICAL LAB	LEXINGTON
517026	ROCHE BIOMEDICAL LAB	GLASGOW
517027	SOUTHERN MEDICAL LAB	GLASGOW
517028	TOTAL CARE	PINEVILLE
517029	TROVER CLINIC	MADISONVILLE
517004	U OF L ORAL PATH LAB	LOUISVILLE
517002	UK ORAL PATHOLOGY	LEXINGTON
517030	WL MILL PSC CLINICAL LAB	GREENVILLE

## APPENDIX G - SURGICAL PROCEDURE CODES-FORDS

The site-specific surgery codes are taken from Appendix C of the 2007 SEER Program Coding and Staging Manual, which is based on Appendix B of the ACoS FORDS Manual - revised 2007. The surgery codes are identical to FORDS but the SEER appendix also contains supplementary annotations, including the 2007 MP/H rules. It is divided into six sections, which can be found at:

[http://seer.cancer.gov/manuals/2007/SPCSM\\_2007\\_AppendixC\\_p1.pdf](http://seer.cancer.gov/manuals/2007/SPCSM_2007_AppendixC_p1.pdf) (C00-C14)  
[http://seer.cancer.gov/manuals/2007/SPCSM\\_2007\\_AppendixC\\_p2.pdf](http://seer.cancer.gov/manuals/2007/SPCSM_2007_AppendixC_p2.pdf) (C15-C26)  
[http://seer.cancer.gov/manuals/2007/SPCSM\\_2007\\_AppendixC\\_p3.pdf](http://seer.cancer.gov/manuals/2007/SPCSM_2007_AppendixC_p3.pdf) (C30-C39)  
[http://seer.cancer.gov/manuals/2007/SPCSM\\_2007\\_AppendixC\\_p4.pdf](http://seer.cancer.gov/manuals/2007/SPCSM_2007_AppendixC_p4.pdf) (C40-C49)  
[http://seer.cancer.gov/manuals/2007/SPCSM\\_2007\\_AppendixC\\_p5.pdf](http://seer.cancer.gov/manuals/2007/SPCSM_2007_AppendixC_p5.pdf) (C50-C63)  
[http://seer.cancer.gov/manuals/2007/SPCSM\\_2007\\_AppendixC\\_p6.pdf](http://seer.cancer.gov/manuals/2007/SPCSM_2007_AppendixC_p6.pdf) (C64-C80)

For the FORDS Manual, Appendix B, go to:

<http://www.facs.org/cancer/coc/fords/2007/fordsappendixb0906.pdf>.

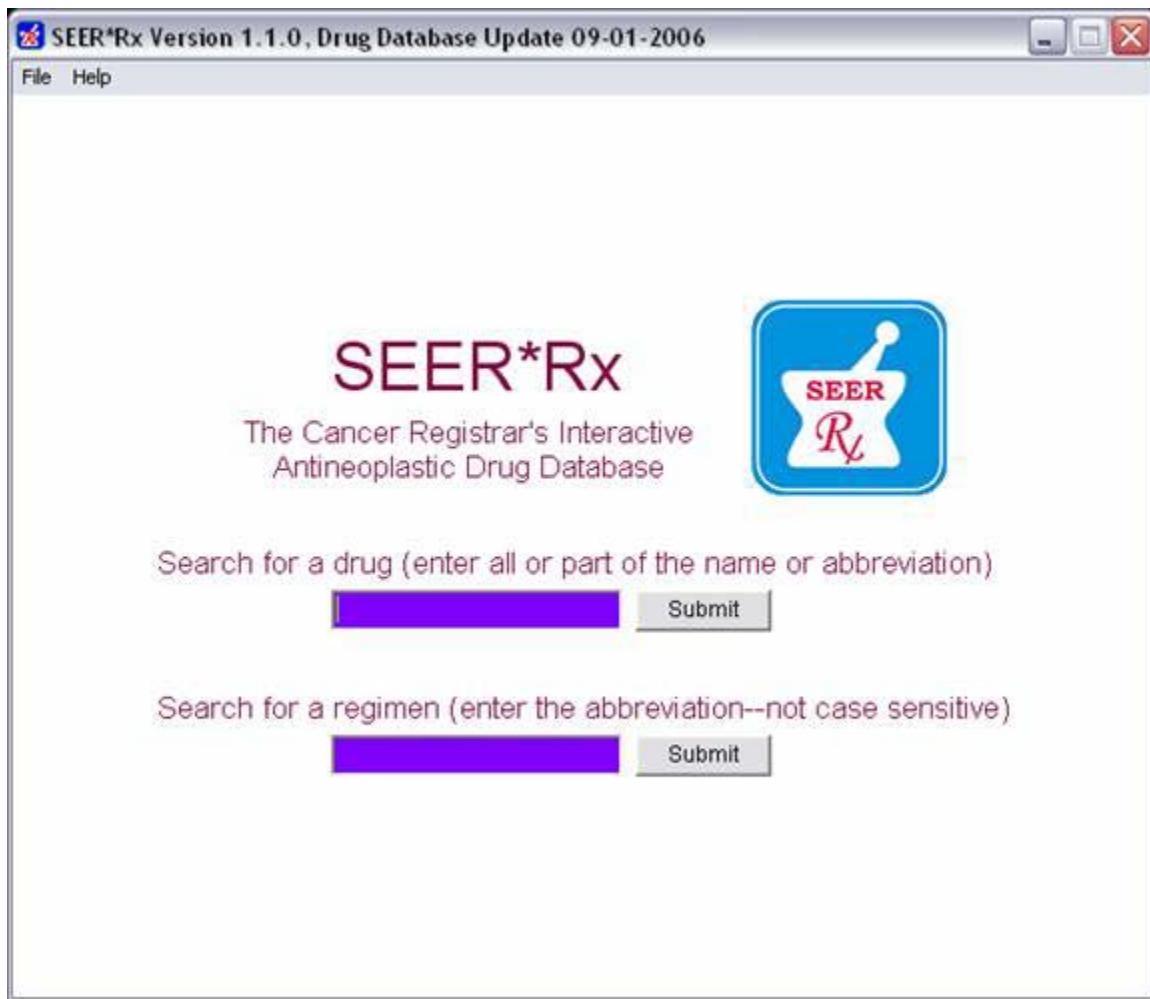
For diagnoses prior to January 1, 2003, use the ROADS surgery codes, which can be found at:

<http://seer.cancer.gov/manuals/AppendC.pdf>

## APPENDIX H - TREATMENT AGENTS

For cases diagnosed from 2005 onward, the SEER Rx software should be used to identify and categorize treatment agents as chemotherapy, hormone agents, immunotherapy or ancillary agents. (Ancillary agents are not considered treatment.) The software is available from the SEER web page: <http://seer.cancer.gov/tools/seerrx/>

It looks like this:



The rest of Appendix H is to be used for diagnoses made prior to 2005.

## THERAPY AGENTS (PRE-2005)

(Alphabetical Listing)

### **Helpful Information**

- \*Different names for the same agent are separated by commas (,) within a line.
- \*Individual agents in combo regimens are separated by forward slashes (/).
- \*Some combo regimens consist of chemotherapy and hormone therapy agents (C, H); both categories should be entered as therapies.
- \*When looking up a combo regimen by the individual agents, begin searching for the agent that comes alphabetically first.  
If it is not listed under that agent begin searching for the agent that comes alphabetically next, etc.  
Remember that agents listed as a part of a combo regimen may be known by different names (synonyms).

### **Therapy Type**

- I** biological response modifiers, otherwise known as immunotherapy
- C** chemotherapy agents
- H** hormone therapy agents

### **TYPE    AGENT CROSS-REFERENCE**

C	2-FAS, 2-Fluroadenosine
C	2-Fluroadenosine, 2-FAS
C	5-Azacytidine, Azacytidine, AZA
C, H	5-azacytidine/Ara-C/Daunomycin/Prednisone/Vincristine, D-AZPO
C	5-Fluorouracil/Adriamycin/Cytoxan, CAF
C	5-fluorouracil/Andriamycin/Cytoxan/Methotrexate, CAMF
C	5-Fluorouridine, F3TDR
C	5-Fluoruracil, Adrucil, 5-FU
C	5-FU, Adrucil, 5-Fluoruracil
C	5-FU/Adriamycin/Cytoxan, FAC
C	5-FU/Adriamycin/Mitomycin C, FAM
C	5-FU/Adriamycin/Platinol, FAP
C	5-FU/BCNU/Dacarbazine/Vincristine, FIVB
C	5-FU/Cytoxan/Hexamethylmelamine/Methotrexate, HEXA-CAF
C	5-FU/Cytoxan/Methotrexate, CMF
C, H	5-FU/Cytoxan/Methotrexate/Prednisone, FACP
C, H	5-FU/Cytoxan/Methotrexate/Prednisone/Vincristine, COMFP
C	5-FU/Mitomycin C, MF
C	5-FU/Mitomycin C/Streptozotocin, SMF
C	5-FU/Mitomycin C/Vincristine, FOMi

- C 5-FU/Mitomycin C/Vindesine, FEMi  
C 5-FU/Mitomycin/Oncovin, MOF  
C 5-FU/Mitomycin/Oncovin/Streptozotocin, MOF-S  
C 6-Mercaptopurine riboside, 6MP  
C, H 6-Mercaptopurine/Amethopterin/Prednisone/Vincristine, VAMP  
C, H 6-mercaptopurine/L-Asparaginase/Methotrexate/Prednisolone/Vincristine, POMPA  
C, H 6-Mercaptopurine/Methotrexate/Prednisone/Vincristine, POMP  
C 6-Methylmercaptopurine riboside, 6-MMPR  
C 6-MMPR, 6-Methylmercaptopurine riboside  
C 6MP, 6-Mercaptopurine riboside  
C 6TG, Thioguanine  
C 6-Thioguanine/Ara-C/Daunomycin, TAD  
I 13-CIS retinoic acid  
C A3, Chromomycin  
C AB-121, Meturedopa, TURLOC  
C ABVD, Adriamycin/Bleomycin/DTIC/Velban  
C AC, Adriamycin/Cytoxan, cyclophosphamide  
C ACDA, Anthracenedicarboxaldehyde, Orange crush , Bisantrene  
C ACE, Adriamycin/Cytoxan/VP-16, etoposide  
C Acivicin, AT-125  
C Acla A, adarubicin, Aclacinomycin A  
C Aclacinomycin A, adarubicin, Acla A  
C Acridinyl Aniside, amsacrine, AMSA  
C ACTD, Cosmegan, Actinomycin D, Dactinomycin  
H ACTH, Adrenocorticotropin, Corticotropin  
C Actinomycin D, Dactinomycin, Cosmegan, ACTD  
C Actinomycin D/Chlorambucil/Methotrexate, MAC  
C Actinomycin D/DTIC/Vindesine, VAD  
C AD-32, Adriamycin derivative  
C Adarubicin, Aclacinomycin A, Acla A  
C ADCA,Orange Crush, Bisantrene  
C, H ADOAP, Adriamycin/Ara-C/Prednisone/Vincristine  
C ADR, Adriamycin, Doxorubicin  
H Adrenocorticotropin, Corticotropin, ACTH  
C Adriamycin derivative, AD-32  
C Adriamycin, Doxorubicin, ADR  
C, H Adriamycin/Ara-C/Prednisone/Vincristine, ADOAP  
C, H Adriamycin/BCNU/Prednisone/Vincristine, VBAP  
C, H Adriamycin/BCNU/Prednisone/Vindesine, EBAP  
C Adriamycin/Bleomycin/CCNU/Velban, BCAV  
C Adriamycin/Bleomycin/DTIC/Velban, ABVD

- C Adriamycin/Bleomycin/Platinol/Velban, PVBA
- C Adriamycin/CCNU/Cytoxan/Vincristine, CCV-AV
- C Adriamycin/CCNU/CytoxanMethotrexate, MACC
- C Adriamycin/CCNU/Methotrexate/Mitomycin C, MACM
- C Adriamycin/CIS-platinum/Cytoxan, CAP
- C Adriamycin/CIS-platinum/Cytoxan, PLAC
- C Adriamycin/CIS-platinum/Cytoxan/Hexamethylmelamine, CHAP
- C Adriamycin/Cyclophosphamide/Methotrexate/Procarbazine, CAMP
- C Adriamycin/Cytoxan, AC, cyclophosphamide
- C Adriamycin/Cytoxan, CA
- C, H Adriamycin/Cytoxan/BCNU/Prednisone, BCAP
- C, H Adriamycin/Cytoxan/Bleomycin/Oncovin/Prednisone, BACOP
- C Adriamycin/Cytoxan/DTIC/Vincristine, CYVADIC
- C, H Adriamycin/Cytoxan/Epipodophyllotoxin/Methotrexate/Prednisone, PRO-MACE
- C Adriamycin/Cytoxan/Hexamethylmelamine, CAH
- C Adriamycin/Cytoxan/Methotrexate, CAM
- C Adriamycin/Cytoxan/Platinol, PAC-5
- C, H Adriamycin/Cytoxan/Prednisone/Procarbazine/Vincristine, CHOPP
- C, H Adriamycin/Cytoxan/Prednisone/Vincristine, CHOP
- C, H Adriamycin/Cytoxan/Prednisone/Vincristine, VCAP
- C, H Adriamycin/Cytoxan/Tamoxifen, TAC
- C, H Adriamycin/Cytoxan/Tamoxifen/Vincristine, TACO
- C Adriamycin/Cytoxan/Vincristine, CAV
- C Adriamycin/Cytoxan/Vincristine, VAC
- C Adriamycin/Cytoxan/Vincristine/VP-16, CAVV
- C Adriamycin/Cytoxan/Vincristine/VP-16, EVAC
- C Adriamycin/Cytoxan/VP-16, ACE, etoposide
- C Adriamycin/Mitomycin C, MA
- C Adriamycin/Platinol, PA
- C Adriamycin/Procarbazine/Vindesine, VAP
- C Adriamycin/Vincristine, AV
- C Adrucil, 5-Fluoruracil, 5-FU
- C Alanosine
- I Aldara, Imiquinod
- I Aldesleukin, Proleukin
- I Alemtuzumab, Campath
- C Alimta
- C Alkeran, Melphalan, L-PAM, L-Phenylalanine Mustard, Phenylalanine Mustard
- C, H Alkeran/Prednisone, AP
- C Altretamine, Hexalen
- C Amethopterin, Methotrexate, MTX

- H Aminoglutethimide, Cytodren, Elipten  
C Aminopterin, APGA  
C Aminothiadiazole, ATDA  
H Amnestrogen  
C Amonofide, nafidimide, Ara A  
C AMSA, Acridinyl anisidine, Amsacrine  
C AMSA/CIS-platinum/Vindesine, APPLE  
C Amsacrine, Acridinyl anisidine, AMSA  
H Anastrozole, Arimidex  
C Anguidine  
C Aniline Mustard  
C Anthracenedicarboxaldehyde, ACDA, Orange crush, Bisantrene  
C, H AP, Alkeran/Prednisone  
C APGA, Aminopterin  
C APPLE, AMSA/CIS-platinum/Vindesine  
C Ara A, nafidimide, Amonofide  
C Ara-C, Cytarabine, Cytosar, Cytosine Arabinoside, Cytocline Arabinoside  
C Ara-C/Daunorubicin, DA  
C Ara-C/DNR, Cytosar/Daunorubicin  
C, H Ara-C/PrednisoneRubidazole/Vincristine, ROAP  
C Ara-C/TG, Cytosar/Thioguanine  
H Arimidex, Anastrozole  
H Aromasin, Exemestane  
C Arsenic trioxide, Trisenox  
C Asparaginase  
C AT-125, Acivicin  
C Atabrine, Quinacrine, QUIN  
C ATDA, Aminothiadiazole  
C AV, Adriamycin/Vincristine  
C AZA, 5-Azacytidine, Azacytidine  
C Azacytidine, 5-Azacytidine, AZA  
C AZAG, Azaguanine  
C Azaguanine, AZAG  
C AZAS, Azaserine  
C Azaserine, AZAS  
C AZAT, Azathioprine  
C Azathioprine, AZAT  
C Azauracil, AZU  
C Azauridine, AZUR  
C Aziridinylbenzoquinone, AZQ  
C AZOT, Azotomycin

C	Azotomycin, AZOT
C	AZQ, Aziridinylbenzoquinone
C	AZU, Azauracil
C	AZUR, Azauridine
I	Bacillus of Calmette-Connaught, BCG-Connaught
I	Bacillus of Calmette-Guerin, BCG
I	Bacillus of Calmette-Pasteur, BCG-Pasteur
I	Bacillus of Calmette-Tice, BCG-Tice
C, H	BACOP, Adriamycin/Cytoxan/Bleomycin/Oncovin/Prednisone
C	BAF, Triazinate, Baker's Antifol
C	Baker's Antifol, Triazinate, BAF
C	Bayer 305, Moryanly, Sodium Suramin
C, H	BCAP, Adriamycin/Cytoxan/BCNU/Prednisone
C	BCAV, Adriamycin/Bleomycin/CCNU/Velban
I	BCG, Bacillus of Calmette-Guerin
I	BCG-Connaught, Bacillus of Calmette-Connaught
I	BCG-Pasteur, Bacillus of Calmette-Pasteur
I	BCG-Tice, Bacillus of Calmette-Tice
C	BCM, Mannomustine
C	BCMF, Bleomycin/Cytoxan/Fluorouracil/Methotrexate
C	BCNU, Carmustine
C	BCNU/Bleomycin/Hexamethylmelamine/Velban, HEXA-BVB
C	BCNU/Cytoxan/Methotrexate/MGBG/Vincristine, BCOMM
C, H	BCNU/Cytoxan/Oncovin/Prednisone, BCOP
C, H	BCNU/Cytoxan/Prednisone/Procarbazine/Vincristine, BVCPP
C	BCNU/DTIC/Hydroxyurea, BHD
C	BCNU/DTIC/Vincristine, BVD
C, H	BCNU/Prednisone/Procarbazine/Vincristine, BOPP
C	BCCOMM, BCNU/Cytoxan/Methotrexate/MGBG/Vincristine
C, H	BCOP, BCNU/Cytoxan/Oncovin/Prednisone
C, H	BCP, Cytoxan/BCNU/Prednisone
C	BDCA, Diammine platinum, Carboplatin, CBDCA
H	Betamethasone, Celestone
C	Beta-TGdR, BTGR
I	Bexarotene, Targretin, LGD 1069
C	BHD, BCNU/DTIC/Hydroxyurea
H	Bicalutamide, Casodex
C	Bisantrene, Orange crush, Anthracenedicarboxaldehyde, ACDA
C	Blenoxane, Bleomycin. BLEO
C	BLEO, Blenoxane, Bleomycin
C	Bleomycin, Blenoxane, BLEO

- C Bleomycin/CIS-platinum/Velban, CVB  
C Bleomycin/Cytoxan/Fluorouracil/Methotrexate, BCMF  
C Bleomycin/Metomycin C, BM  
C Bleomycin/Mitomycin C/Vincristine, MOB  
C Bleomycin/Platinol/Velban, PVB  
C BM, Bleomycin/Metomycin C  
I Bone Marrow Transplant  
C, H BOPP, BCNU/Prednisone/Procarbazine/Vincristine  
I Bromocriptine  
C Bromodeoxyuridine, BUDR  
C Bruceantin  
C BTGR, Beta-TGdR  
C BUDR, Bromodeoxyuridine  
C BUS, Busulfan, Myleran  
C Busulfan, Myleran, BUS  
C Butanoic Acid, Indicine-N-oxide  
C Butocin  
C, H BVCPP, BCNU/Cytoxan/Prednisone/Procarbazine/Vincristine  
C BVD, BCNU/DTIC/Vincristine  
C CA, Adriamycin/Cytoxan  
C CAF, 5-Fluorouracil/Adriamycin/Cytoxan  
C CAH, Adriamycin/Cytoxan/Hexamethylmelamine  
H CAL, Calusterone, Methosarb  
H Calusterone, Methosarb, CAL  
C CAM, Adriamycin/Cytoxan/Methotrexate  
C CAMF, 5-fluorouracil/Andriamycin/Cytoxan/Methotrexate  
C CAMP, Adriamycin/Cyclophosphamide/Methotrexate/Procarbazine  
I Campath, Alemtuzumab  
C Camptosar, Irinotecan  
C Camptothecin  
C CAP, Adriamycin/CIS-platinum/Cytoxan  
C Capecitabine, Xeloda  
C Caracemide  
H Carbestrol  
C Carboplatin, Diammine platinum, BDCA, CBDCA  
C Carmustine with Prolifeprosan 20 Implant, Gliadel Wafer  
C Carmustine, BCNU  
H Casodex, Bicalutamide  
C CAV, Adriamycin/Cytoxan/Vincristine  
C CAVV, Adriamycin/Cytoxan/Vincristine/VP-16  
C CBDCA, Carboplatin, Diammine platinum, BDCA

C	CCNU, Lomustine
C	CCNU/Cytoxan/Procarbazine/Vincristine, POCC
C	CCNU/Cytoxan/Vincristine, CCV
C	CCNU/Procarbazine/Vincristine, PCV
C, H	CCSG, L-asparaginase/Prednisone/Vincristine
C	CCV, CCNU/Cytoxan/Vincristine
C	CCV-AV, Adriamycin/CCNU/Cytoxan/Vincristine
C	C-DDP, Platinol, CIS-platinum, cisplatin
H	Celestone, Betamethasone
C	CHAP, Adriamycin/CIS-platinum/Cytoxan/Hexamethylmelamine
C	CHIP
C	CHL, Chlorambucil, Leukeran
C	Chlorambucil, Leukeran, CHL
H	Chlormadinone acetate
H	Chlorotrinanisene, TACE
C	Chlorozotocin, DCNU
C, H	CHOP, Adriamycin/Cytoxan/Prednisone/Vincristine
C, H	CHOPP, Adriamycin/Cytoxan/Prednisone/Procarbazine/Vincristine
C	Chromomycin, A3
C	Cisplatin, Platinol, C-DDP, CIS-Platinum
C	CIS-platinum, Platinol, C-DDP, cisplatin
C	Cladrabine, Leustatin
C	CMC, Cytoxan/Lomustine/Methotrexate
C	CMF, 5-FU/Cytoxan/Methotrexate
C, H	CMFVP, Cytoxan/Fluorouracil/Methotrexate/Prednisone/Vincristine
C, H	C-MOPP, Cytoxan/Methotrexate/Oncovin/Prednisone/Procanbazine
C, H	COAP, Cytosine arabinoside/Cytoxan/Prednisone/Vincristine
C	Colchicine
C	COM, Cytoxan/Methotrexate/Vincristine
C, H	COMFP, 5-FU/Cytoxan/Methotrexate/Prednisone/Vincristine
C, H	COMP, Cytoxan/Methotrexate/Prednisone/Vincristine
H	Compound E, Cortisone acetate
H	Conjugated Estrogens
C, H	COP, Cytoxan/Prednisone/Vincristine
I	Coparvax, C-Parvum, Corynebacterium Parvum, CPAR
H	Corticotropin, ACTH, Adrenocorticotropic
H	Cortisone acetate, Compound E
I	Corynebacterium Parvum, C-Parvum, Coparvax, CPAR
C	Cosmegan, Actinomycin D, Dactinomycin, ACTD
I	Coumarin
I	CPAR, C-Parvum, Corynebacterium Parvum, Coparvax

- I C-Parvum, Corynebacterium Parvum, Coparvax CPAR  
C CPT-11  
C CTB, Cytembena  
C CTX, Neosar, Cyclophosphamide, Cytoxine, Cytoxan  
C CVB, Bleomycin/CIS-platinum/Velban  
C Cyclo-C, Cyclocytidine  
C Cyclocytidine, Cyclo-C  
C Cyclo-L, Cycloleucine  
C Cycloleucine, Cyclo-L  
C Cyclophosphamide, AC, Adriamycin/Cytoxan  
C Cyclophosphamide, Cytoxine, Neosar, CTX, Cytoxan  
H Cyproterone acetate  
C Cytarabine liposomal, Depocyt  
C Cytarabine, Cytosar, Cytosine Arabinoside, Ara-C  
C Cytembena, CTB  
C Cytocline Arabinoside, Cytosine Arabinoside, Ara-C, Cytosar, Cytarabine  
H Cytodren, Elipten, Aminoglutethimide  
C Cytosar, Cytosine Arabinoside, Cytocline Arabinoside, Cytarabine, Ara-C  
C Cytosar/Daunorubicin, Ara-C/DNR  
C Cytosar/Thioguanine, Ara-C/TG  
C Cytosine Arabinoside, Cytocline Arabinoside, Cytosar, Cytarabine, Ara-C  
C, H Cytosine arabinoside/Cytoxan/Prednisone/Vincristine, COAP  
C Cytoxan, Cyclophosphamide, CTX, Neosar, Cytoxine  
C, H Cytoxan/BCNU/Prednisone, BCP  
C, H Cytoxan/Fluorouracil/Methotrexate/Prednisone/Vincristine, CMFVP  
C Cytoxan/Lomustine/Methotrexate, CMC  
C, H Cytoxan/Methotrexate/Oncovin/Prednisone/Procanbazine, C-MOPP  
C, H Cytoxan/Methotrexate/Prednisone/Vincristine, COMP  
C Cytoxan/Methotrexate/Vincristine, COM  
C, H Cytoxan/Prednisone/Vincristine, COP  
C Cytoxine, Cyclophosphamide, Neosar, CTX, Cytoxan  
C CYVADIC, Adriamycin/Cytoxan/DTIC/Vincristine  
C DA, Ara-C/Daunorubicin  
C Dacarbazine, DTIC  
C Dactinomycin, Actinomycin D, Cosmegan, ACTD  
C DAG, Dianhydrogalactitol  
H Danazol  
C, H Daraprim/Dexamethasone/Oncovin/Thioquanine, TODD  
C Daunomycin, Daunorubicin, DNR  
C Daunorubicin liposomal, Daunoxome  
C Daunorubicin, Daunomycin, DNR

C	Daunoxome, Daunorubicin liposomal
C, H	D-AZPO, 5-azacytidine/Ara-C/Daunomycin/Prednisone/Vincristine
C	DBD, Dibromodulcitol
C	DBM, Dibromolannitol
C	DCM, Dichloromethotrexate
C	DCNU, Chlorozotocin
C	DDMP, Meteprine
C	Deazauridine
H	DECA*, Dexamethasone*, Decadron*
H	Decadron*, DECA*, Dexamethasone*
I	Denileukin diftitox, Ontak
C	Deoxycoformycin, Nipent, Pentostatin
C	Deoxydoxorubincin
C	Deoxyspergualin
H	Depo Provera, Medroxyprogesterone Acetate
C	Depocyt, Cytarabine liposomal
H	DES, Diethylstilbestrol, Stilbesterol
C	Desmethylmisonidazole
H	Dexamethasone*, Decadron*, DECA*
C	DHAD, Mitoxantrone, Dihydroxyanthracenedione
H	DHEA Mustard, DHEA
H	DHEA, DHEA Mustard
C	Diammine platinum, Carboplatin, BDCA, CBDCA
C	Dianhydrogalactitol, DAG
C	Dibromodulcitol, DBD
C	Dibromolannitol, DBM
C	Dichloromethotrexate, DCM
H	Diethylstilbestrol, Stilbesterol, DES
C	Diglycoaldehyde, STGdR
C	Dihydro-5Azacytidine
C	Dihydopenperone
C	Dihydroxyanthracenedione, Mitoxantrone, DHAD
H	Dimethisterone
C	Dimethyl Sulfoxide, DMSO
C	DMSO, Dimethyl Sulfoxide
I	DNCB
C	DNR, Daunomycin, Daunorubicin
C	Docetaxel, Taxotere
C	DON, Duazomycin
C	Doxil, Doxorubicin liposomal
C	Doxorubicin liposomal, Doxil

- C Doxorubicin liposomal, Doxil  
C Doxorubicin, Adriamycin, ADR  
H Drolban, Dromostanolone propionate  
H Dromostanolone propionate, Drolban  
C DTIC, Dacarbazine  
C Duazomycin, DON  
C DVA, Vindesine  
C, H EBAP, Adriamycin/BCNU/Prednisone/Vindesine  
C Echinomycin, Quinomycin A  
H Eligard, Leuprolide acetate  
H Elipten, Aminoglutethimide, Cytodren  
C Ellence, Epirubicin, Epi-Doxorubicin, EpI  
C Eloxatine, Oxaliplatin  
C Elspar, L-Asparaginase, L-ASP  
H Emcyt, Estramustine  
C EMET, Emetine HCl  
C Emetine HCl, EMET  
C EpI, Ellence, Epirubicin, Epi-Doxorubicin  
C Epi-Doxorubicin, Epirubicin, Ellence, EpI  
C Epirubicin, Epi-Doxorubicin, Ellence, EpI  
I Epratuzumab  
H Equilin  
I Ergamisol, Levamisole  
H Estradiol  
H Estramustine, Emcyt  
H Estriol  
H Estrone  
C Ethidium Chloride  
H Ethynodiol Diacetate  
H Ethynodiol Diacetate  
H Ethynodiol Diacetate  
C Etopophos, Etoposide phosphate  
C Etoposide phosphate, Etopophos  
C etoposide, ACE, Adriamycin/Cytoxin/VP-16  
C Etoposide, VP-16-213, VP-16  
H Eulexin, Flutamide  
C EVAC, Adriamycin/Cytoxin/Vincristine/VP-16  
H Exemestane, Aromasin  
C F3TDR, 5-Fluorouridine  
C FAC, 5-FU/Adriamycin/Cytoxin  
C, H FACP, 5-FU/Cytoxin/Methotrexate/Prednisone

- C FAM, 5-FU/Adriamycin/Mitomycin C
- C FAP, 5-FU/Adriamycin/Platinol
- H Fareston, Toremifene
- H Faslodex, Fulvestrant
- H Femara, Letrozole
- C FEMi, 5-FU/Mitomycin C/Vindesine
- C FIVB, 5-FU/BCNU/Dacarbazine/Vincristine
- C Flavone Acetic Acid
- C Floxuridine, FUDR
- C Fludarabine Phosphate
- C Fluorouracil
- H Fluoxymesterone, Halotestin, HAL
- H Fluprednisolone
- H Flutamide, Eulexin
- C FOMi, 5-FU/Mitomycin C/Vincristine
- C FUDR, Floxuridine
- H Fulvestrant, Faslodex
- C GA(N03)3, Gallium Nitrate
- C Gallium Nitrate, GA(N03)3
- C Gefitinib, ZD1839, Iressa
- C Gemcitabine, Gemzar
- C Gemtuzumab-ozogamicin, Mylotarg
- C Gemzar, Gemcitabine
- C Gleevec, Imatinib mesylate
- C Gliadel Wafer, Carmustine with Prolifeprosan 20 Implant
- C Guanazole
- H HAL, Fluoxymesterone, Halotestin
- H Halotestin, HAL, Fluoxymesterone
- I Herceptin, Trastuzumab
- C HEXA-BVB, BCNU/Bleomycin/Hexamethylmelamine/Velban
- C HEXA-CAF, 5-FU/Cytoxan/Hexamethylmelamine/Methotrexate
- C Hexalen, altretamine
- C Hexamethylmelamine, HXM
- C Hexamethylmelamine/Methotrexate/VP-16, MVH
- C Hexamethylmelamine/Mitomycin C/Velban, HVM
- H Hexestrol
- C HMBA
- H HMD, Oxymetholone
- C HN2, Mustargen, Nitrogen Mustard, Mechlorethamine
- C HU, Hydrea, Hydroxyurea
- C HVM, Hexamethylmelamine/Mitomycin C/Velban

- C HXM, Hexamethylmelamine  
C Hycamtin, Topotecan  
C Hycanthone mesylate  
C Hydrea , Hydroxyurea, HU  
H Hydrocortisone\*  
H Hydroxprogesterone, Ethisterone  
C Hydroxyurea, Hydrea, HU  
C Idamycin, Idarubicin  
C Idarubicin, idamycin  
C Idoxuridine, IDU  
C IDU, Idoxuridine  
I IF, Interferon, Interleukan 2  
C IFOS, Isophosphamide, Ifosfamide  
C Ifosfamide, Isophosphamide, IFOS  
C Imatinib mesylate, Gleevec  
I Imiquinod, Aldara  
C Indicine-N-Oxide, Butanoic Acid  
I Interferon Alpha 2a and 2b  
I Interferon, IF, Interleukan 2  
I Interleukan 2, IF, Interferon  
C Iressa, Gefitinib, ZD1839  
C Irinotecan, camptosar  
C Isophosphamide, Ifosfamide, IFOS  
I LAK cells  
C L-ASP, Elspar, L-Asparaginase  
C L-Asparaginase, Elspar, L-ASP  
C, H L-asparaginase/Prednisone/Vincristine, CCSG  
C, H L-asparaginase/Prednisone/Vincristine, VPL-ASP  
LCR, Vincristine Sulfate, Leurocristine, Leurocristine Oncovin, Vincristine, Oncovin,  
C VCR  
H Letrozole, Femara  
C Leukeran, Chlorambucil, CHL  
H Leuprolide acetate implant, Viadur  
H Leuprolide acetate, Eligard  
H Leuprolide, Lupron  
Leurocristine Oncovin, Vincristine Sulfate, Vincristine, Oncovin, Leurocristine, VCR,  
C LCR  
Leurocristine, Vincristine Sulfate, Vincristine, Leurocristine Oncovin, Oncovin, VCR,  
C LCR  
C Leustatin, Cladrabine  
I Levamisole, Ergamisol

H	Levothyroxine
I	LGD 1069, Bexarotene, Targretin
H	Liothryronine
H	Liotrix
C	Lomustine, CCNU
C	L-PAM, Melphalan, Alkeran, L-Phenylalanine Mustard, Phenylalanine Mustard
C	L-Phenylalanine Mustard, L-PAM, Melphalan, Alkeran, Phenylalanine Mustard
H	Lupron, Leuprolide
C	MA, Adriamycin/Mitomycin C
C	MAC, Actinomycin D/Chlorambucil/Methotrexate
C	MACC, Adriamycin/CCNU/Cytoxan/Methotrexate
C	MACE, Methotrexate/Adriamycin/CCNU/Cytoxan
C	MACM, Adriamycin/CCNU/Methotrexate/Mitomycin C
C	Mannomustine, BCM
C	Maytansine
C	MCCNU, Methyl-CCNU, Semustine
C	Mechlorethamine, Nitrogen Mustard, Mustargen, HN2
H	Medroxyprogesterone Acetate, Depo Provera
H	Megace, Megestrol Acetate
H	Megestrol Acetate, Megace
H	Melengestrol Acetate
C	Melphalan, Alkeran, L-PAM, L-Phenylalanine Mustard, Phenylalanine Mustard
C, H	Melphalan/Prednisone, MP
C	Melphalan/Procarbazine/Velban, PAVe
I	MER, Mer-BCG
C	Merbarone
I	Mer-BCG, MER
C	Mesna, Methyltetrahydrohomofolate
H	Mestranol
C	Meteprine, DDMP
H	Methandrostenolone
H	Methosarb, CAL, Calusterone
C	Methotrexate, Amethopterin, MTX
C	Methotrexate/Adriamycin/CCNU/Cytoxan, MACE
C, H	Methotrexate/Prednisone/Vincristine, VMP
C	Methoxsalen
C	Methyl-CCNU, Semustine, MCCNU
C	Methyl-GAG, Mitoguazone, MGBG
H	Methylprednisolone acetate*
H	Methylprednisolone sodium succinate*
H	Methylprednisolone*

H	Methylprogesterone
H	Methyltestosterone
C	Methyltetrahydrohomofolate, Mesna
C	Meturedepa, AB-121, TURLOC
C	Meturedepa, TURLOC, AB-121
C	MF, 5-FU/Mitomycin C
C	MGBG, Mitoguazone, Methyl-GAG
C	MIPE, Mitomycin C/Platinum/Vindesine
C	Misonidazole
C	MITH, Mithramycin
C	Mithracin, Plicamycin
C	Mithramycin, MITH
C	Mito C/Vindesine, MIVe
C	MITO-C, Mutomycin, Mitomycin-C
C	Mitoguazone, Methyl-GAG, MGBG
C	Mitomycin C/Platinum/Vindesine, MIPE
C	Mitomycin C/Velban, VM
C	Mitomycin-C, Mutomycin, MITO-C
C	Mitotane, O'p'-DDD
C	Mitoxantrone, Dihydroxyanthracenedione, DHAD
C	MIVe, Mito C/Vindesine
C	MOB, Bleomycin/Mitomycin C/Vincristine
C	MOF, 5-FU/Mitomycin/Oncovin
C	MOF-S, 5-FU/Mitomycin/Oncovin/Streptozotocin
I	Monoclonal antibody
C, H	MOPP, Nitrogen mustard/Prednisone/Procarbazine/Vincristine
C	Moryanly, Sodium Suramin, Bayer 305
C, H	MP, Melphalan/Prednisone
C	MTX, Methotrexate, Amethopterin
C	Mustargen, Nitrogen Mustard, Mechlorethamine, HN2
C	Mutomycin, Mitomycin-C, MITO-C
I	MVE 2, Pyran copolymer
C	MVH, Hexamethylmelamine/Methotrexate/VP-16
C	Myleran, Busulfan, BUS
C	Mylotarg, Gemtuzumab-ozogamicin
C	Nafidimide, Amonofide, Ara A
H	Nalfoxidine HCL, NFX
H	Nandrolone Decanoate
C	Navalbine, Vinorelbine tartrate
C	Neosar, Cyclophosphamide, Cytoxine, CTX, Cytoxan
H	NFX, Nalfoxidine HCL

H	Nilandron, Nilutamide
H	Nilutamide, Nilandron
C	Nipent, Pentostatin, Deoxycoformycin
C	Nitrogen Mustard, Mechlorethamine, Mustargen, HN2
C, H	Nitrogen mustard/Prednisone/Procarbazine/Vincristine, MOPP
C	N-Methylformamide
H	Norethindrone Acetate
H	Novaldex, TMX, Tamoxifen Citrate
I	Oncaspar, Pegasparagase
	Oncovin, Vincristine, Leurocristine, Vincristine Sulfate, Leurocristine Oncovin, LCR,
C	VCR
I	Ontak, Denileukin diftitox
C	O'p'-DDD, Mitotane
C	Orange crush, ACDA, Anthracenedicarboxaldehyde, Bisantrene
C	Oxaliplatin, Eloxatine
C	Oxandrolone
H	Oxiplatin
C	Oxymetholone, HMD
C	PA, Adriamycin/Platinol
C	PAC-5, Adriamycin/Cytoxan/Platinol
C	Paclitaxel, Paxene, Taxol
H	PALA
C	Paramethasone*
C	PAVe, Melphalan/Procarbazine/Velban
C	Paxene, Paclitaxel, Taxol
C	PCH, Procarbazine HCl
C	PCNU
C	PCV, CCNU/Procarbazine/Vincristine
H	PDA, Phosphorodiamidic Acid
I	PDN, Prednisone*
C	Pegasparagase, Oncaspar
C	Pentamethylmelamine, PMM
C	Pentostatin, Deoxycoformycin, Nipent
C	Phenylalanine Mustard, L-PAM, Melphalen, Alkeran, L-Phenylalanine Mustard
C	Phosphorodiamidic Acid, PDA
C	Photofrin
C	PIBR, Pipobroman
C	PIP, Piperazenedione
C	Piperazenedione, PIP
C	Pipobroman, PIBR
C	Piposulfan, PISU

- C PISU, Piposulfan  
C PLAC, Adriamycin/CIS-platinum/Cytoxan  
C Platinol, CIS-platinum, C-DDP, Cisplatin  
C Plicamycin, mithracin  
C PMM, Pentamethylmelamine  
C POCC, CCNU/Cytoxan/Procarbazine/Vincristine  
C Podophyllin. SPG  
H Poly-5-Iodocytidilic, Poly-lC  
C Polyestradiol Phosphate  
C, H Poly-lC, Poly-5-Iodocytidilic  
C POMP, 6-mercaptopurine/Methotrexate/Prednisone/Vincristine  
C POMPA, 6-Mercaptopurine/L-Asparaginase/Methotrexate/Prednisolone/Vincristine  
C PORF, Porfiromycin  
H Porfiromycin, PORF  
C, H Prednisone\*, PDN  
C Prednisone/Vincristine, VP  
H Procarbazine HCL, PCH  
I Progesterone  
C, H Proleukin, Aldesleukin  
C PRO-MACE, Adriamycin/Cytoxan/Epipodophyllotoxin/Methotrexate/Prednisone  
C PVB, Bleomycin/Platinol/Velban  
I PVBA, Adriamycin/Bleomycin/Platinol/Velban  
C Pyran copolymer, MVE 2  
C Pyrazofurin  
C Pyrazole  
C QUIN, Atabrine, Quinacrine  
C Quinacrine, Atabrine, QUIN  
C Quinomycin A, Echinomycin  
C Raltitrexed, Tomudex  
C Riboxamide, Tiazofurin, TCAR  
I Rituxan, Rituximab  
I Rituximab, Rituxan  
C, H ROAP, Ara-C/PrednisoneRubidazole/Vincristine  
C RUB, Rubidazole  
C Rubidazole, RUB  
I Sandostatin, Octreotide (deleted in 2005 - considered ancillary drug)  
C Semustine, Methyl-CCNU, MCCNU  
C SMF, 5-FU/Mitomycin C/Streptozotocin  
C Sodium Suramin, Moryanly, Bayer 305  
C SPG, Podophyllin  
C Spiro-32, Spirogermanium

C	Spirogermanium, Spiro-32
C	Spiromustin
H	Spironolactone
C	SR-2508
H	Stanolone
H	Stanozolol
I	Stem cell transplant
C	STGdR, Diglycoaldehyde
H	Stilbesterol, DES, Diethylstilbestrol
C	Streptozotocin, STZ
C	STZ, Streptozotocin
H	Synthroid (for papillary and/or follicular cancers of the thyroid only)
C	TAC, Adriamycin/Cytoxan/Taxotere
H	TACE, Chlorotrinnanisene
C, H	TACO, Adriamycin/Cytoxan/Tamoxifen/Vincristine
C	TAD, 6-Thioguanine/Ara-C/Daunomycin
H	Tamoxifen Citrate, Novaldex, TMX
C	Targretin, Bexarotene, LGD 1069
H	TATBA, Triamcinolone hexacetonide
C	Taxol, Paxene, Paclitaxel
C	Taxotere, Docetaxel
C	TCAR, Riboxamide, Tiazofurin
C	Temodar, Temozolamide, Temodol
C	Temodol, Temodar, Temozolamide
C	Temozolamide, Temodar, Temodol
C	Teniposide, VM-26
C	TEPA, Triethylene Phosphoramide
H	Teslac, TL, Testaolactone
H	Testaolactone, Teslac, TL
H	Testosterone Enanthate
H	Testosterone Propionate, TP
C	Tetrahydouridine, THU
C	Thioguanine, 6TG
C	Thio-TEPA, Thiotepa, TSPA
C	Thiotepa, Thio-TEPA, TSPA
C	THU, Tetrahydouridine
C	Thymidine
I	Thymosin
H	Thyroglobulin
H	Thyrotropin, TSH
C	Tiazofurin, Riboxamide, TCAR

- H TL, Testaolactone, Teslac  
C TMCA, Trimethylcolchilcinic acid  
H TMX, Tamoxifen Citrate, Novaldex  
C, H TODD, Daraprim/Dexamethasone/Oncovin/Thioquanine  
C Tomudex, Raltitrexed  
C Topotecan, Hycamtin  
H Toremifene, Fareston  
H TP, Testosterone Propionate  
I Trastuzumab, Herceptin  
H Trelstar Depot, Triptorelin pamoate  
H Triamcinolone  
H Triamcinolone hexacetonide, TATBA  
C Triapine  
C Triazinate, Baker's Antifol, BAF  
C Tricirloinephosphate  
C Triethylene Phosphoramide, TEPA  
H Triiodothyronine, TRIT  
H Trilostane  
C Trimethylcolchilcinic acid, TMCA  
C Trimetrexate  
H Triptorelin pamoate, Trelstar Depot  
C Trisenox, Arsenic trioxide  
H TRIT, Triiodothyronine  
H TSH, Thyrotropin  
C TSPA, Thio-TEPA, Thiotepa  
C Tubercidin  
C TURLOC, Meturedopa, AB-121  
C UR, Uracil  
C Uracil, UR  
C VAC, Adriamycin/Cytoxan/Vincristine  
I Vaccine therapy  
C VAD, Actinomycin D/DTIC/Vindesine  
C Valrubicin, Valstar  
C Valstar, Valrubicin  
C, H VAMP, 6-Mercaptopurine/Amethopterin/Prednisone/Vincristine  
C VAP, Adriamycin/Procarbazine/Vindesine  
C, H VBAP, Adriamycin/BCNU/Prednisone/Vincristine  
C, H VCAP, Adriamycin/Cytoxan/Prednisone/Vincristine  
VCR, Leurocristine Oncovin, Vincristine Sulfate, Vincristine, Leurocristine, LCR,  
C Oncovin  
C Velban, Vinblastine Sulfate, VLB

- H Viadur, Leuprolide acetate implant  
C Vinblastine Sulfate, Velban, VLB  
Vincristine Sulfate, Eurocristine, Oncovin, Eurocristine Oncovin, Vincristine, LCR,  
C VCR  
Vincristine, Oncovin, Eurocristine Oncovin, Vincristine Sulfate, Eurocristine, VCR,  
C LCR  
C Vindesine, DVA  
C Vinorelbine tartrate, navalbine  
I Virus therapy  
I VIT-A, Vitamin A  
I Vitamin A, VIT-A  
C VLB, Velban, Vinblastine Sulfate  
C VM, Mitomycin C/Velban  
C VM-26, Teniposide  
C, H VMP, Methotrexate/Prednisone/Vincristine  
C VP, Prednisone/Vincristine  
C VP-16, Etoposide, VP-16-213  
C, H VP-16-213, Etoposide, VP-16  
C VPL-ASP, L-asparaginase/Prednisone/Vincristine  
C WR-2721  
C Xeloda, Capecitabine  
C Yoshi-864  
H ZD1839, Iressa, Gefitinib  
Zoladex

**APPENDIX I - COMMON ACCEPTABLE ABBREVIATIONS**

Abdomen	ABD
Abdominal Perineal	AP
Acid Phosphatase	ACID PHOS
Acquired Immunodeficiency Syndrome	AIDS
Acute Lymphocytic Leukemia	ALL
Acute Myelogenous Leukemia	AML
Adenocarcinoma	ADENOCA
Additional	ADDTL
Adjacent	ADJ
Adrenal	ADR
Armed Forces Institute of Pathology	AFIP
Alcohol	ETOH
Alkaline Phosphatase	ALK PHOS
Alpha-fetoprotein	AFP
Ambulatory	AMB
Anaplastic	ANAP
Angiography	ANGIO
Anterior	ANT
Anteroposterior	AP
Appendix	APP
Approximatley	APPROX
Aspiration	ASP
Axilla(ry)	AX
Bacillus Calmette-Guerin	BCG
Barium	BA
Barium Enema	BE
Benign Prostatic Hypertrophy/Hyperplasia	BPH
Bilateral	BIL
Bilateral Salpingo-oophorectomy	BSO
Biological Response Modifier	BRM
Biopsy	BX
Blood Urea Nitrogen	BUN
Bone Marrow	BM
Bone Scan	BSC
Carcinoembryonic Antigen	CEA
Carcinoma	CA
Carcinoma In Situ	CIS
CAT Scan	CT, CT SC
Centimeter	CM
Central Nervous System	CNS
Cerebrospinal Fluid	CSF
Cervical Intraepithelial neoplasia	CIN
Cervical Vertebra	C1-C7
Cervix	CX
Cesium	CSF
Chemotherapy	CHEMO
Chest Xray	CXR

Chronic Lymphocytic Leukemia	CLL
Chronic Myeloid Leukemia	CML
Cigarettes	CIG
Clear	CLR
Colon:	
Ascending	A-COLON
Descending	D-COLON
Sigmoid	S-COLON
Transverse	T-COLON
Common Bile Duct	CBD
Computerized Axial Tomography Scan	CT,CAT SCAN
Consist with	C/W
Continue	CONT
Cystoscopy	CYSTO
Cytology	CYTO
Cytomegalovirus	CMV
Date of Birth	DOB
Dermatology	DERM
Diagnosis	DX
Diameter	DIAM
Differentiated	DIFF
Dilatation and Curettage	D&c
Discharge	DIS,DISCH,DS
Discontinued	DC
Disease	DZ, DIS
Doctor	DR, MD
Ears, Nose, and Throat	ENT
Endoscopic Retrograde Cholangiopancreatography	ERCP
Enlarged	ENL
Esophagogastroduodenoscopy	EGD
Estrogen Receptor (Assay)	ER(A)
Evaluation	EVAL
Examination	EXAM
Examination Under Anesthesia	EUA
Excision	EXC
Exploratory Laparotomy	EXP LAP
Extend	EXT
Extension	EXT
External	EXT
Eyes, Ears, Nose, and Throat	EENT
Floor of Mouth	FOM
Follow-up	FU
Fracture	FX
Frozen Section	FS
Gallbladder	GB
Gastroenterostomy	GE
Gastroesophageal	GE
Gastrointestinal	GI
Genitourinary	GU
Grade	GR

Gynecology	GYN
Head, Eyes, Ears, Nose, Throat	HEENT
Hepatosplenomegaly	HSM
Histology	HISTO
History	HX
History and Physical	H&P
History of	HO
history of Present Illness	HPI
Hormone	HORM
Hospital	HOSP
Human Chorionic Gonadotropin	HCG
Human Immunodeficiency Virus	HIV
Human Papilloma Virus	HPV
Human T-Lymphotropic Virus Type III	HTLV-III
Hysterectomy	HYST
Immunoglobulin	IG
Impression	IMP
Includes, Including	INCL
Inferior Vena Cava	IVC
Infiltrating	INFILT
Information	INFO
Inpatient	IP
Intrathecal	IT
Intraveneous	IVC
Intravenous Pyelogram	IVP
Kidneys, Ureters, Bladder	KUB
Laparotomy	LAP
Large	LG
Lateral	LAT
Left	L, LT
Left Lower Extremity	LLE
Left Lower Lobe	LLL
Left Lower Quadrant	LLQ
Left Salpingo-oophorectomy	LSO
Left Upper Extremity	LUE
Left Upper Lobe	LUL
Left Upper Quadrant	LUQ
Local M.D.	LMD
Lower Extremity	LE
Lower Inner Quadrant	LIQ
Lower Outer Quadrant	LOQ
Lumbar Puncture	LP
Lumbar Vertebra	L1-L5
Lumbosacral	LS
Lymphadenopathy	LAD/LAN
Lymphadenopathy-Associated Virus	LAV
Lymph Node(s)	LN, LN'S, LNS
Magnetic Resonance Imaging	MRI
Malignant	MALIG, MAL
mandible	MAND

Mastectomy	MAST
Maxilla(ry)	MAX
Mediastinum	MEDIAS
Medical Doctor	DR, MD
Medicine	MED
Metastatic, Metastases	MET, METS
Microscopic	MICRO
Middle Lobe	ML
Millimeter	MM
Million Electron Volts	MEV
Minimum	MIN
Moderate	MOD
Moderately Differentiated	MD, MOD DIFF
Modified Radical Mastectomy	MRM
Negative	NEG (OR -)
Neurology	NEURO
No Evidence of Disease	NED
Normal	NL
No Significant Findings	NSF
Not Applicable	NA
Not Otherwise Specified	NOS
Not Recorded	NR
Obstructed (-ing, -ion)	OBST
Operation	OP
Operative Report	OP REPORT
Outpatient	OP
Packs per Day	PPD
Palpated (-able)	PALP
Papanicolaou Smear	PAP
Papillary	PAP
Past Medical History	PMH
Pathology	PATH
Patient	PT
Pelvic Inflammatory Disease	PID
Percutaneous	PERC
Physical Examination	PE
Platelets	PLT
Pleural effusion	PLE
Poorly Differentiated	PD, POOR DIFF
Positive	POS (or +)
Positron Emission Tomography	PET
Possible	POSS
Posterior	POST
Posteroanterior	PA
Postoperative (-ly)	PO, POSTOP
Preoperative (-ly)	PREOP
Primary	PRIM
Probable (-ly)	PROB
Progesterone Receptor (Assay)	PR(A)
Pulmonary	PULM

Pulmonary Artery	PA
Radiation	RAD
Radiation Absorbed Dose	RAD
Radiation Therapy	RT/XRT
Radical	RAD
Radioimmunoassay	RIA
Radium	RA
Red Blood Cells	RBC
Resection	RESEC
Respiratory	RESPIR
Right	R, RT
Right Lower Extremity	RLE
Right Lower Lobe	RLL
Right Lower Quadrant	RLQ
Right Middle Lobe	RML
Right Salpingo-oophorectomy	RSO
Right Upper Extremity	RUE
Right Upper Lobe	RUL
Right Upper Quadrant	RUQ
Rule Out	RO, R/O
Sacral Vertebra	S1-S5
Salpingo-oophorectomy	SO
Skilled Nursing Facility	SNF
Specimen	SPEC
Split Thickness Skin Graft	STSG
Small	SM, SML
Small Bowel	SB, SML BWL
Social Security Death Index	SSDI
Spine:	
Cervical	C-SPINE
Lumbar	L-SPINE
Sacral	S-SPINE
Thoracic	T-SPINE
Squamous	SQ, SQUAM
Squamous Cell Carcinoma	SCC
Stage	STG
Status Post	S/P
Subcutaneous	SUB-Q, SUBQ, SQ
Superior Vena Cava	SVC
Surgery, Surgical	SURG
Suspect, Suspicious	SUSP
Symptoms	SX
Thoracic	T-SPINE
Thoracic Vertebra	T1-T12
Topography	TOPOG
Total Abdominal Hysterectomy-	
Bilateral Salpingo-oophorectomy	TAH-BSO
Total Vaginal Hysterectomy	TVH
Transitional Cell Carcinoma	TCC
Transurethral Resection	TUR

Transurethral Resection Bladder (tumor)	TURB(T)
Transurethral Resection Prostate	TURP
Treatment	RX, TX
Tumor Size	TS
Undifferentiated	UNDIFF
Unknown	UNK
Upper Extremity	UE
Upper Gastrointestinal	UGI
Upper Inner Quadrant	UIQ
Upper Outer Quadrant	UOQ
Vagina, Vaginal	VAG
Vaginal Hysterectomy	VAG HYST
Vaginal Intraepithelial Neoplasia	VAIN
Vascular	VASC
Vulvar Intraepithelial Neoplasia	VIN
Well Differentiated	WD, WELL DIFF
White Blood Cells	WBC
With	W/ or C
Within Normal Limits	WNL
Without	W/O
Work-up	W/U
Xray	XR
Year	YR

**SYMBOLS:**

At	@
Comparison	/
Decrease, less than	<
Equals	=
Increase, more than	>
Negative	-
Number*	#
Positive	+
Pounds**	#
Times	x

\*if it appears *before* a numeral.

\*\*if it appears *after* a numeral.

## **APPENDIX J - SEER GEOCODES FOR CODING PLACE OF BIRTH**

The SEER Geocodes can be found at:

[http://seer.cancer.gov/manuals/2007/SPCSM\\_2007\\_AppendixB.pdf](http://seer.cancer.gov/manuals/2007/SPCSM_2007_AppendixB.pdf)

## **APPENDIX K - ICD-O-3 ERRATA AND CLARIFICATIONS**

These can be found at: <http://www.seer.cancer.gov/icd-o-3/>.

## APPENDIX L - REVISED RACE CODING RULES

(Effective with 2004 diagnoses)

Race (and ethnicity) is defined by specific physical, hereditary and cultural traditions or origins, not necessarily by birthplace, place of residence, or citizenship. 'Origin' is defined by the US Census Bureau as the heritage, nationality group, lineage, or in some cases, the country of birth of the person or the person's parents or ancestors before their arrival in the United States.

All resources in the facility, including the medical record, face sheet, physician and nursing notes, photographs, and any other sources, must be used to determine race. If a facility does not print race in the medical record but does maintain it in electronic form, the electronic data must also be reviewed. Recommendation: document how the race code was determined in a text field.

### Coding Instructions

1. Code the primary race(s) of the patient in fields Race 1, Race 2, Race 3, Race 4, and Race 5. The five race fields allow for the coding of multiple races consistent with the Census 2000. Rules 2 - 8 further specify how to code Race 1, Race 2, Race 3, Race 4 and Race 5.

2. If a person's race is a combination of white and any other race(s), code the appropriate other race(s) first and code white in the next race field.

3. If a person's race is a combination of Hawaiian and any other race(s), code Race 1 as 07 Hawaiian and code the other races in Race 2, Race 3, Race 4, and Race 5 as appropriate.

**Example:** Patient is described as Japanese and Hawaiian. Code Race 1 as 07 Hawaiian, Race 2 as 05 Japanese, and Race 3 through Race 5 as 88.

4. If the person is not Hawaiian, code Race 1 to the first stated non-white race (02-98).

**Example:** Patient is stated to be Vietnamese and Black. Code Race 1 as 10 Vietnamese, Race 2 as 02 Black, and Race 3 through Race 5 as 88.

**Note:** in the following scenarios, only the race code referred to in the example is coded. For cases diagnosed after January 1, 2000, all race fields must be coded.

5. The fields Place of Birth, Race, Marital Status, Name, Maiden Name, and Hispanic Origin are inter-related. Use the following guidelines in priority order:

a. Code the patient's stated race, if possible. Refer to Appendix "Race and Nationality Descriptions from the 2000 Census and Bureau of Vital Statistics" for guidance.

**Example 1:** Patient is stated to be Japanese. Code as 05 Japanese.

**Example 2:** Patient is stated to be German-Irish. Code as 01 White.

**Example 3:** Patient is described as Arabian. Code as 01 White.

**Exception:** When the race is recorded as Oriental, Mongolian, or Asian (coded to 96 Other Asian) and the place of birth is recorded as China, Japan, the Philippines, or another Asian nation, code the race based on birthplace information.

**Example 4:** The person's race is recorded as Asian and the place of birth is recorded as Japan. Code race as 05 Japanese because it is more specific than 96 Asian, NOS.

**Example 5:** The person describes himself as an Asian-American born in Laos. Code race as 11 Laotian because it is more specific than 96 Asian, NOS.

6. If the patient's race is determined on the basis of the races of relatives, there is no priority to coding race, other than to list the non-white race(s) first.

**Example:** The patient is described as Asian-American with Korean parents. Code race as 08 Korean because it is more specific than 96 Asian [-American].

7. If no race is stated in the medical record, or if the stated race cannot be coded, review the documentation for a statement of a race category.

**Example 1:** Patient described as a black female. Code as 02 Black.

**Example 2:** Patient describes herself as multi-racial (nothing more specific) and nursing notes say "African-American." Code as 02 Black.

**Example 3:** Patient states she has a Polynesian mother and Tahitian father. Code Race 1 as 25 Polynesian, Race 2 as 26 Tahitian and Race 3 through Race 5 as 88.

8. If race is unknown or not stated in the medical record and birth place is recorded, in some cases race may be inferred from the nationality. Refer to the Appendix entitled "Race and Nationality Descriptions from the 2000 Census and Bureau of Vital Statistics" to identify nationalities from which race codes may be inferred.

**Example 1:** Record states: "this native of Portugal..." Code race as 01 White per the Appendix.

**Example 2:** Record states: "this patient was Nigerian..." *Code race as 02 Black* per the Appendix.

**Exception:** If the patient's name is incongruous with the race inferred on the basis of nationality, code Race 1 through Race 5 as 99, Unknown.

**Example 1:** Patient's name is Siddhartha Rao and birthplace is listed as England. Code Race 1 through Race 5 as 99 Unknown.

**Example 2:** Patient's name is Ping Chen and birthplace is Ethiopia. *Code Race 1 through Race 5 as 99 Unknown.*

9. Use of patient name in determining race:

- a. Do not code race from name alone, especially for females with no maiden name given.
- b. In general, a name may be an indicator of a racial group, but should not be taken as the only indicator of race.
- c. A patient name may be used to identify a more specific race code.

**Example 1:** Race reported as Asian, name is Hatsu Mashimoto. Code race as 05 Japanese.

**Example 2:** Birthplace is reported as Guatemala and name is Jose Chuicol [name is identified as Mayan]. Code race as 03 Native American

d. A patient name may be used to infer Spanish ethnicity or place of birth, but a Spanish name alone (without a statement about race or place of birth) cannot be used to determine the race code. Refer to ethnicity guidelines for further information.

**Example:** Alice Gomez is a native of Indiana (implied birthplace: United States). Code Race 1 through Race 5 as 99 Unknown, because nothing is known about her race.

10. Persons of Spanish or Hispanic origin may be of any race, although persons of Mexican, Central American, South American, Puerto Rican, or Cuban origin are usually white. Do NOT code a patient stated to be Hispanic or Latino as 98 Other Race in Race 1 and 88 in Race 2 through Race 5.

**Example:** Sabrina Fitzsimmons is a native of Brazil. Code race as 01 White per Appendix.

11. When the race is recorded as Negro or African-American, code race as 02 Black.

12. Code 03 should be used for any person stated to be Native American or [western hemisphere] Indian, whether from North, Central, South, or Latin America. For Central, South, or Latin American Indians, see additional ethnicity coding guidelines under Spanish Surname or Origin.
13. Death certificate information may be used to supplement antemortem race information only when race is coded unknown in the patient record or when the death certificate information is more specific.  
*Example 1:* In the cancer record Race 1 through Race 5 are coded as 99 Unknown. The death certificate states race as black. Change cancer record for Race 1 to 02 Black and Race 2 through Race 5 to 88.  
*Example 2:* Race 1 is coded in the cancer record as 96 Asian. Death certificate gives birthplace as China. Change Race 1 in the cancer record to 04 Chinese and code Race 2 through Race 5 as 88.

Race and nationality descriptions from the 2000 Census and Bureau of Vital Statistics can be found at:

[http://www.seer.cancer.gov/manuals/2007/SPCSM\\_2007\\_AppendixD.pdf](http://www.seer.cancer.gov/manuals/2007/SPCSM_2007_AppendixD.pdf)

## **APPENDIX M - COMMON HISPANIC SURNAMES**

A list of frequently occurring heavily Hispanic surnames compiled by the U.S. Census Bureau may be found at:

<http://www.census.gov/population/documentation/twpno13.pdf> on page 20.

**APPENDIX N - SUPPLEMENTAL CASEFINDING LIST**

These ICD-9-CM codes may also be used for casefinding. Many of these codes are for diseases associated with cancer or represent neoplasm-related secondary conditions. Experience among the SEER registries has proven that using the supplementary list significantly improves casefinding outcomes for benign brain and CNS tumors, hematopoietic and lymphoid neoplasms, and other reportable diseases. It is recommended that each registry screen cases using the supplementary list as time permits.

**ICD-9-CM Supplemental Casefinding List (Effective Date: 10/1/2008)**

079.4	Human papillomavirus
227.9	Benign neoplasm; endocrine gland, site unspecified
228.02	Hemangioma of intracranial structures
228.1	Lymphangioma, any site
236.0	Endometrial stroma, low grade (8931/1)
239.6	Neoplasms of specified nature, brain
239.7	Neoplasms of unspecified nature; endocrine glands and other parts of nervous system
253.6	Syndrome of inappropriate secretion of antidiuretic hormone (part of neoplastic syndrome)
258.02 - 258.03	Multiple endocrine neoplasia (MEN) type IIA and IIB (rare familial cancer syndrome)
259.2	Carcinoid syndrome
259.8	Other specified endocrine disorders
273.0	Polyclonal hypergammaglobulinemia
273.1	Monoclonal gammopathy of undetermined significance (MGUS) (9765/1)
275.42	Hypercalcemia (part of neoplastic syndrome)
279.00	Hypogammaglobulinemia (predisposed to lymphoma or stomach cancer)
279.02 - 279.06	Selective IgM immunodeficiency (associated w/lymphoproliferative disorders)
279.10	Immunodeficiency with predominant T-cell defect, NOS
279.12	Wiskott-Aldrich syndrome
279.13	Nezelof's syndrome
279.2 - 279.9	Combined immunity deficiency - unspecified disorder of immune mechanism
284.81	Red cell aplasia (acquired, adult, with thymoma)
284.89	Other specified aplastic anemias due to drug (chemotherapy or immunotherapy), infection, radiation
285.22	Anemia in neoplastic disease

288.03	Drug induced neutropenia
289.09	Other specified diseases of blood and blood-forming
323.81	Encephalomyelitis; specified cause NEC (part of neoplastic syndrome)
379.59	Opsoclonia (part of neoplastic syndrome)
528.01	Musositis due to antineoplastic therapy
686.01	Pyoderma gangrenosum (part of neoplastic syndrome)
695.59	Sweet's syndrome (part of neoplastic syndrome)
701.2	Acanthosis nigricans (part of neoplastic syndrome)
710.3	Dermatomyositis (part of neoplastic syndrome)
710.4	Polymyositis (part of neoplastic syndrome)
795.05	Papanicolaou smear of cervix with cytologic evidence of malignancy
795.16	Papanicolaou smear of vagina with cytologic evidence of malignancy
795.76	Papanicolaou smear of anus with cytologic evidence of malignancy
999.31	Infection due to central venous catheter
999.81	Extravasation of vesicant chemotherapy
V15.3	Irradiation; previous exposure to therapeutic or ionizing radiation
V51.0	Encounter for breast reconstruction following mastectomy
V52.4	Breast prosthesis and implant
V58.1	Encounter for chemotherapy and immunotherapy
V58.42	Aftercare following surgery for neoplasm
V82.71	Screening for genetic disease carrier status
V82.79	Other genetic screening
V82.89	Genetic screening for other specified conditions
V82.9	Genetic screening for unspecified condition
V84.01 - V84.09	Genetic susceptibility to malignant neoplasm
V87.41	Personal history of anti-neoplastic chemotherapy



Field Name	Item Number	Data Value	Comments
<b>PATIENT DATA</b>			
Soc Sec Number		<b>10020</b>	
Last Name		<b>10030</b>	
First Name		<b>10040</b>	
Middle Name		<b>10050</b>	
Maiden Name		10055	
Street Address 1		<b>10060</b>	
Street Address 2		10070	
City		<b>10080</b>	
State		<b>10090</b>	
Zip Code		<b>10100-10110</b>	
Home Phone		10120	
Date of Birth		<b>10130</b>	
Place of Birth		<b>10140</b>	
Sex		<b>10150</b>	
Race 1		<b>10160</b>	
Race 2		<b>10170</b>	
Race 3		<b>10180</b>	
Race 4		<b>10190</b>	
Race 5		<b>10200</b>	
Spanish Origin		<b>10230</b>	
Tobacco Use		10240	
Cigarette Pack Years		10250	
Number of Live Births		10260	
Occupation		10270	text
Industry		10280	text
Cause of Death (ICD)		10290	
Place of Death		10300	
<b>OTHER PRIMARIES</b>			
Case Sequence Num		20030	
Case Site Code		20040	
Year of Dx		20050	
Comment		20060	

<b>CASE DATA</b>			
Case Sequence Num	30030		
Case Type	30050		
ICDO Version	30060		ver. 3 for dx after 1/1/01
Date of Diagnosis	30160		
ICD-O-3 Conversion Flag	30070		
Topography Code (ICD-O)	30080		
Histology	30090		
Behavior Code	30100		
Histology (ICD-O-2)	30110		
Behavior Code (ICD-O-2)	30120		
Tumor Grade	30130		
Class of Case	30140		
Date of First Contact	30150		
Laterality	30410		
Multiplicity Counter	30420		
Date of Multiple Tumors	30430		
Type of Multiple Tumors	30440		
Ambiguous Terminology	30450		
Date of Conclusive Terminology	30460		
Diag Confirmation Code	30470		
Path Report No	30480		
Hospital Chart Number	30180		
Family History	30190		
Marital Status at Diag	30200		
Menopausal Status	30210		
Primary Payor	30220		
Address at Diag 1	30250		
Address at Diag 2	30260		
City at Diag	30270		
State at Diag	30280		
Zip Code at Diag	30290- 30300		
County at Diag	30310		
Registry Accession Year	30320		
Registry Accession No	30330		
CS Tumor Size	30540		
CS Extension	30550		
CS Size/Extent Eval	30560		
CS Lymph Nodes	30570		

<b>CS Reg Nodes Eval</b>	<b>30580</b>		
<b>Nodes Positive</b>	<b>30600</b>		
<b>Nodes Examined</b>	<b>30590</b>		
<b>CS Mets at Dx</b>	<b>30610</b>		
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